

Likelihood-based Optimization in Strong-motion Seismology

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Earthquake-Disaster & Risk Evaluation and Management Center (E-DREaM)

Strong Ground Shaking



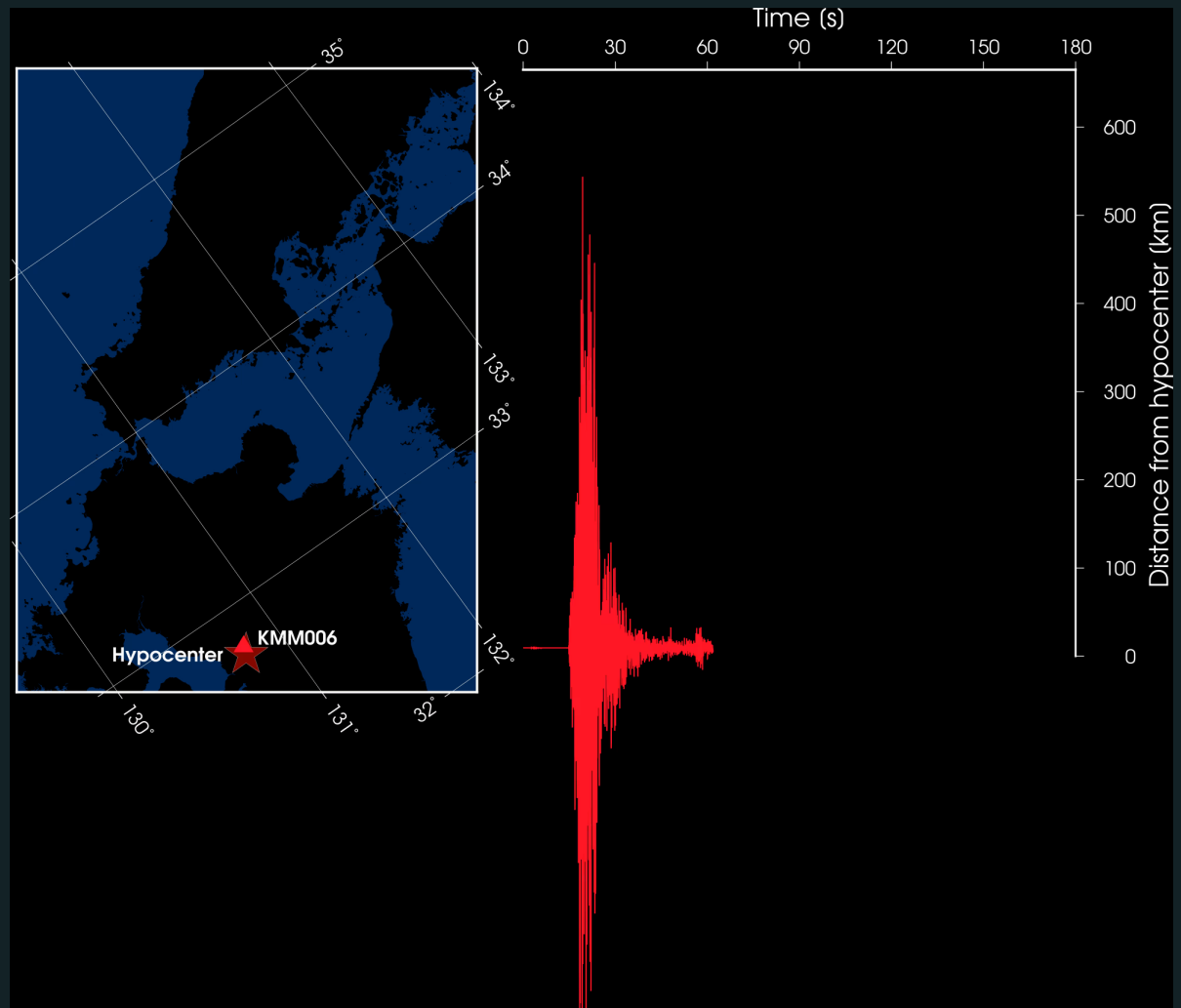
Strong Ground Shaking

Hiroshi Fukuoka,
The Japan
Landslide Society



What is strong ground shaking?

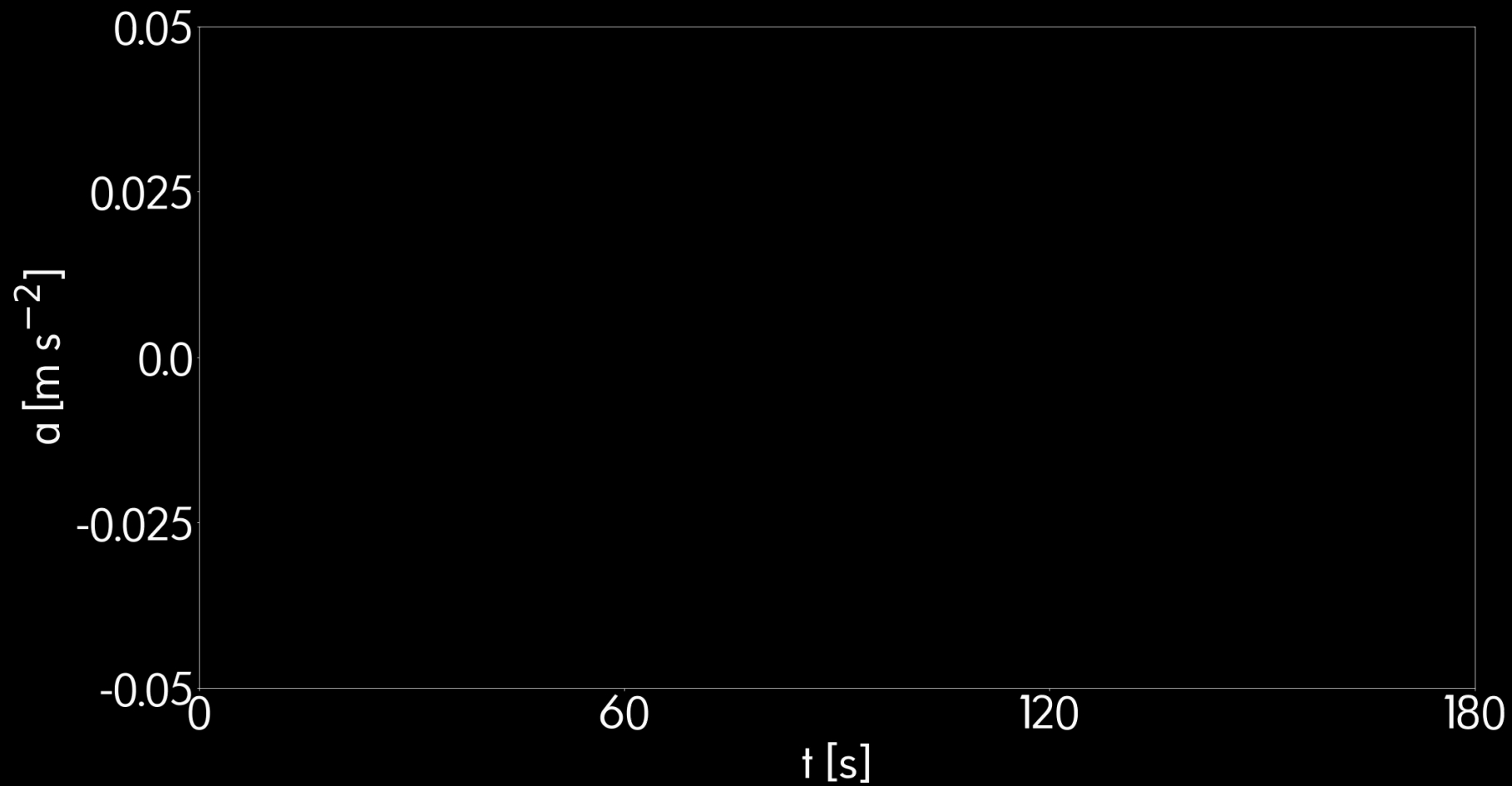
Shaking close to epicenter



What is strong ground shaking?

Shaking close to epicenter

with amplitudes capable to cause structural damage



What is strong ground shaking?

Shaking close to epicenter

with amplitudes capable to cause structural damage

→ This is of engineering concern!

Trigger for more disasters (e.g. landslides)

→ Multihazard

The Goal:

Modeling ground-shaking with
data-driven methods to reduce expert elicitation
and to tackle the increase of data with automation

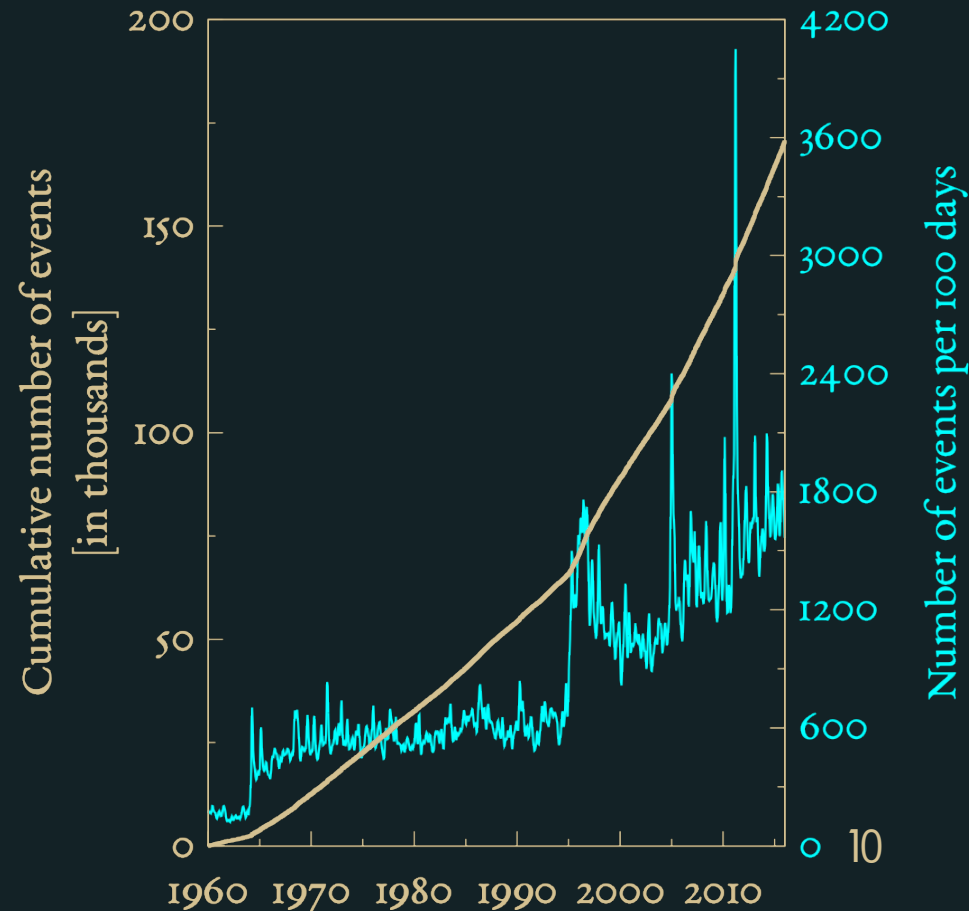
Motivation

Accumulation of data with
time

increasing rate of data
accumulation

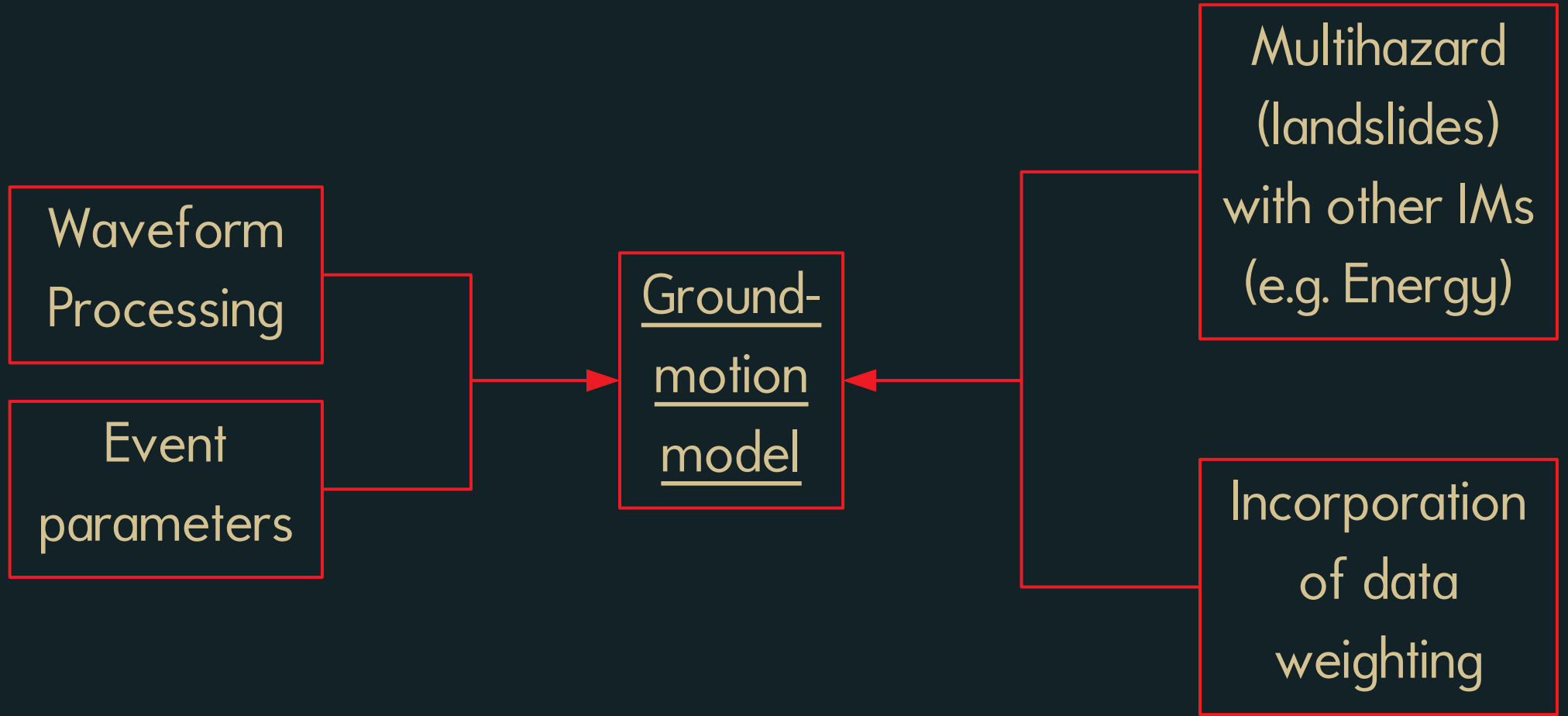
limit of manual processing

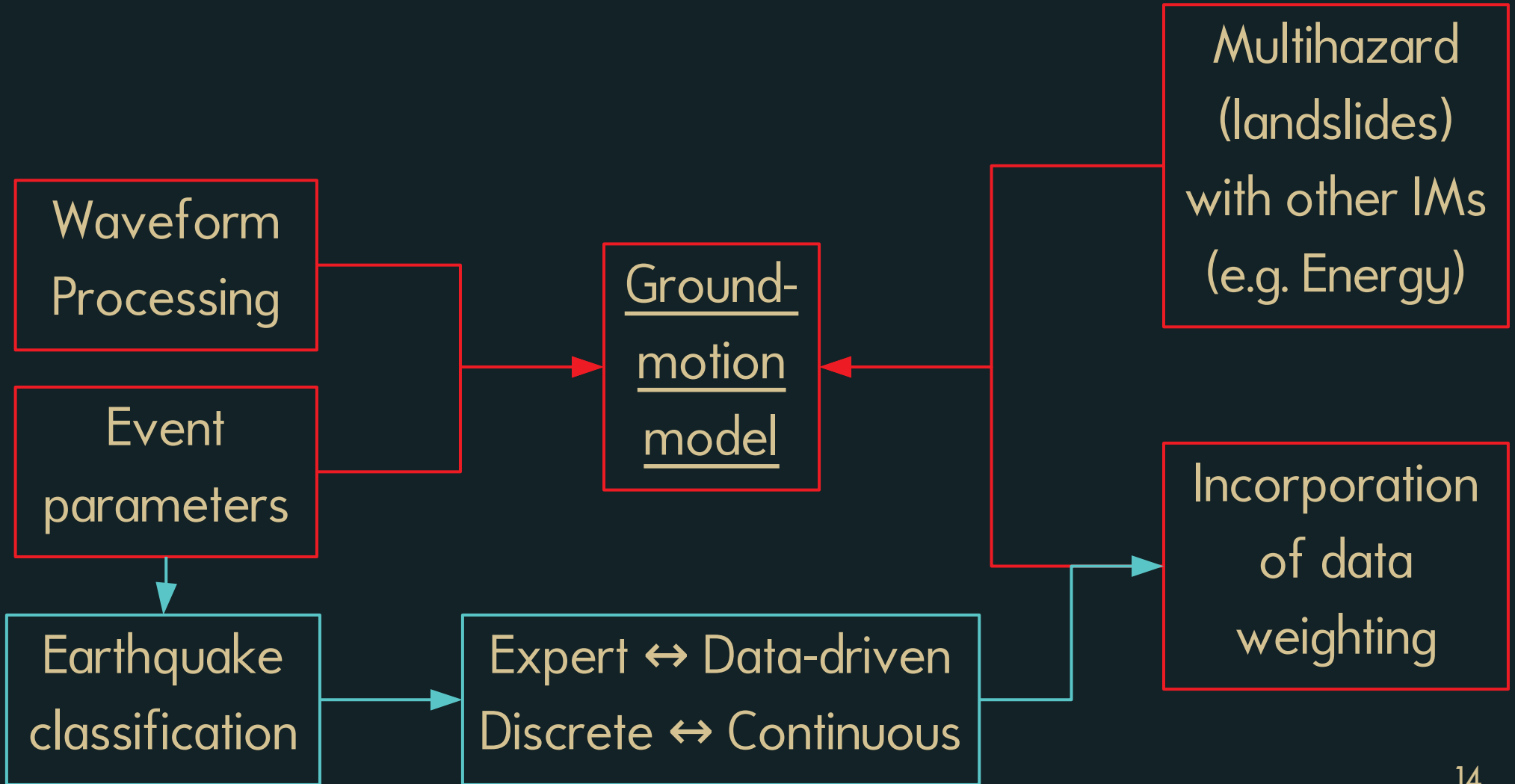
→ Automation

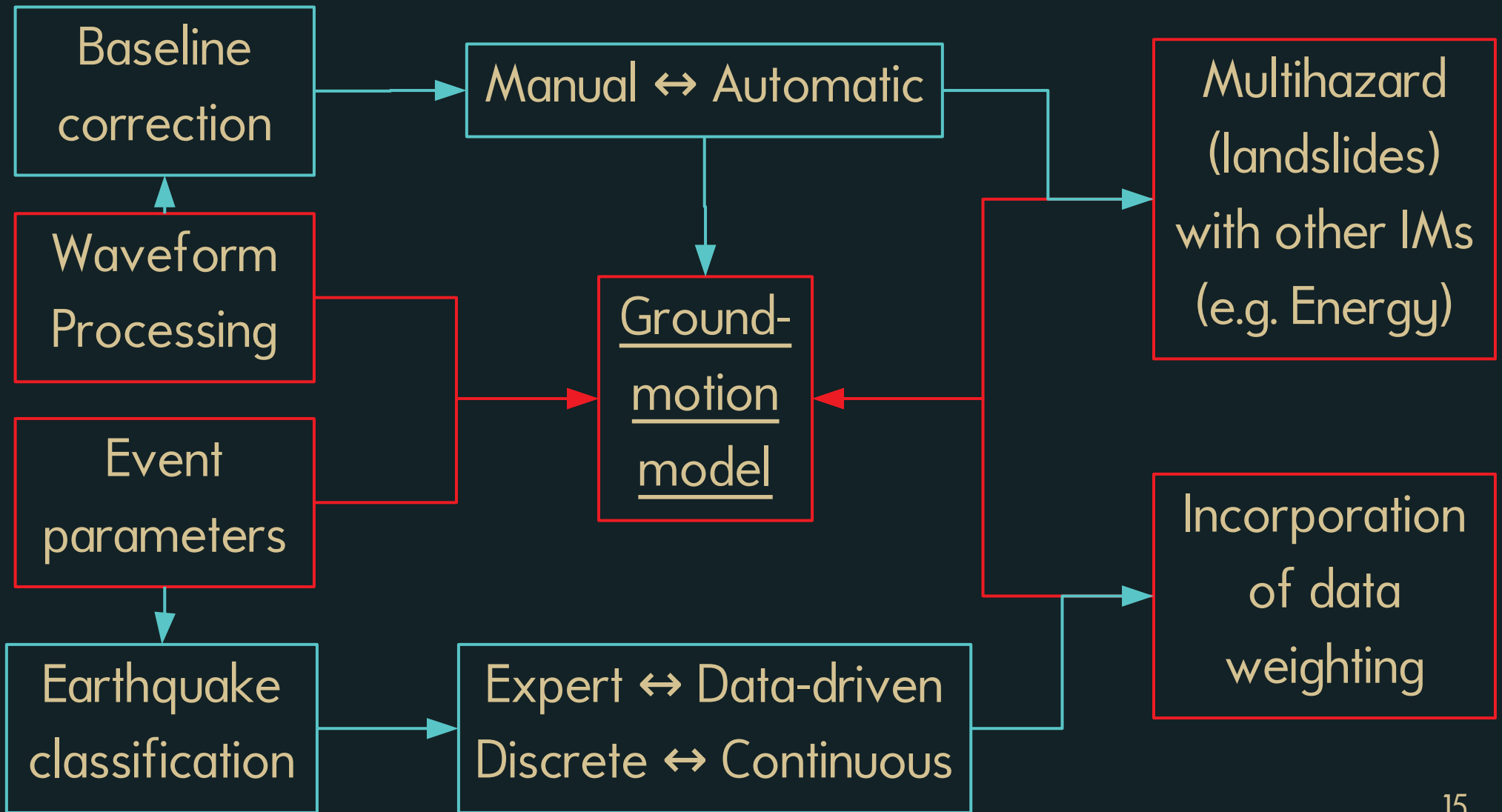


Ground-
motion
model









I addressed these questions by a common method

The likelihood

Likelihood

Number of Observations

Observation

Parameter(s)

Statistical model:

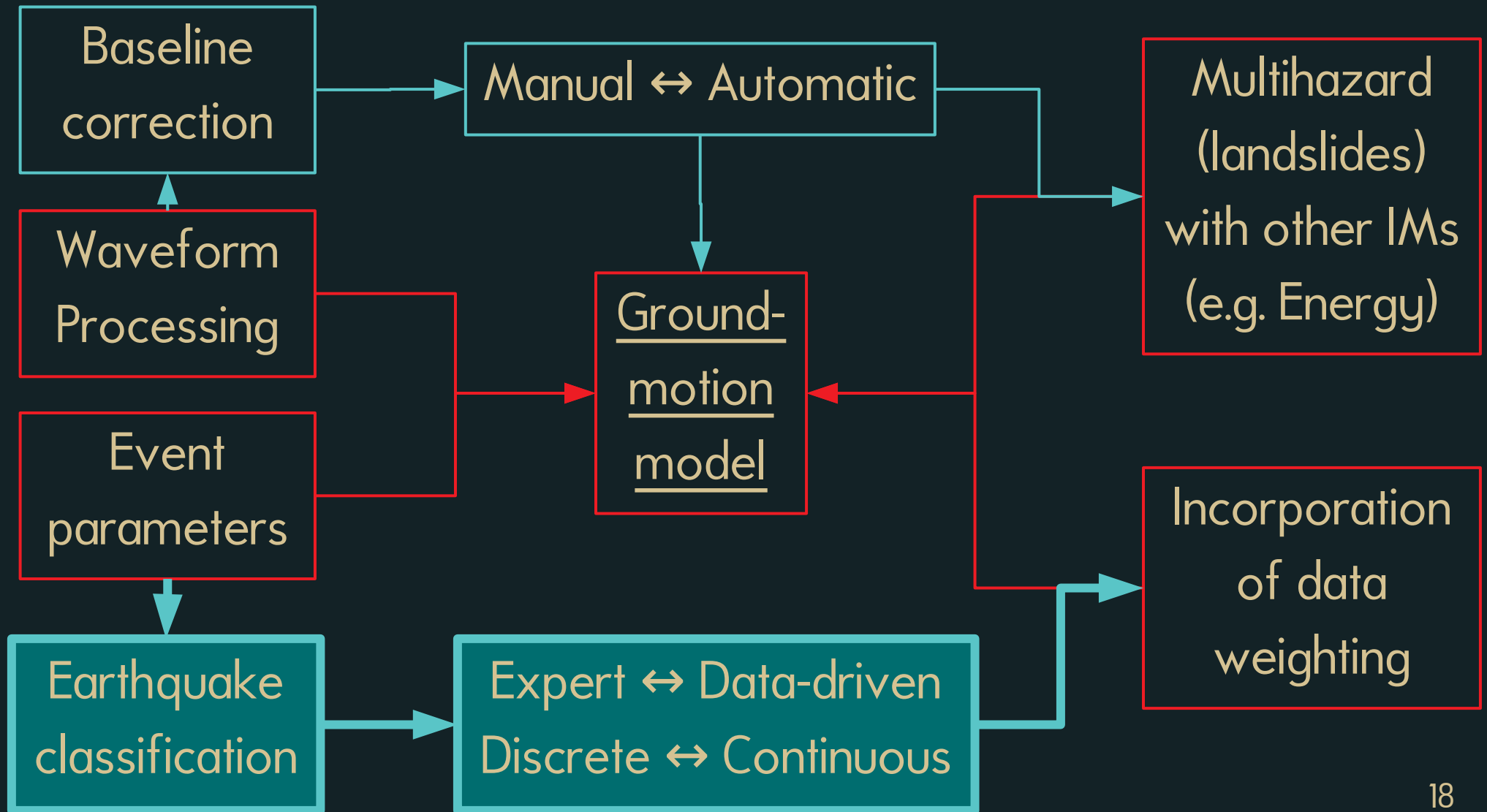
$$\ln \mathcal{L} = \sum_{i=1}^N \ln(p_i(\theta_i) | \theta)$$

Objective:

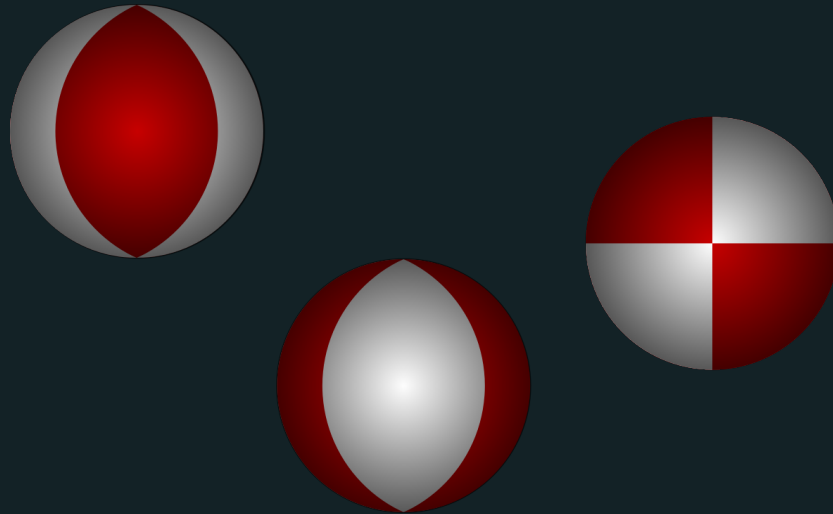
Maximize the Likelihood

$$\frac{d \ln \mathcal{L}}{d\theta} = 0$$

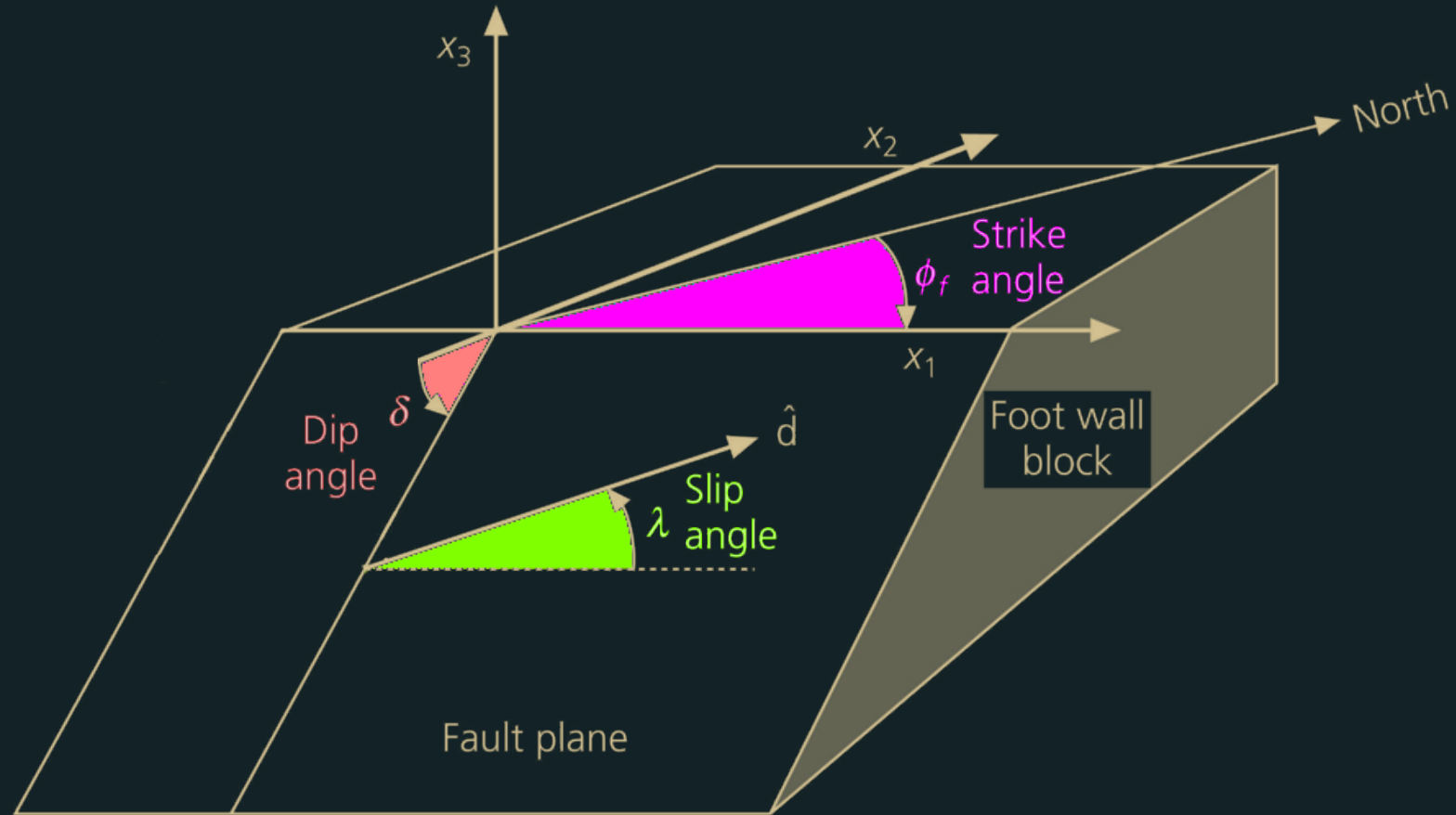
probability distribution



Angular Clusterization with Expectation-Maximization

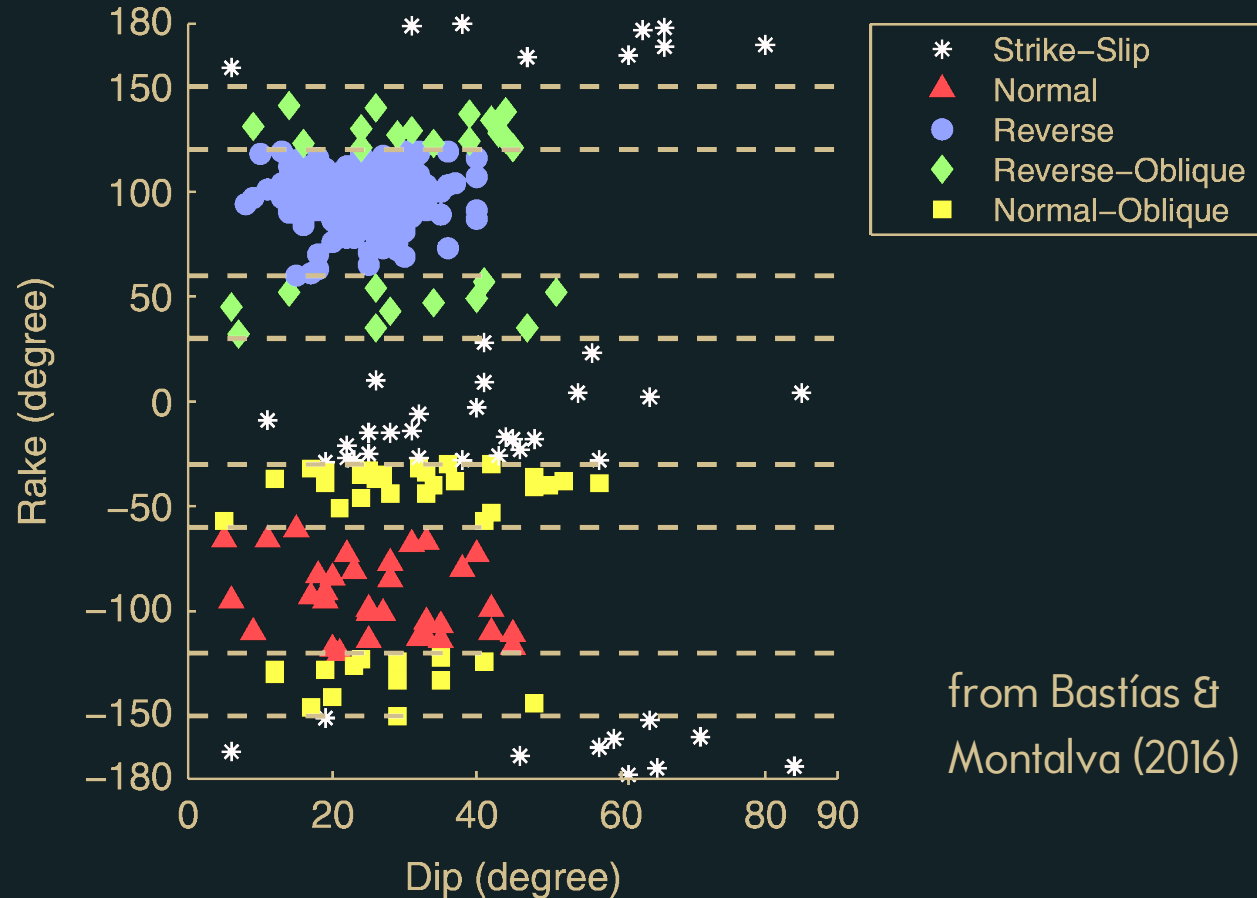


Angular representation



The classical classification

Mechanism Based on Rake Angle



Expectation-Maximization

Mixture of probability
distributions

Parameterization of
models of latent
variables

Latent Variables in ACE:

Style-of-Faulting

Reverse

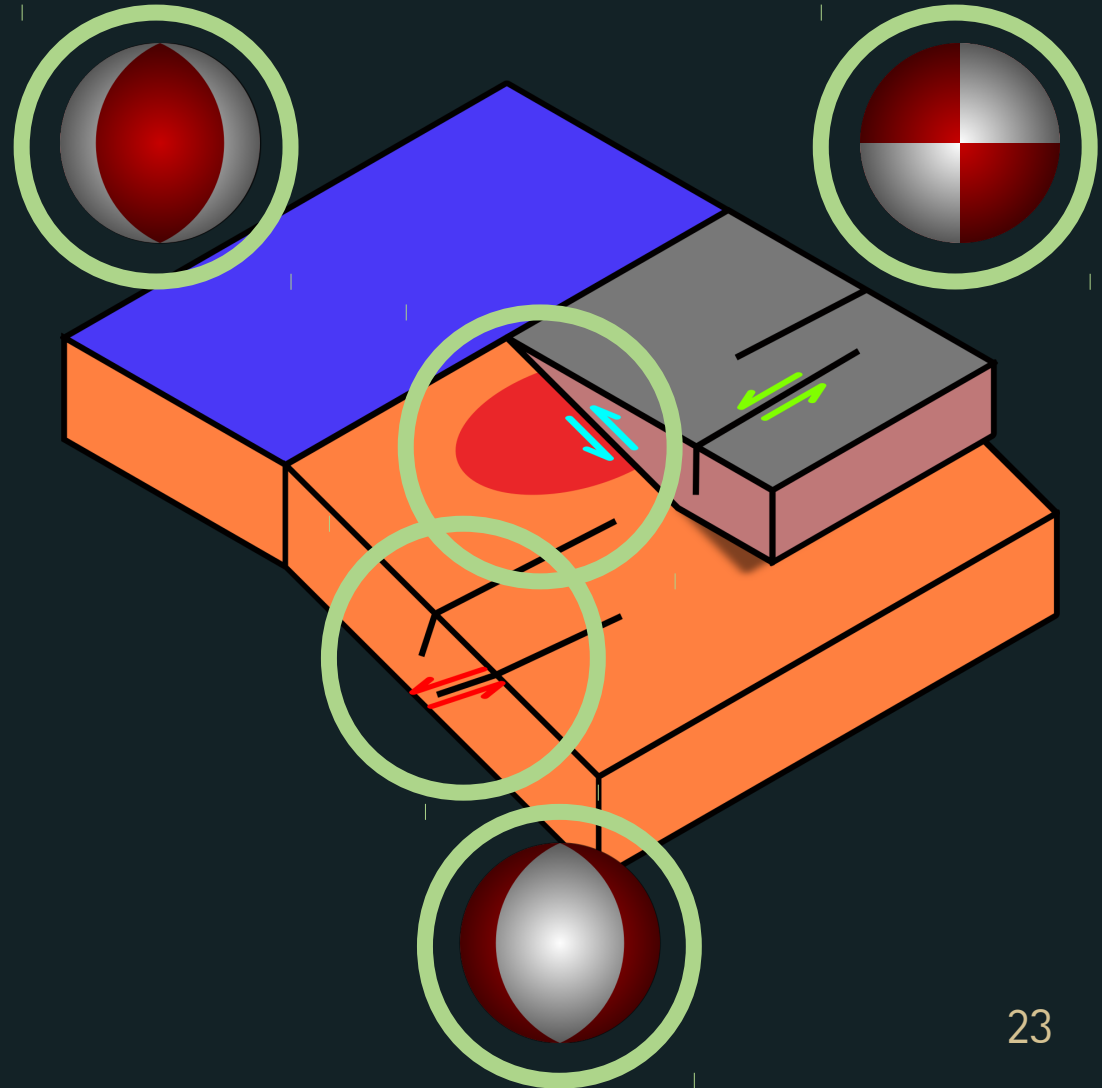
Normal

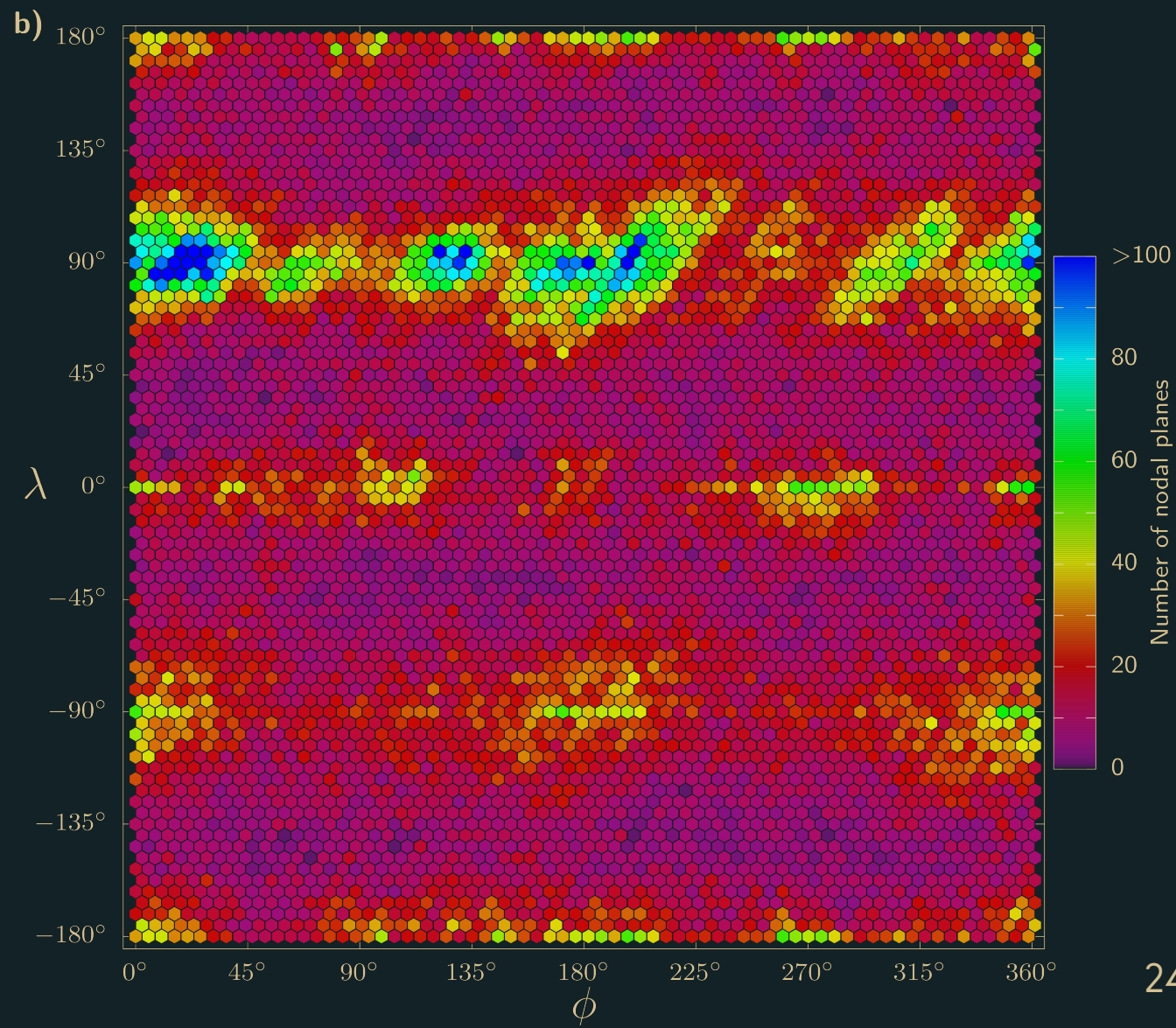
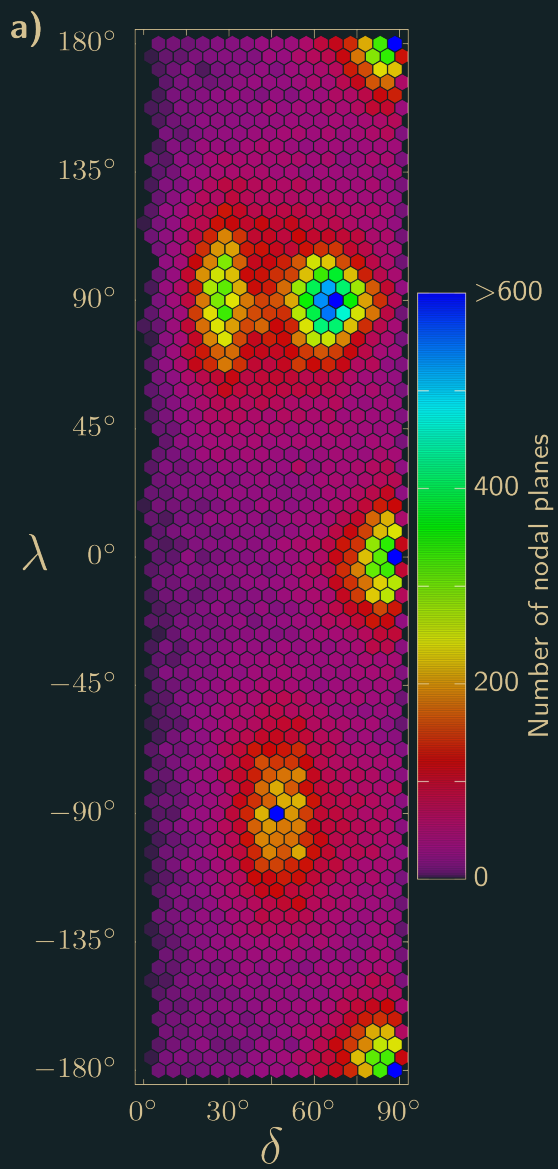
Strike-Slip

Tectonic setting

Interface

Intraslab





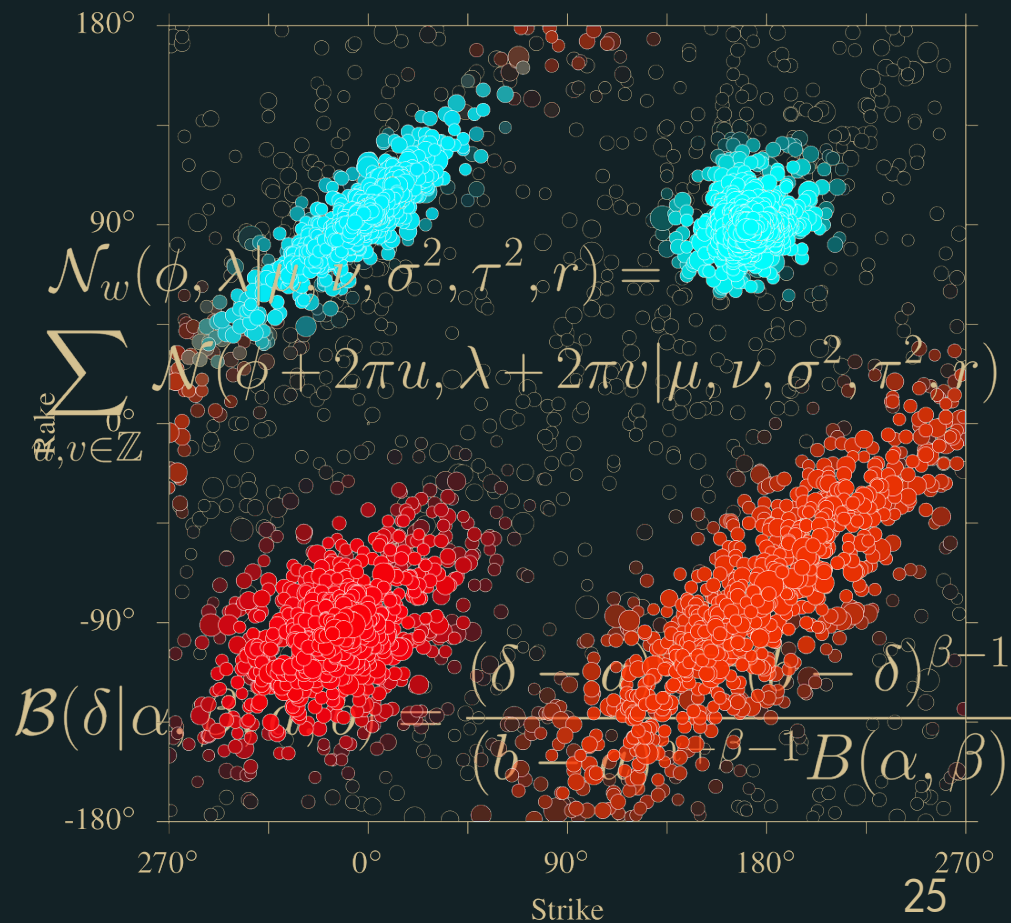
Objective

Identify clusters of same
SoF / tectonic setting

Model: joint probability

Bivariate wrapped
normal distribution

Beta distribution



discrete

VS

continuous

3. Choose your noodles:

WHEAT NOODLES 被麵

firm regular-sized noodles
also used for Japanese ramen

EGG NOODLES 雞蛋麵

thin wheat-and-egg noodles
with the vibrant flavor of egg

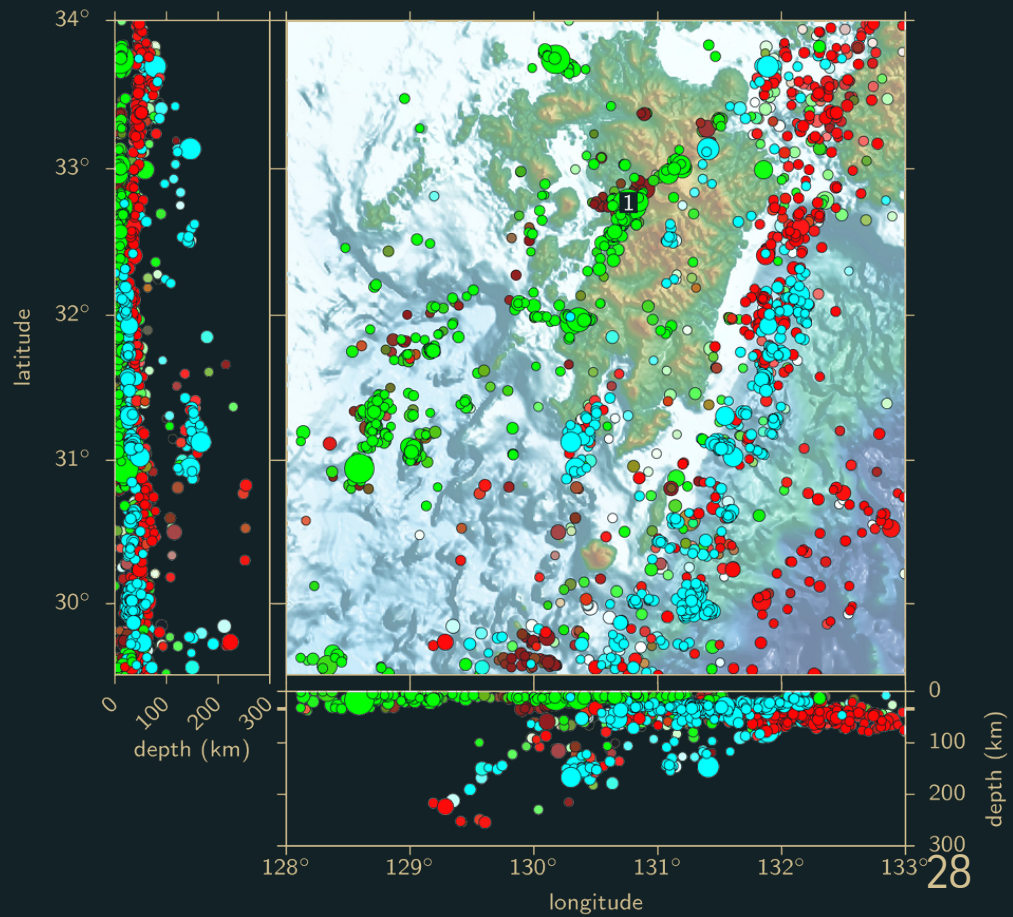
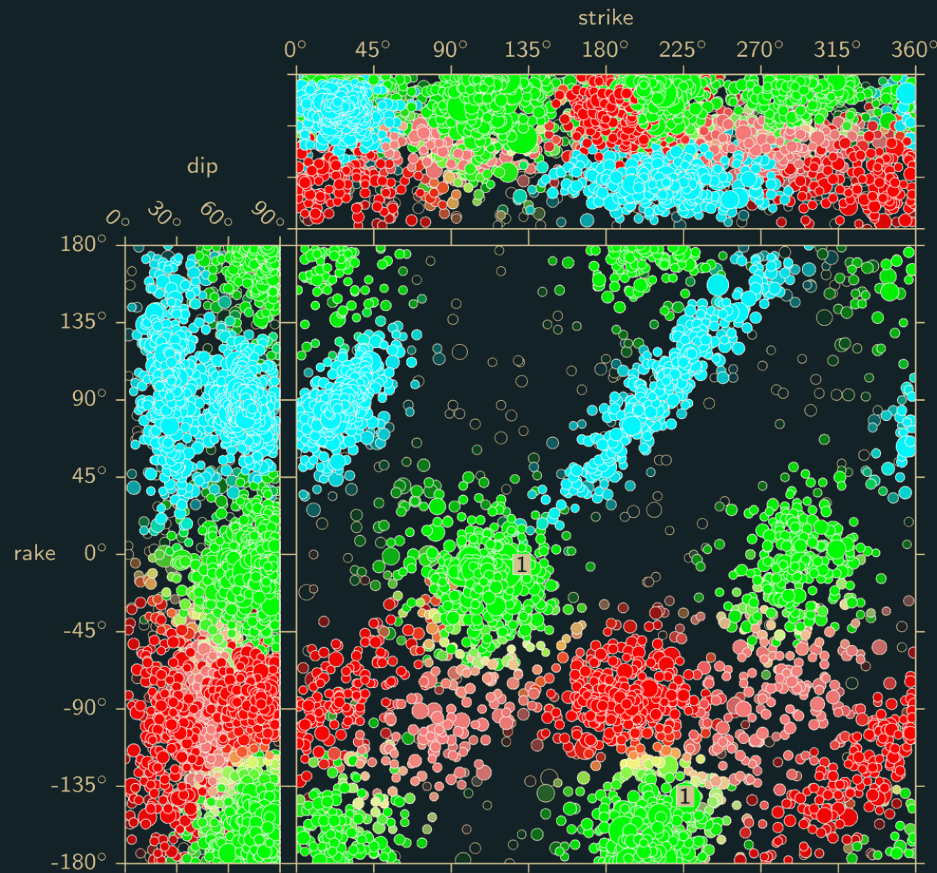
4. Choose your burn:

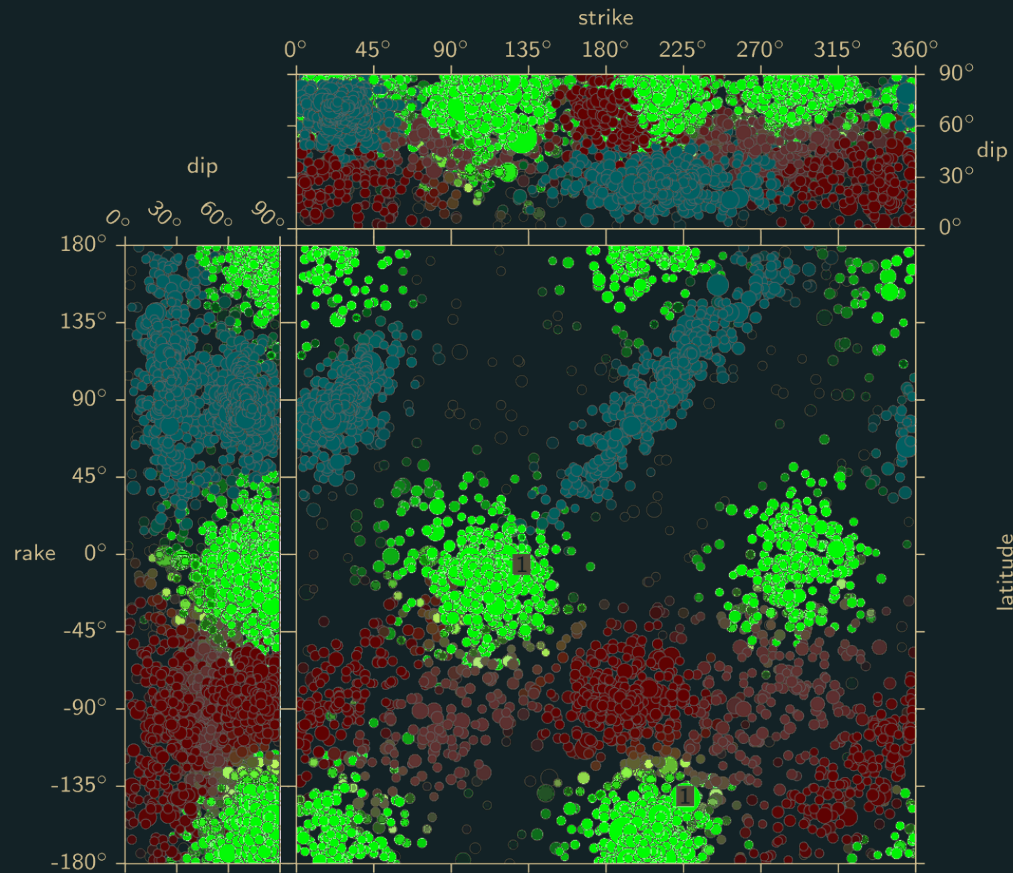


superEON.in

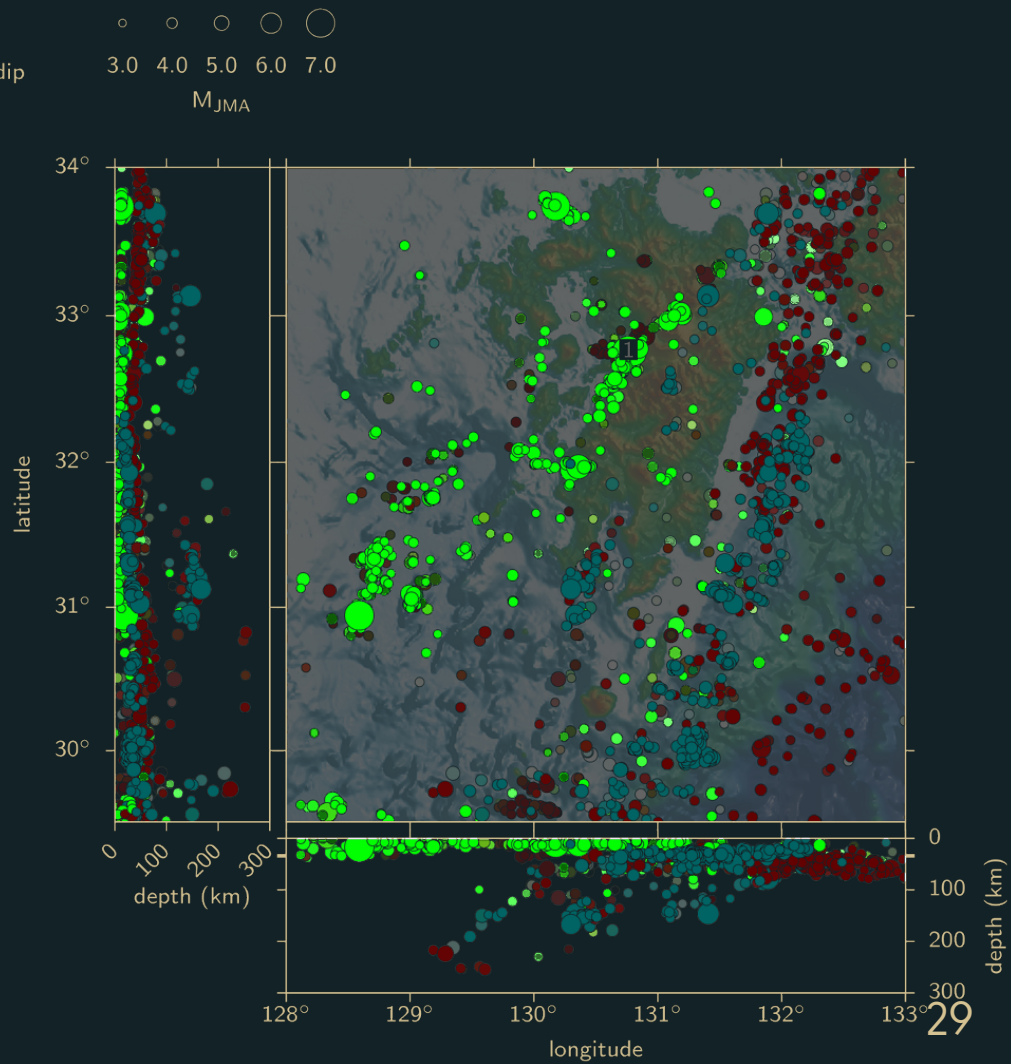
Example

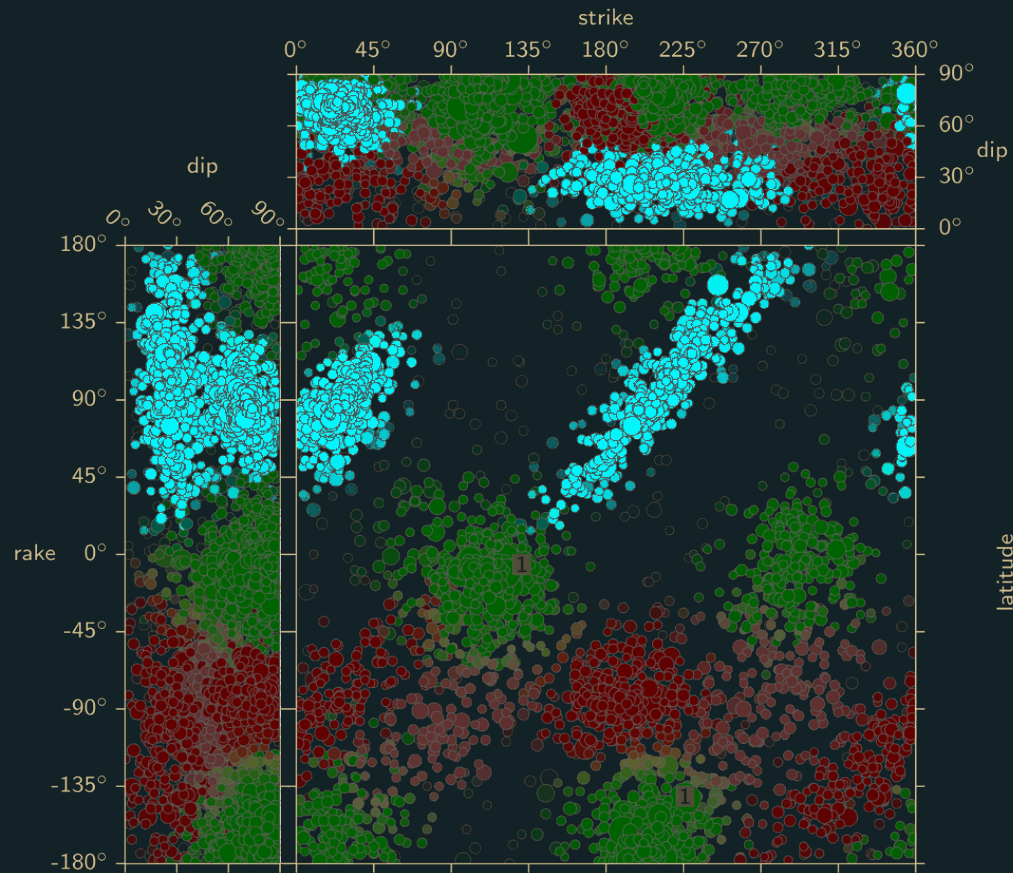
九州（日本）
Kyushu (Japan)



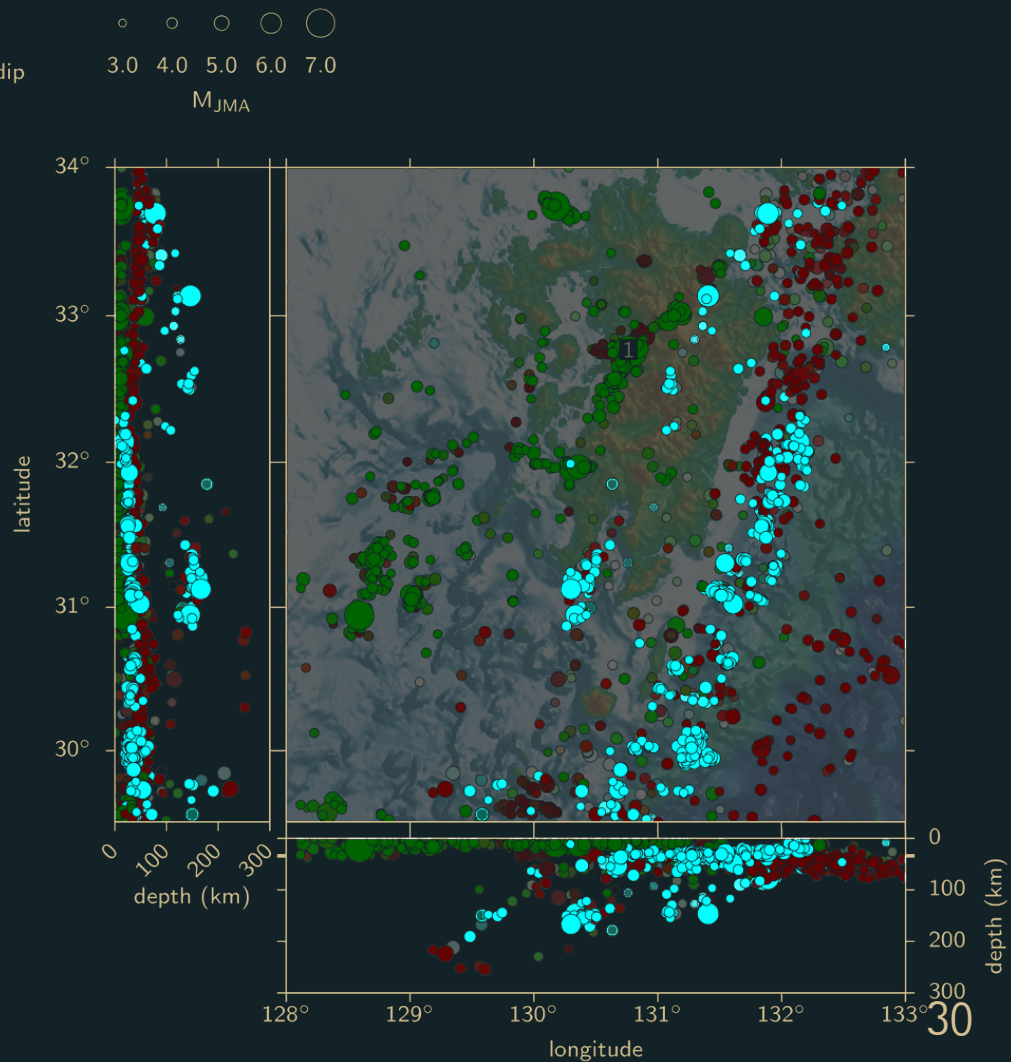


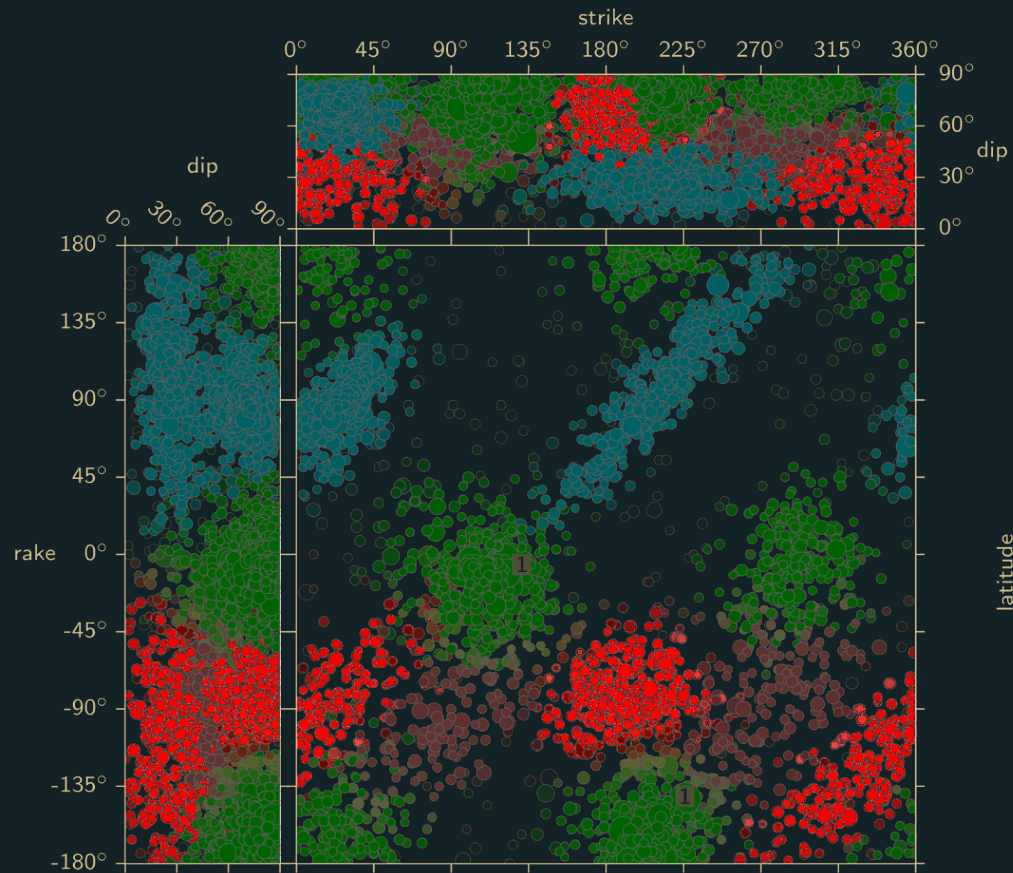
Strike-Slip



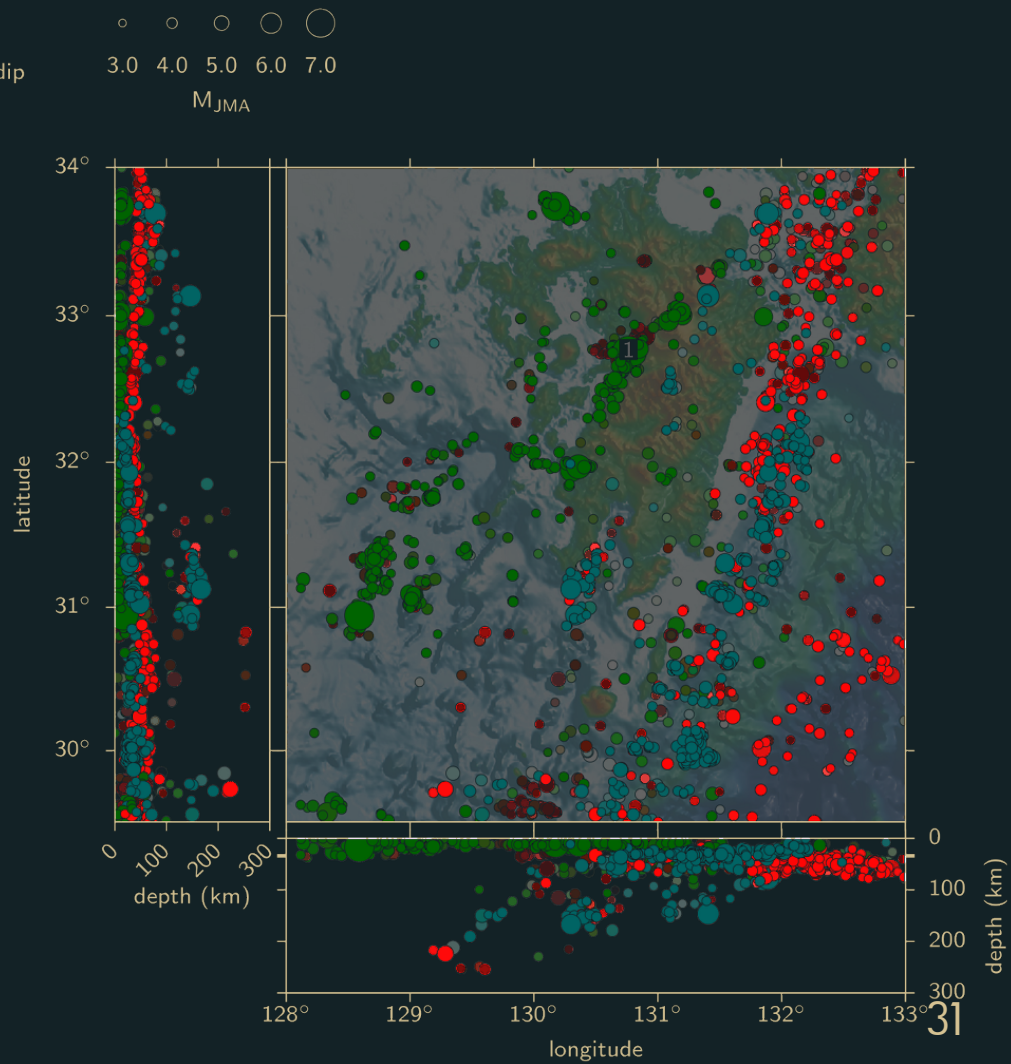


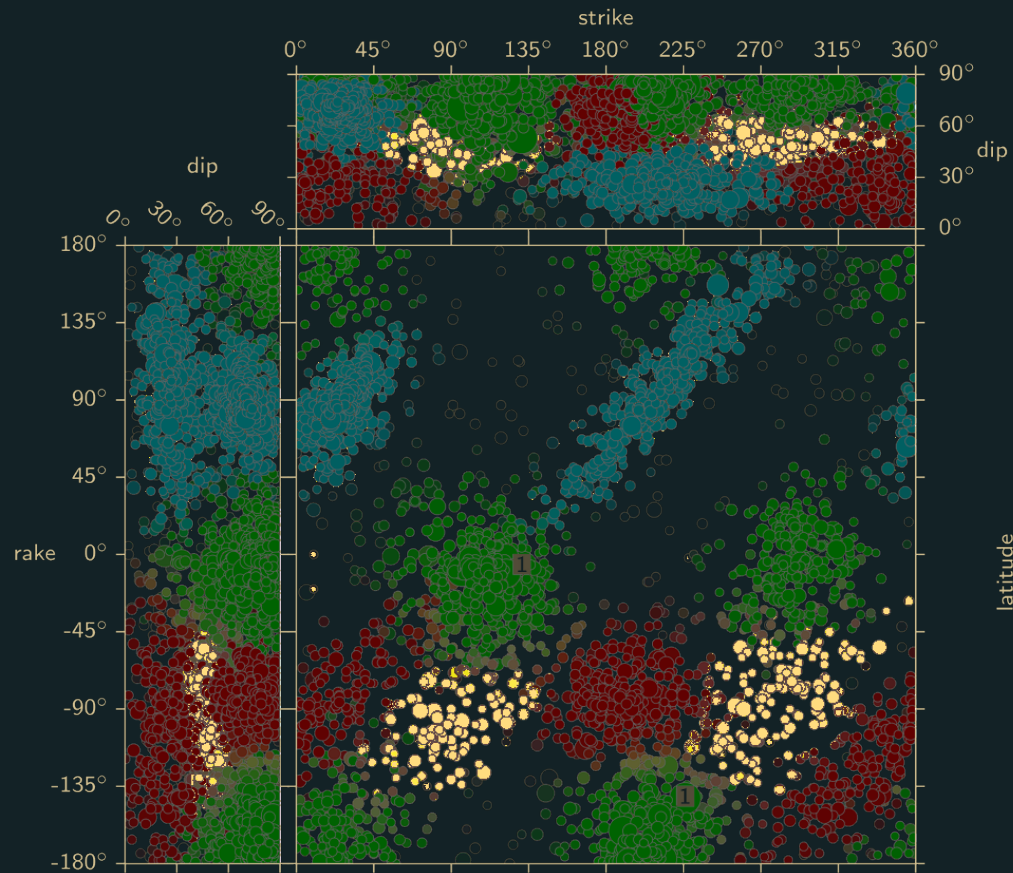
Interface



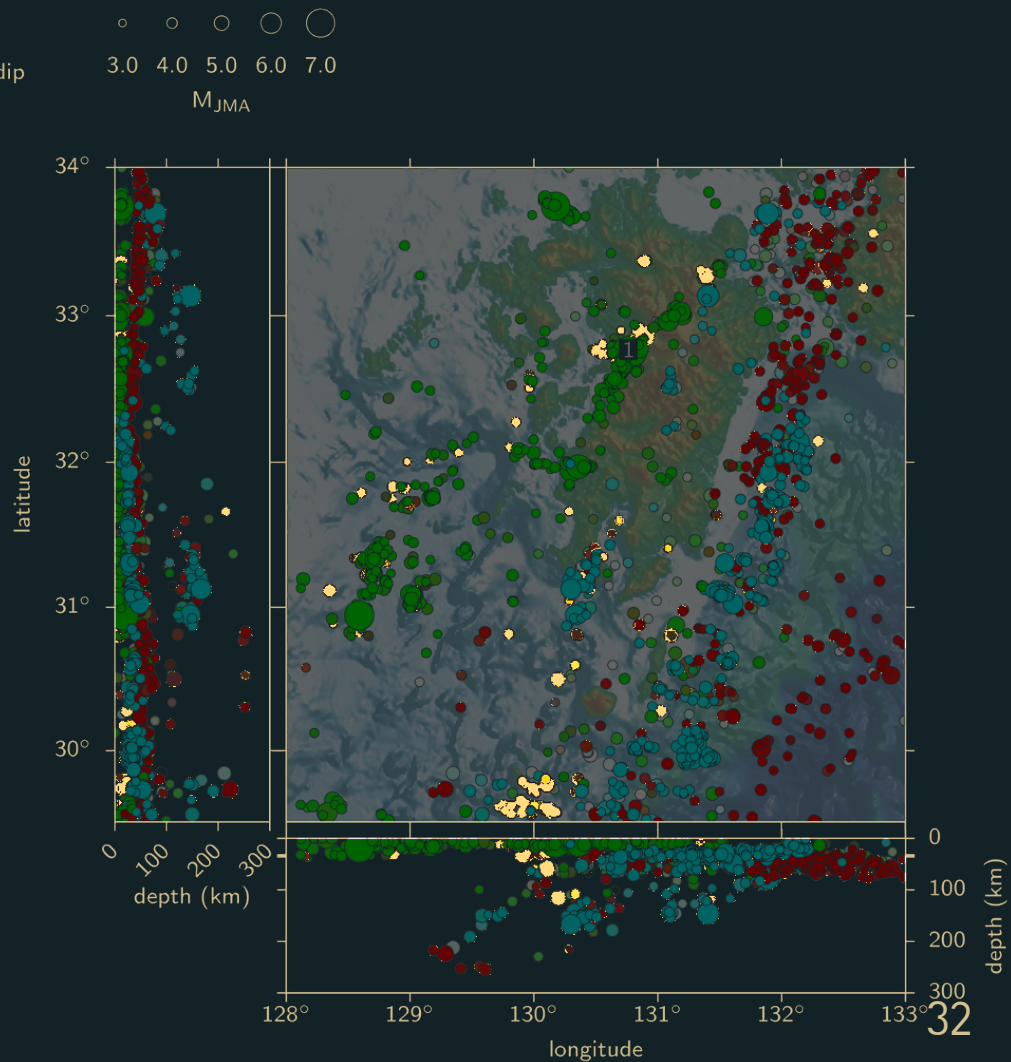


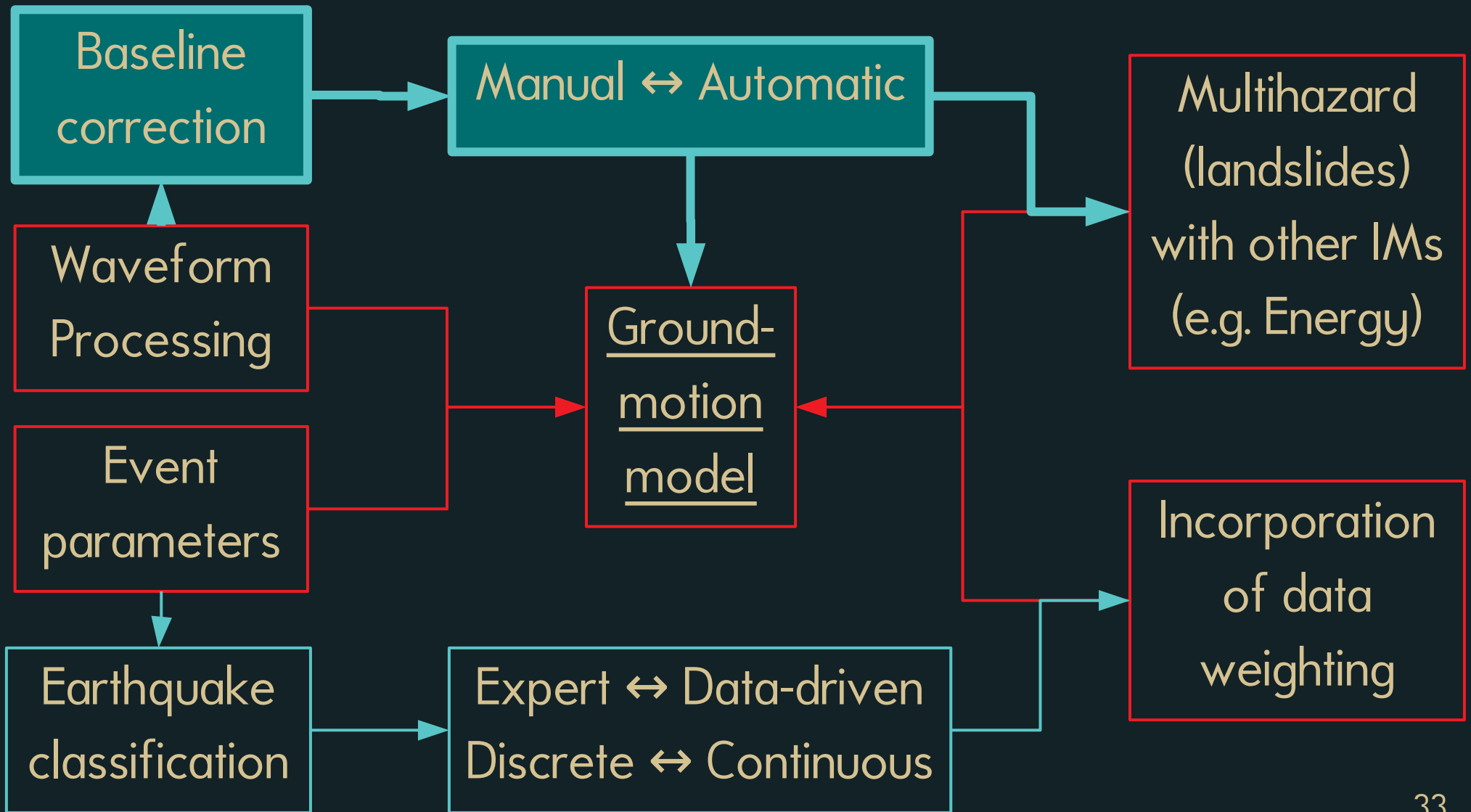
Intraslab





Okinawa trough





Integrated Combined Baseline Modification



Why correcting?

Spurious discontinuities in
accelerograms

Causes:

tilt, station movement

instrument noise

Effects:

drift in velocity &
displacement traces

bias in seismic energy,
high period response
spectra, PGV / PGD

Objective

Identify **number**,
amplitudes and times
of jumps

use integrated traces
(velocity)

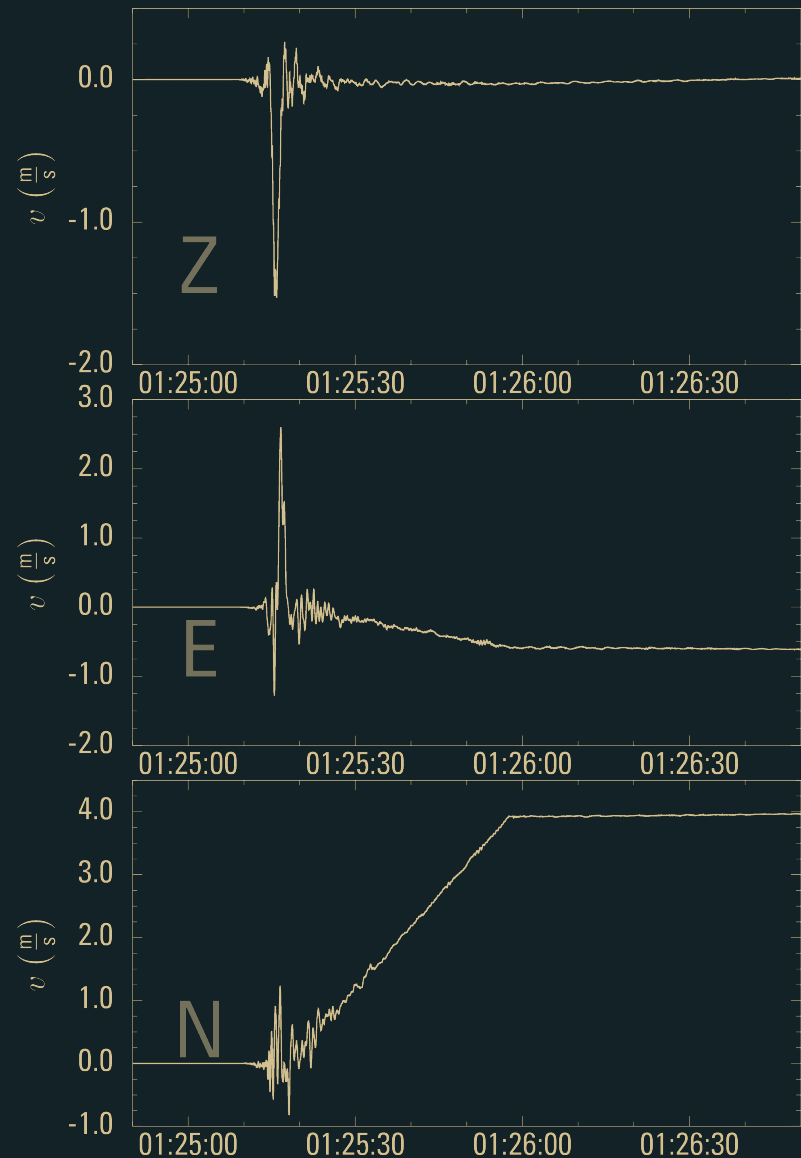


$$v(t) = a_0 t + \sum_{j=2}^M a_j h(t - T_j)$$

Conditions

Simultaneous jumps on
up to all three instrument
components

→ reduction of free
parameters



Conditions

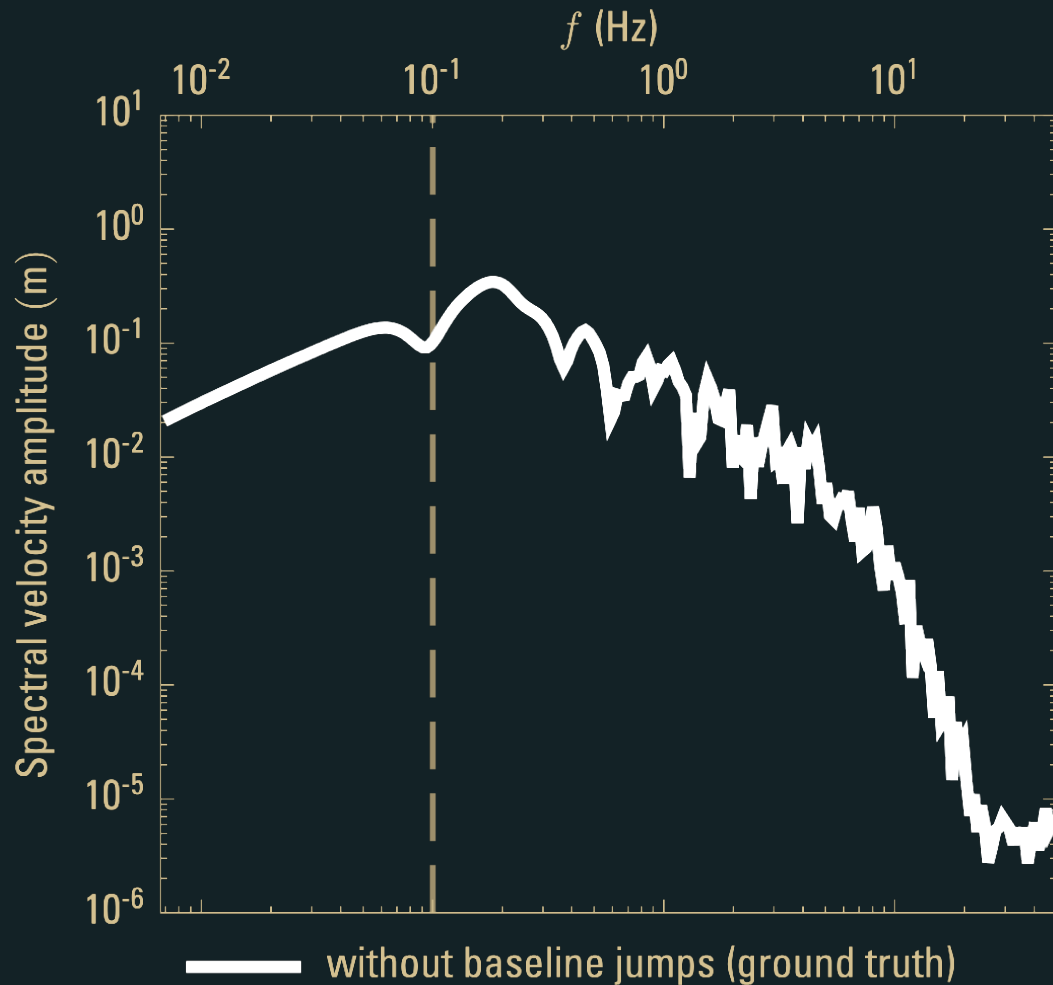
Avoid spectral
contamination

jump segments
related to corner
frequency / signal
duration

Conditions

Example:

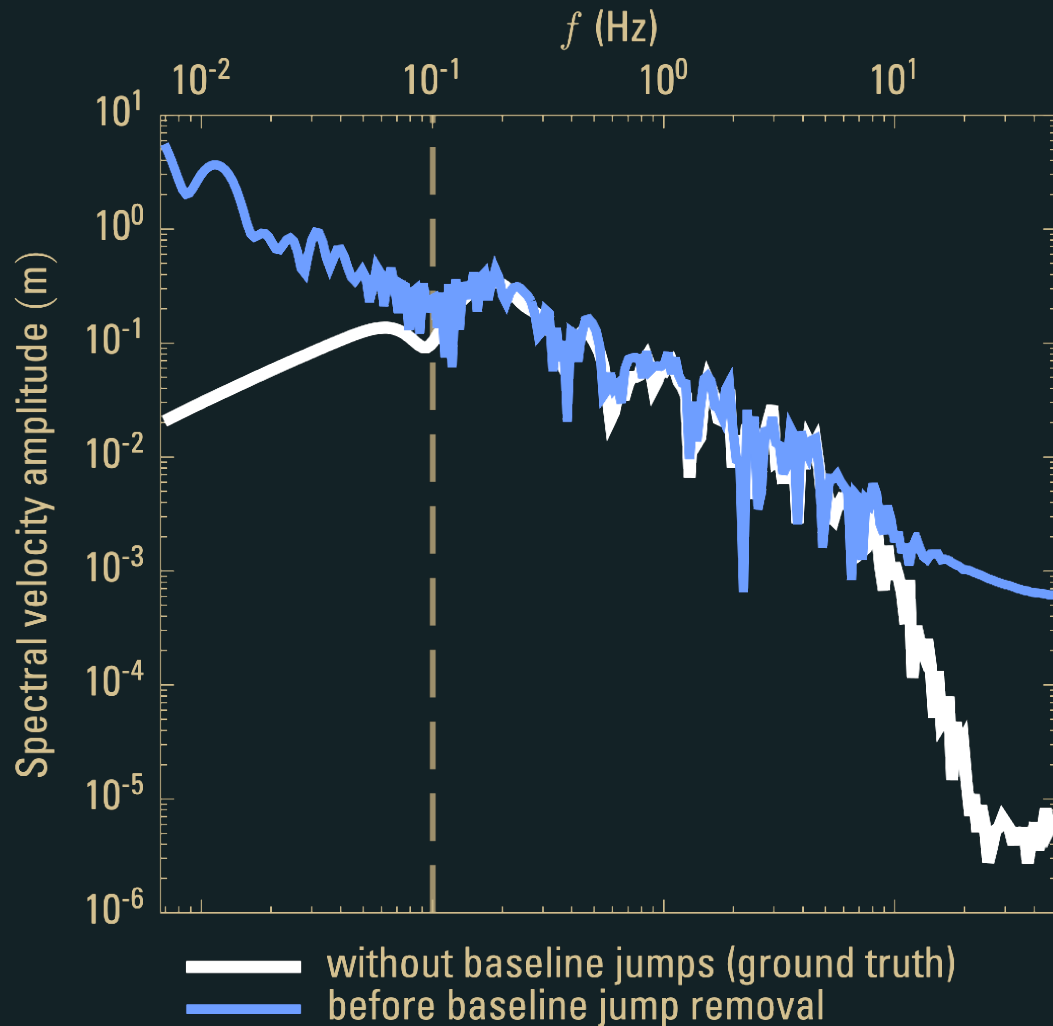
Synthetic spectrum
(after Boore 2003)



Conditions

Example:

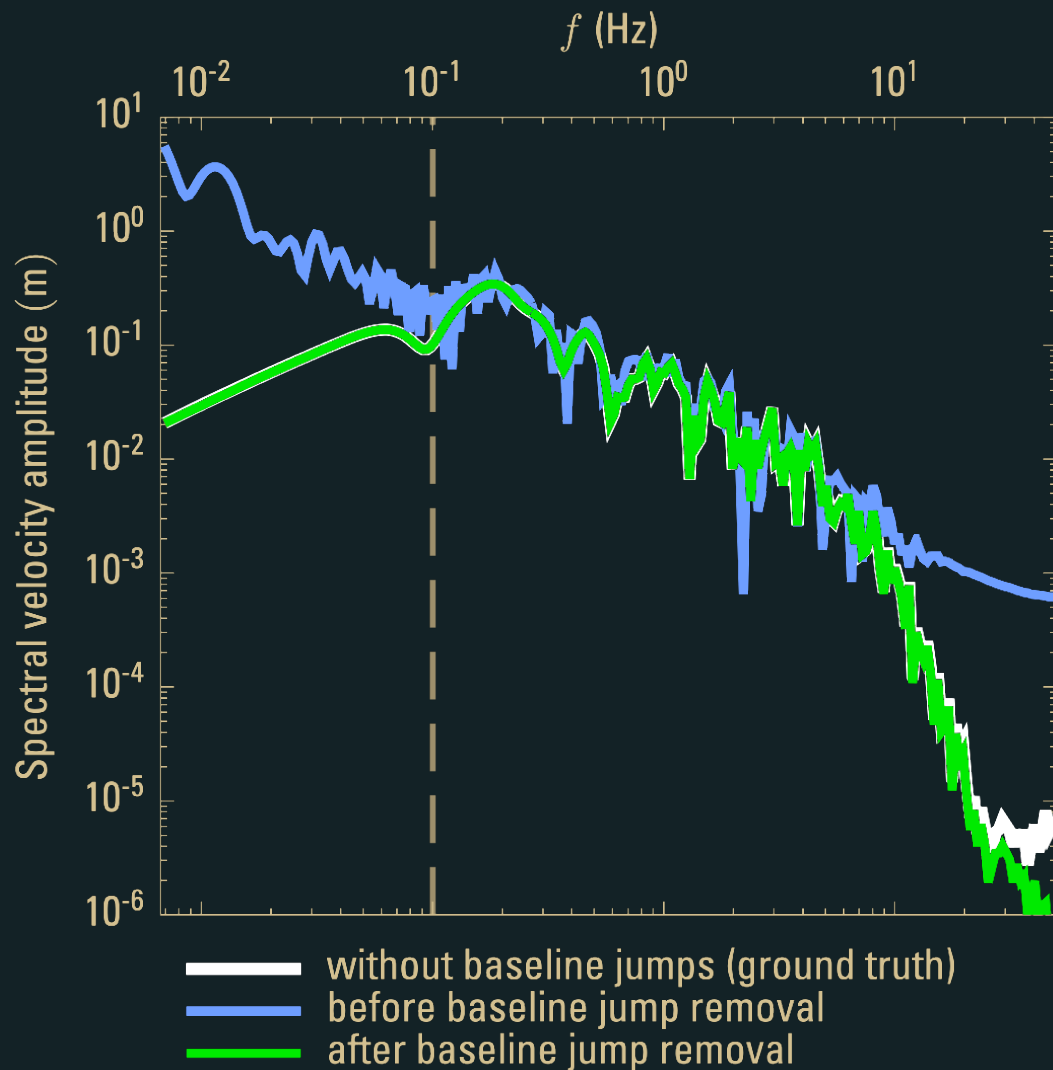
add baseline jumps
(on acceleration)



Conditions

Example:

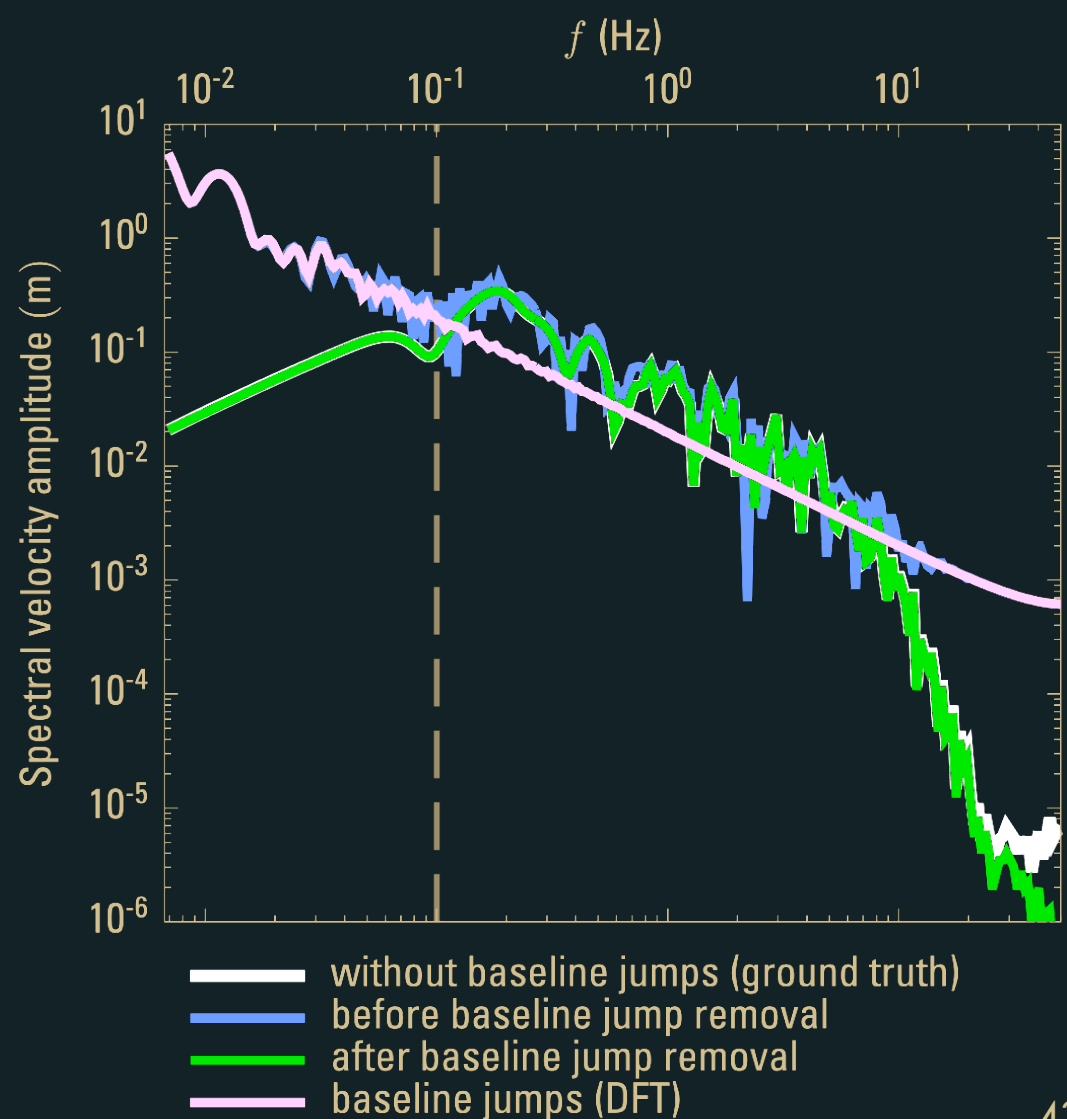
invert for baseline
jumps and remove
them



Conditions

Example:

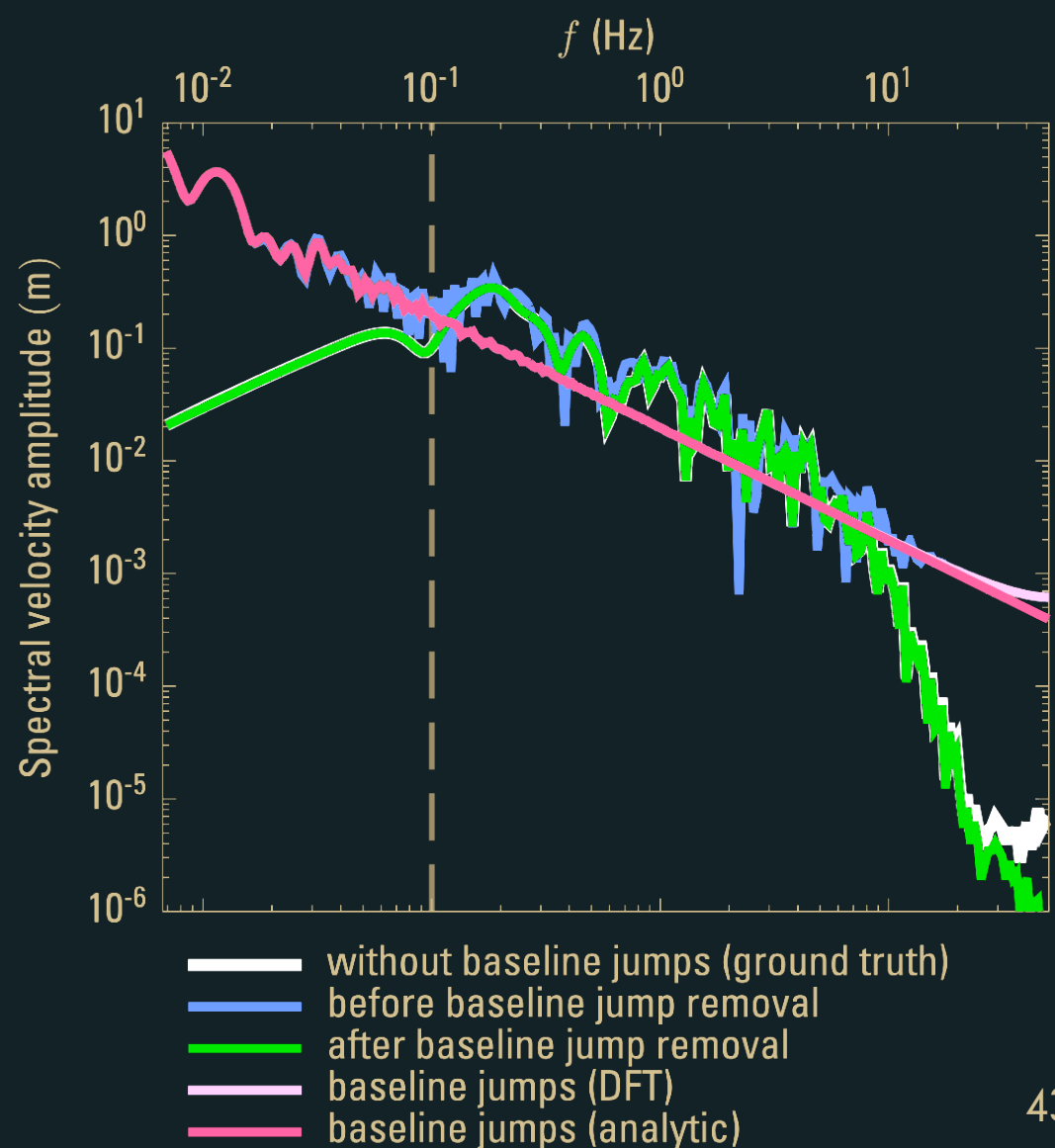
actual baseline jump
spectrum versus ...



Conditions

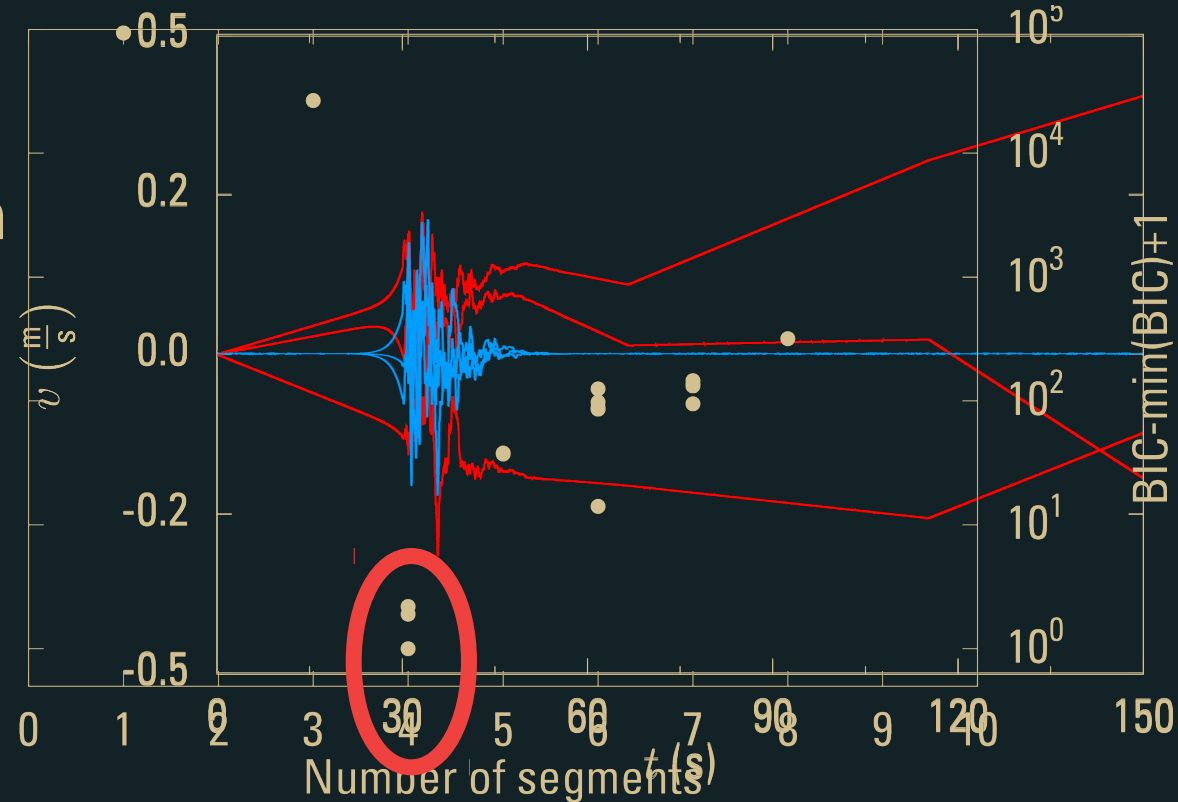
Example:

... analytic form
(which has been
actually removed)



Number of segments

parsimonious selection
with Bayesian
Information Criterion
(BIC)



Example

Estimation of static
displacement

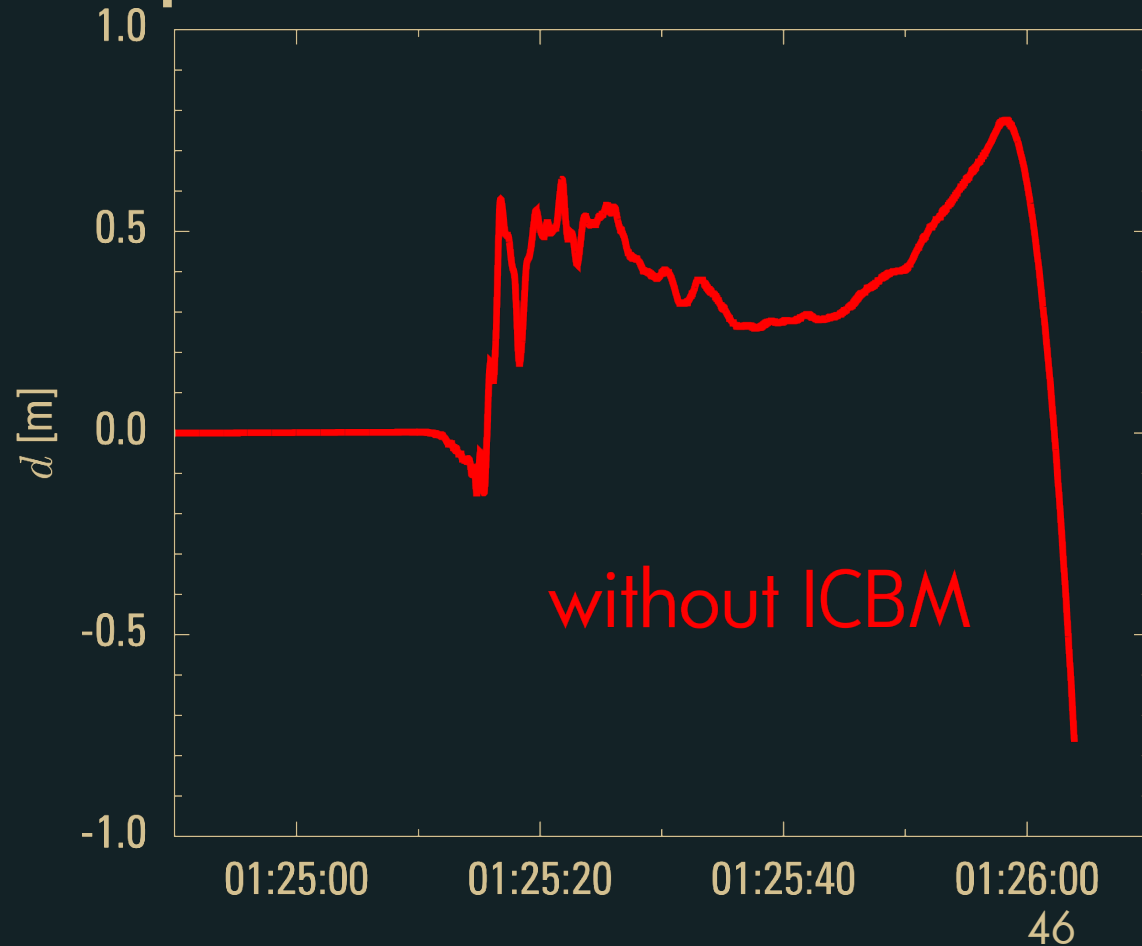
double integration of
acceleration after

Wang et al., 2012

Example

Estimation of static
displacement

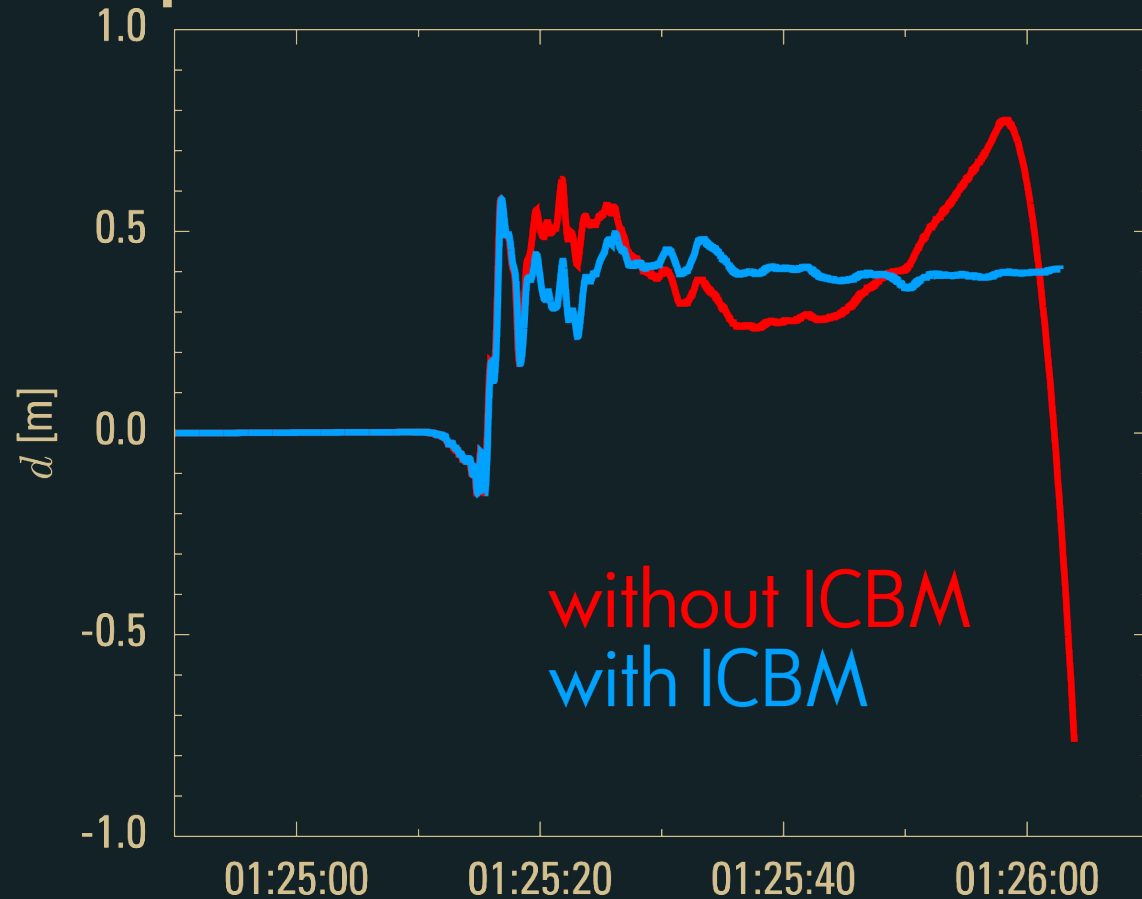
double integration of
acceleration after
Wang et al., 2012



Example

Estimation of static
displacement

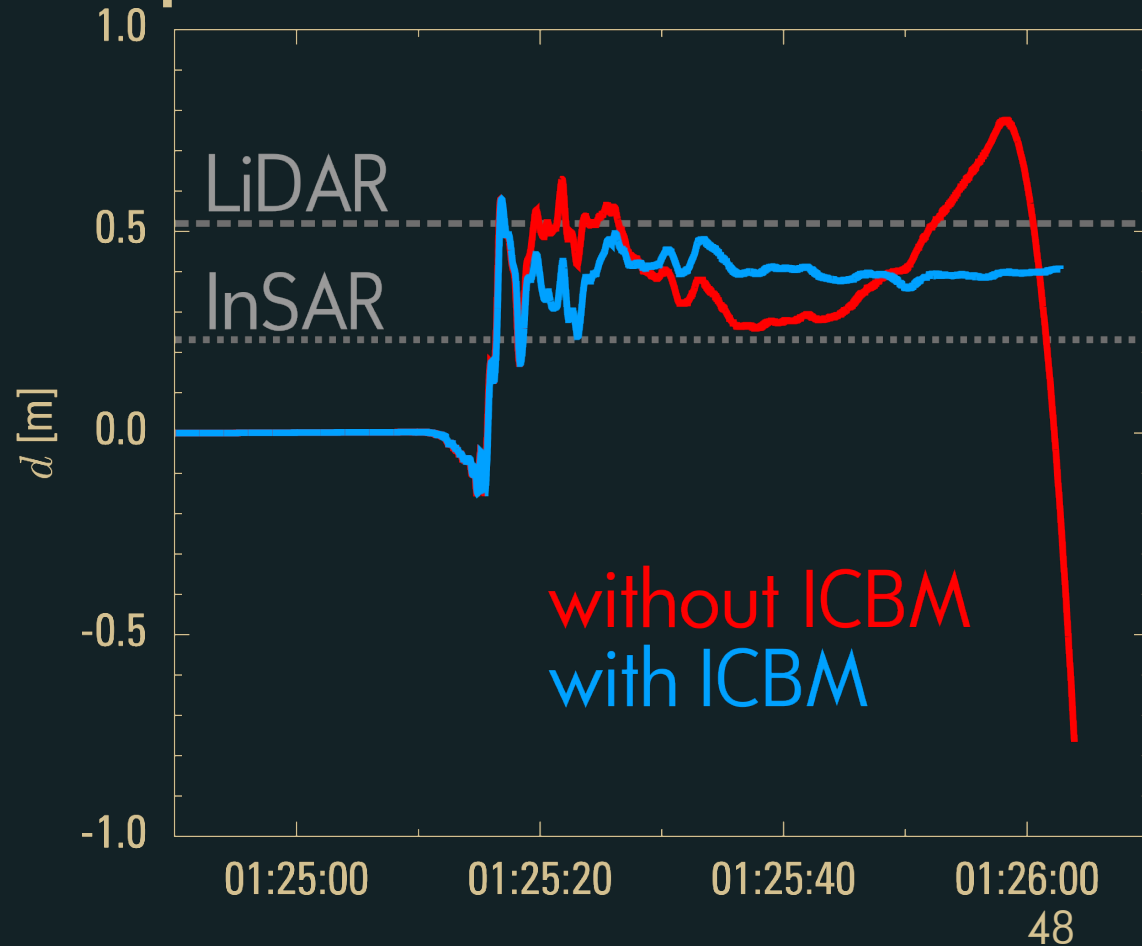
double integration of
acceleration after
Wang et al., 2012

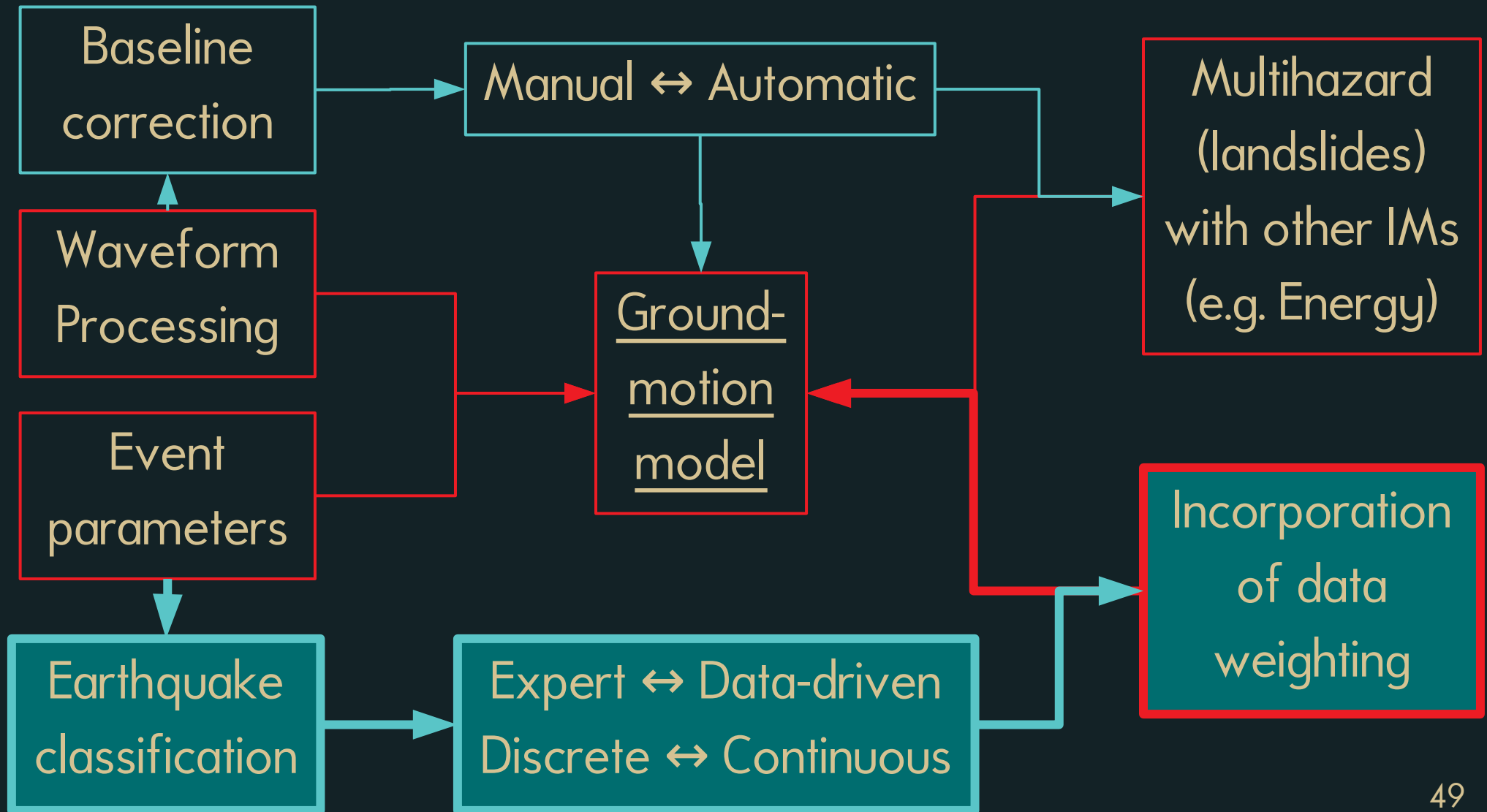


Example

Estimation of static
displacement

double integration of
acceleration after
Wang et al., 2012





Mixed effect regression

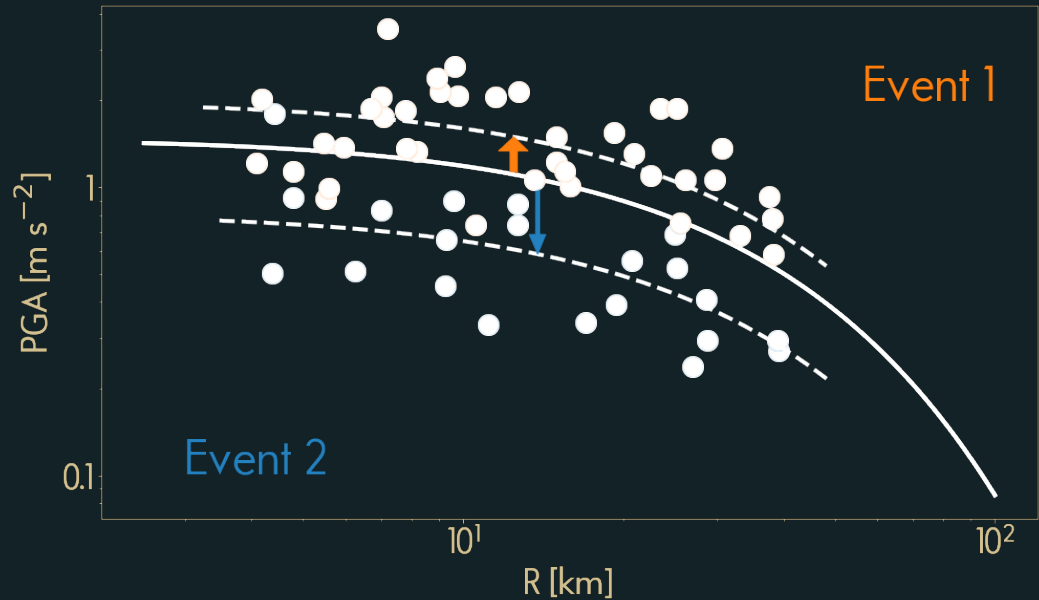
Widely used model

consists of two parts:

fixed effects model

random effects model

$$y = \mathbf{A}p + \mathbf{B}q + \epsilon$$



Mixed effect regression

Different approaches to
handle random effects

some hybrid models

inconsistent with theory

possibly biased results

harder to reproduce

How to incorporate data
classification, e.g. SoF?

Discrete:

easy → leave out

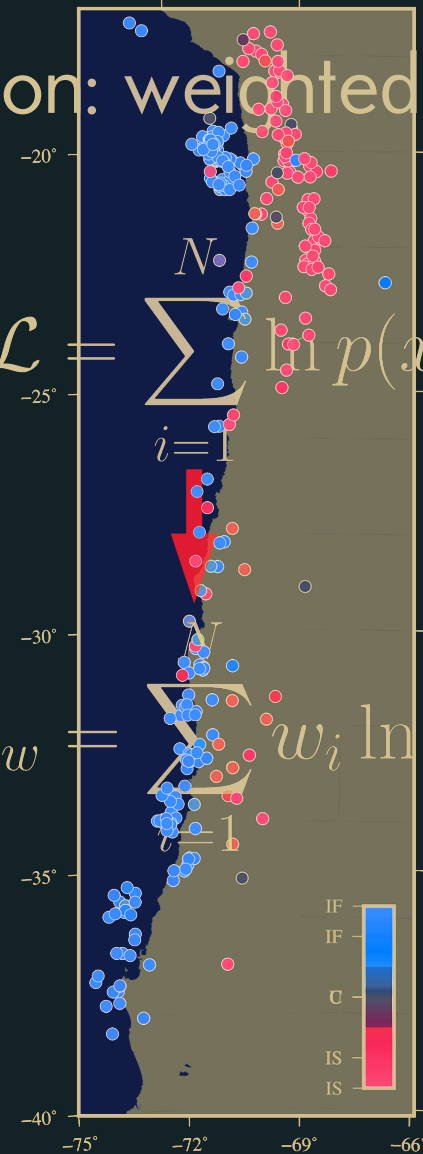
Continuous:

treat as measurement error?
→ bias in variance estimates

Solution: weighted likelihood

$$\ln \mathcal{L} = \sum_{i=1}^N \ln p(x_i | \theta)$$

$$\ln \mathcal{L}_w = \sum_{i=1}^N w_i \ln p(x_i | \theta)$$



One form to fit them all

Standardized approach:

implementation of any
function type

→ meta-model

Example:

$$\begin{aligned} y = & aM_W + br_{rup} - (c + dM_W) \ln r_{rup} \\ & + e \begin{cases} z & \text{if } z \leq 125 \text{ km} \\ 125 & \text{otherwise} \end{cases} \\ & + \begin{cases} w_i(q_i(M_W - 6.3)^2 + s_i) \\ w_s(q_s(M_W - 6.5)^2 + s_s + s_{sl} \ln r_{rup}) \end{cases} \\ & + x \ln v_{S30} \end{aligned}$$

from Händel
et al. (2015)

One form to combine them

clear work frame for any

random effect structure

and

continuous data
classification

$$\hat{\mathbf{p}} = (\mathbf{A}^T \mathbf{V} \mathbf{S}^{-1} \mathbf{V} \mathbf{A})^{-1} \mathbf{A}^T \mathbf{V} \mathbf{S}^{-1} \mathbf{V} \mathbf{y}$$

One form to bring them all...

... and in the
covariance bind them

$$\mathbf{S} = \mathbf{C} + \mathbf{BDB}^T$$

random effects covariance
factor matrix

$$\mathbf{C} = \sigma^2 \mathbf{I}$$

Within-event covariance

$$\mathbf{B} = (\mathbf{B}_1 \ \mathbf{B}_2 \ \cdots \ \mathbf{B}_K)$$

random effects matrix

(between events,

between sites, etc.)

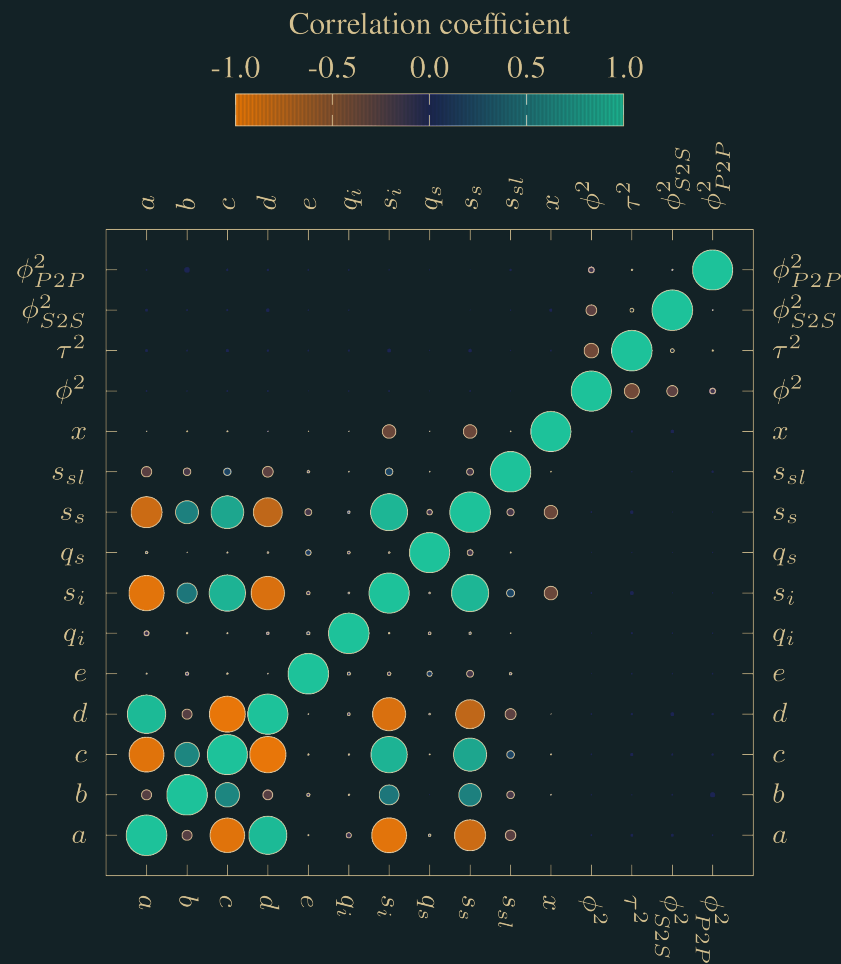
$$\mathbf{D} =$$

$$\begin{pmatrix} \tau_1 \mathbf{I} & \mathbf{0} & \cdots & \mathbf{0} \\ \mathbf{0} & \tau_2 \mathbf{I} & \cdots & \mathbf{0} \\ \vdots & \vdots & \ddots & \vdots \\ \mathbf{0} & \mathbf{0} & \cdots & \tau_K \mathbf{I} \end{pmatrix}$$

Random effects splitting

variable residual splitting
possible

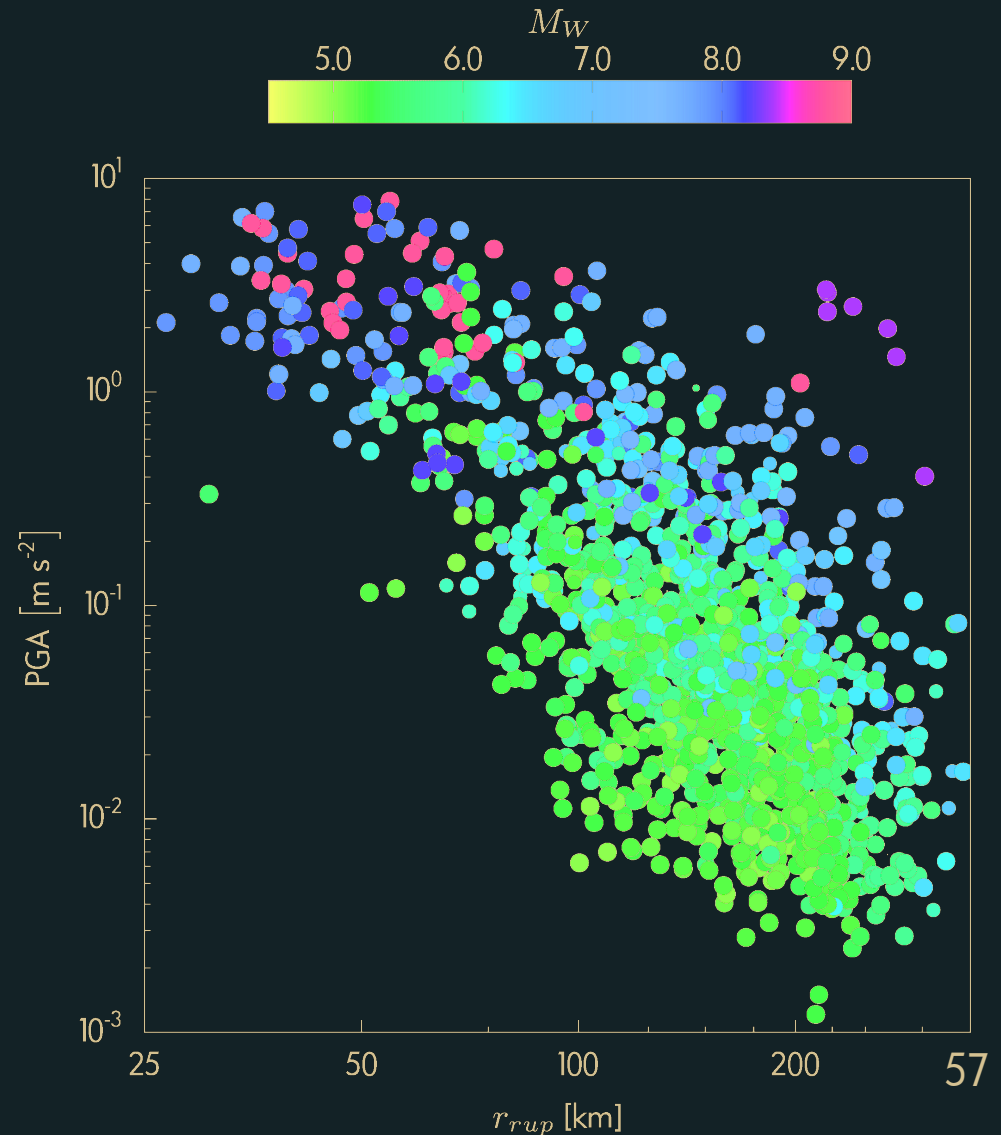
→ Fisher information shows:
random effect variances
independent from fixed
effects parameters



Model for Chile

Strong-motion flatfile
(Bastias & Montalva,
2016)

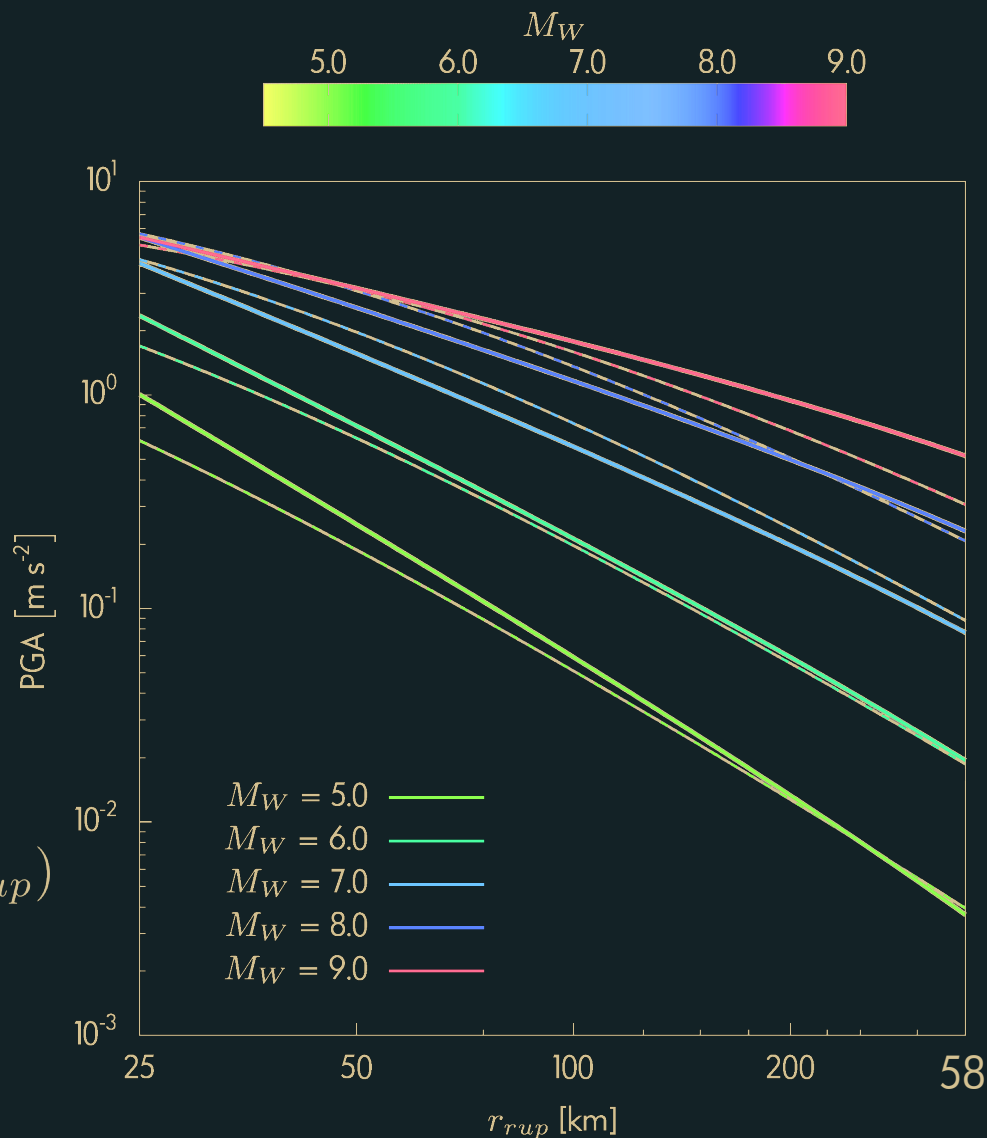
Focal mechanisms for
ACE from Global CMT



Model for Chile

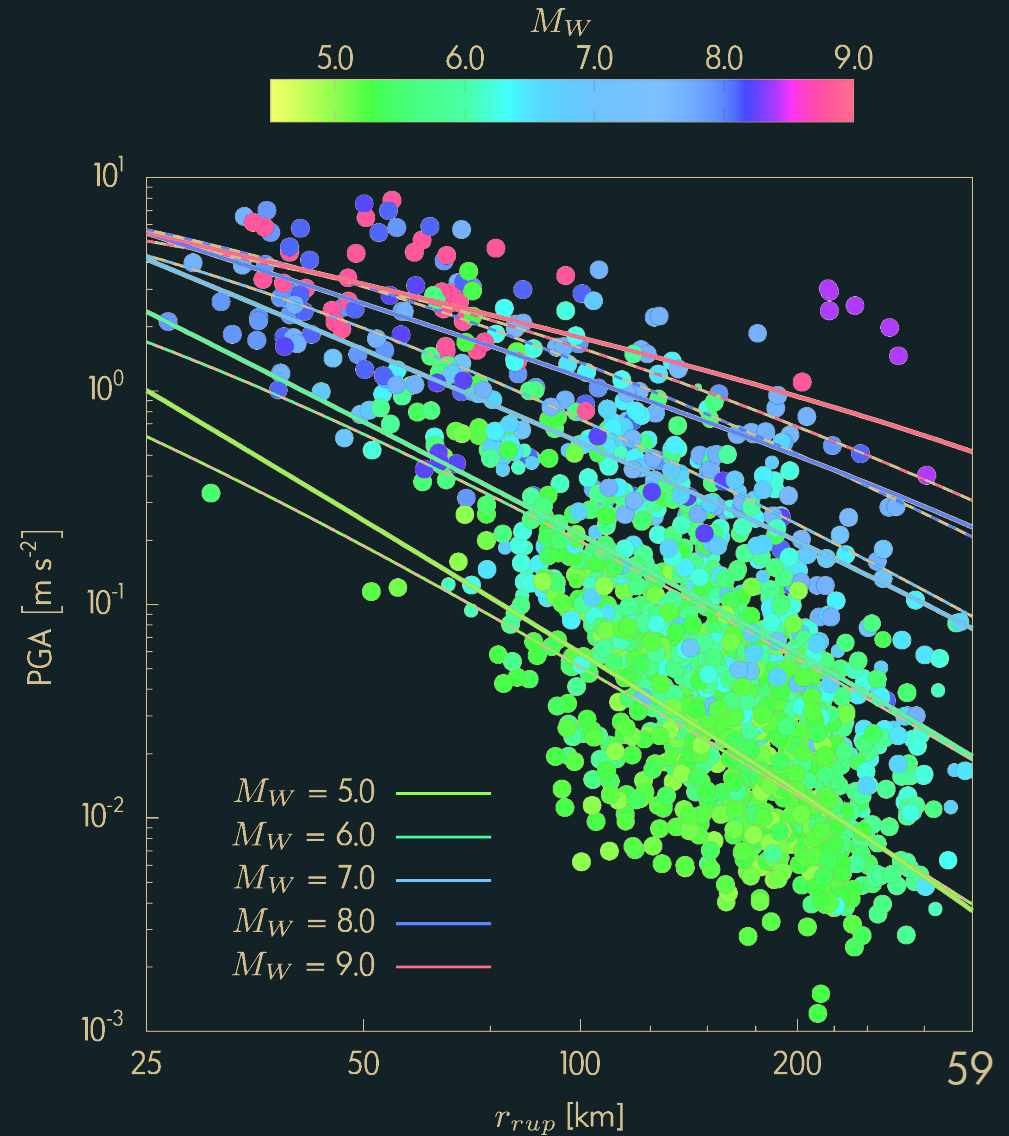
Functional form:

$$\begin{aligned}
 y = & aM_W + br_{rup} - (c + dM_W) \ln r_{rup} \\
 & + e \begin{cases} z & \text{if } z \leq 125 \text{ km} \\ 125 & \text{otherwise} \end{cases} \\
 & + \begin{cases} w_i(q_i(M_W - 6.3)^2 + s_i) \\ w_s(q_s(M_W - 6.5)^2 + s_s + s_{sl} \ln r_{rup}) \end{cases} \\
 & + x \ln v_{S30}
 \end{aligned}$$



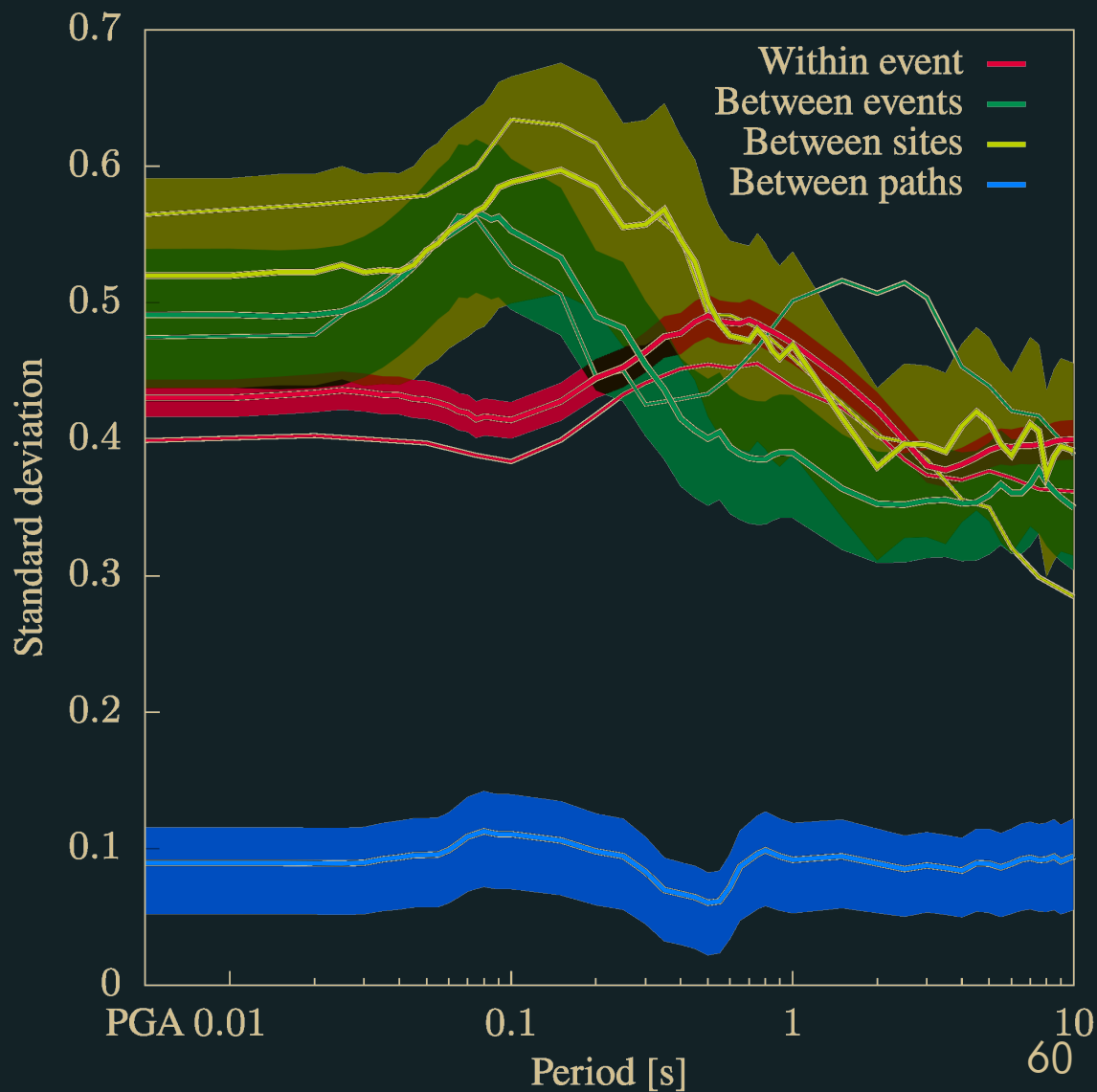
Model for Chile

Similar performance in
fixed effects and ...



Model for Chile

... random effect
variances



Conclusion & Outlook

Event classification

- apply globally

- combination with seismicity models (e.g. Bayona et al., 2019)

- use in stress tensor inversion (von Specht et al., 2018)

- time dependent parameters

Conclusion & Outlook

Baseline correction

implement in standard processing for strong motion flat files (e.g. NGAwest)

preprocessing step for radiated seismic energy estimation (e.g. von Specht et al., 2019)

Conclusion & Outlook

Ground motion model

development of purely data-driven ground motion models
(from database to final model)

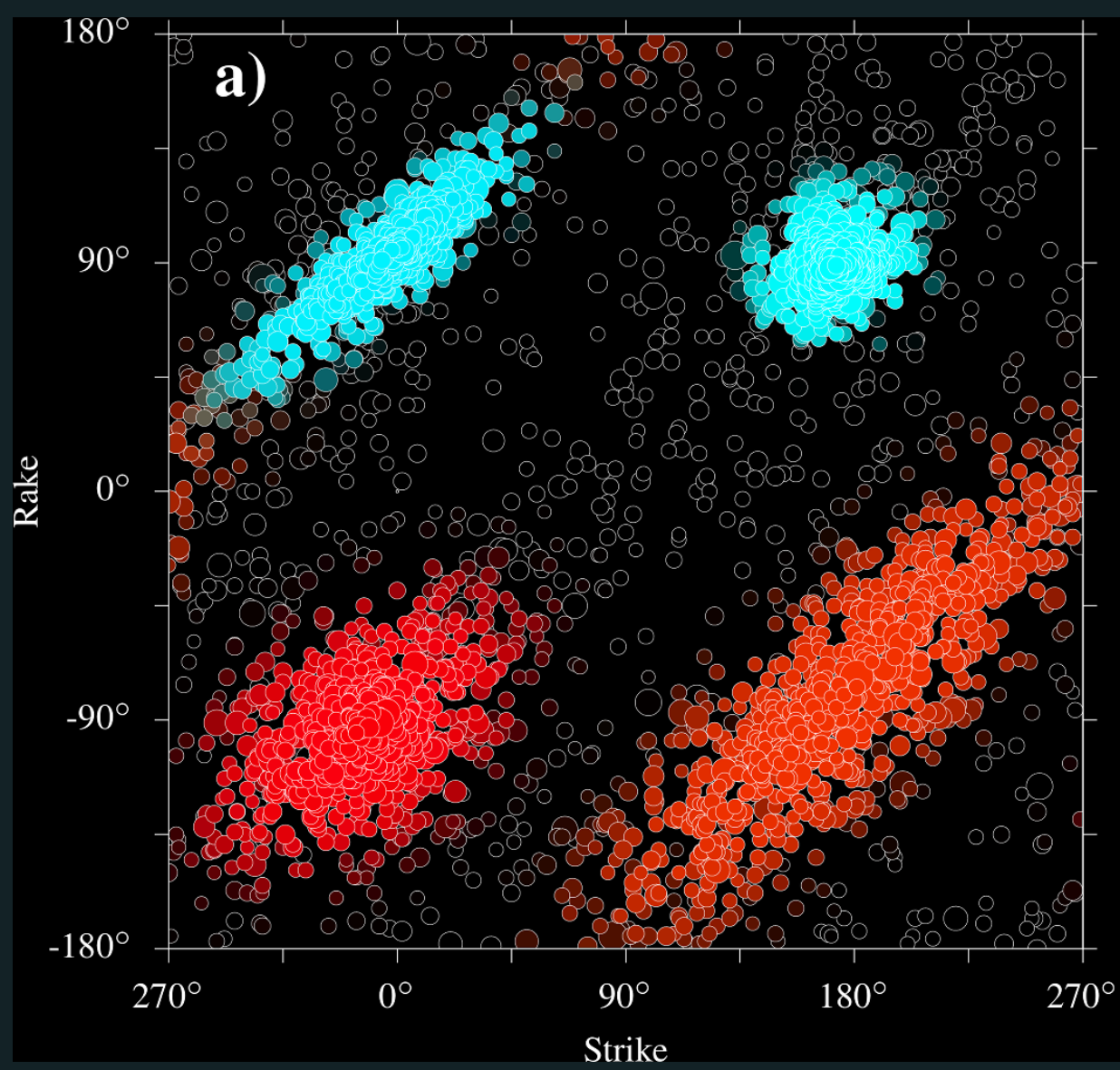
random effect terms as function of magnitude, distance etc.

hazard cascade specific models (seismically triggered
landslides)

Thank you!

地震の桜



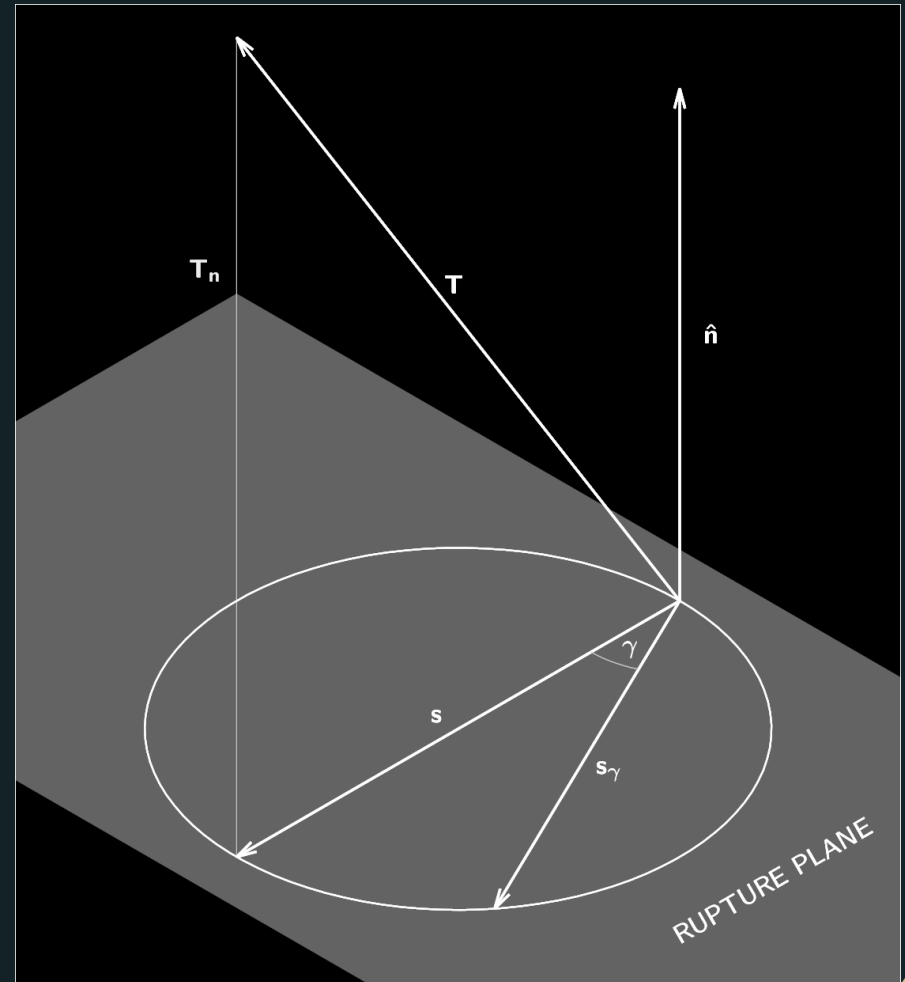


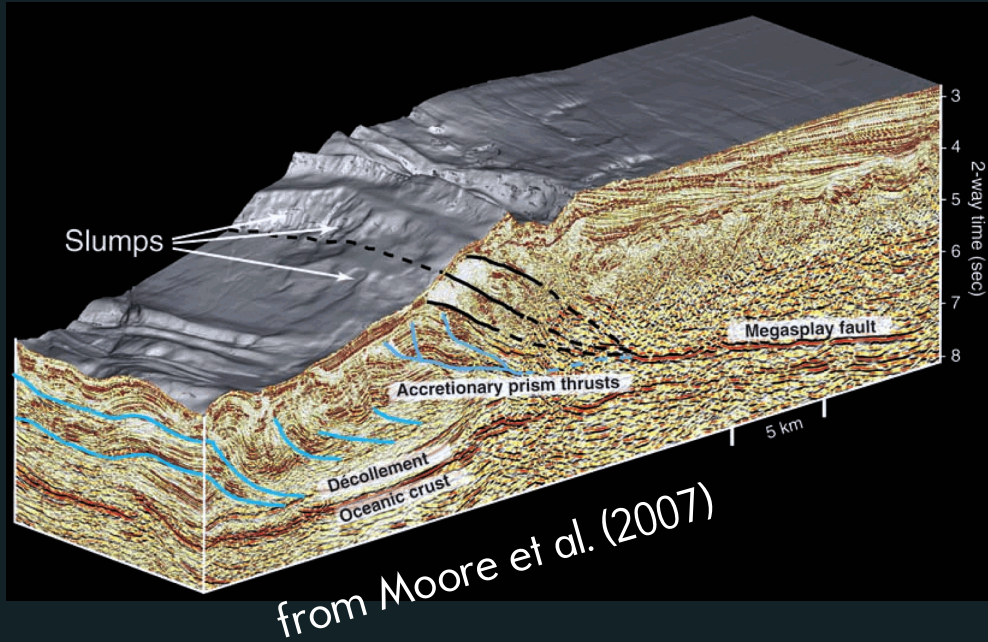
All models are wrong –
some are useful

Fault slip oriented in
maximum resolved shear
stress

(Wallace-Bott hypothesis)

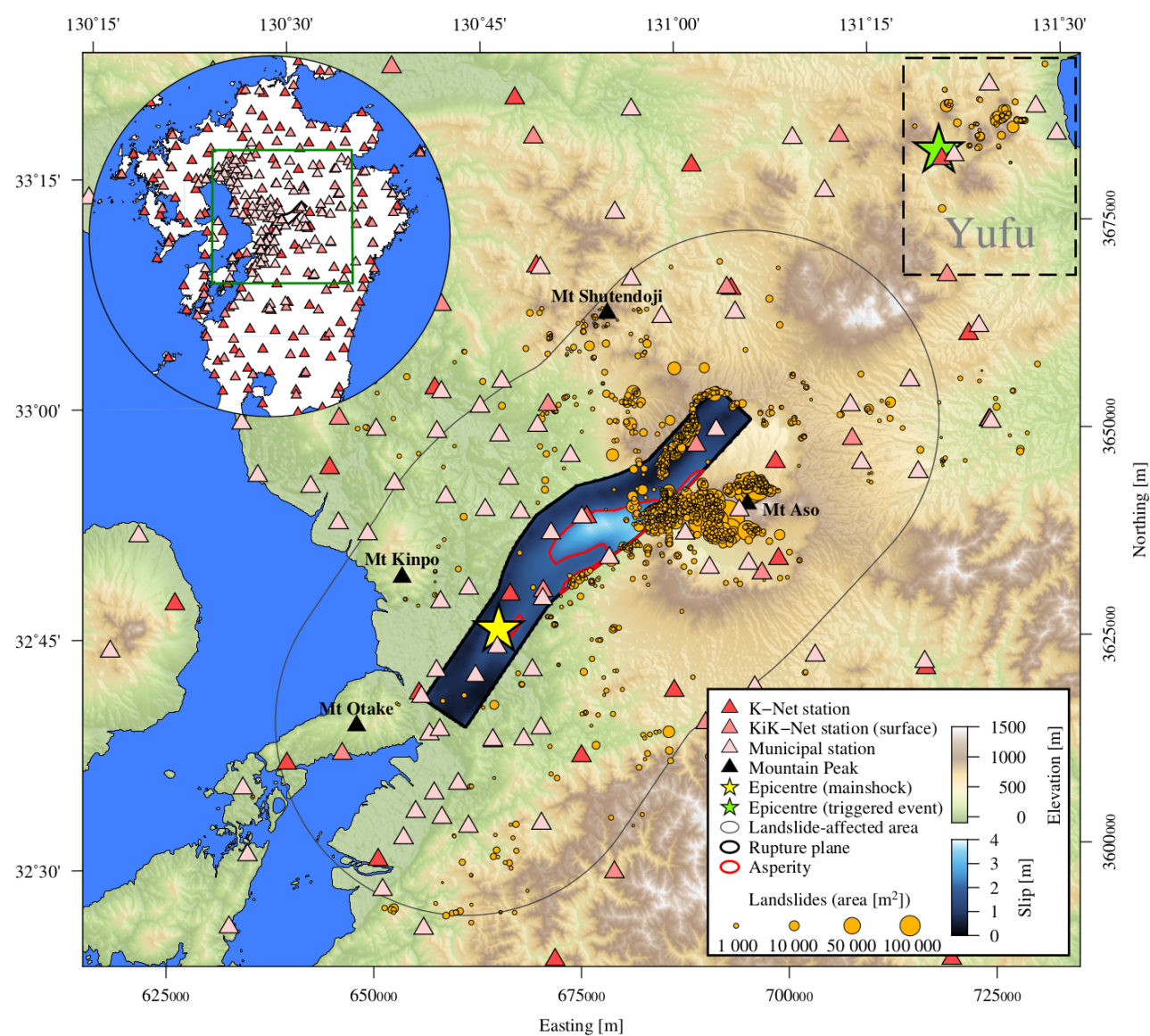
→ large deviation possible
but less likely

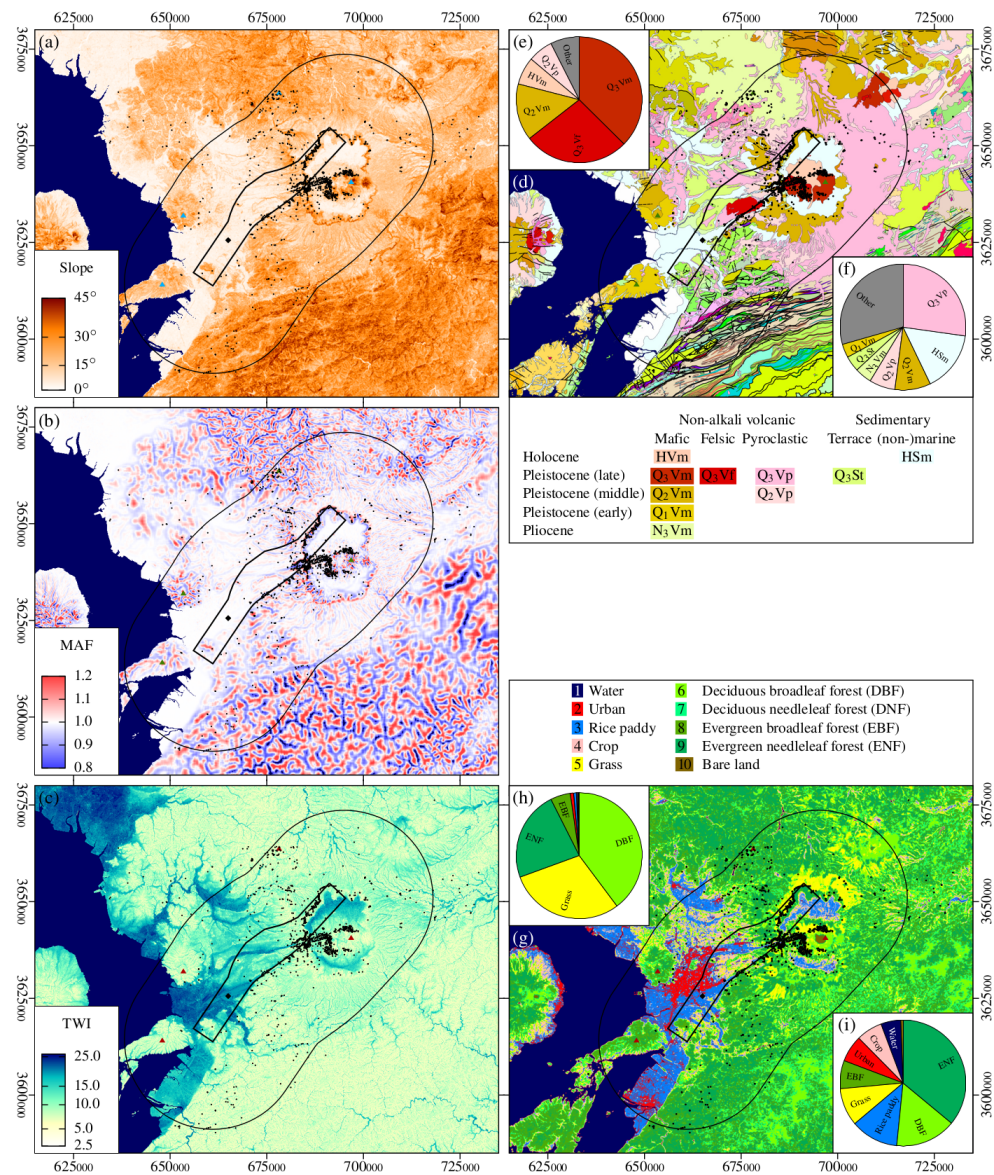


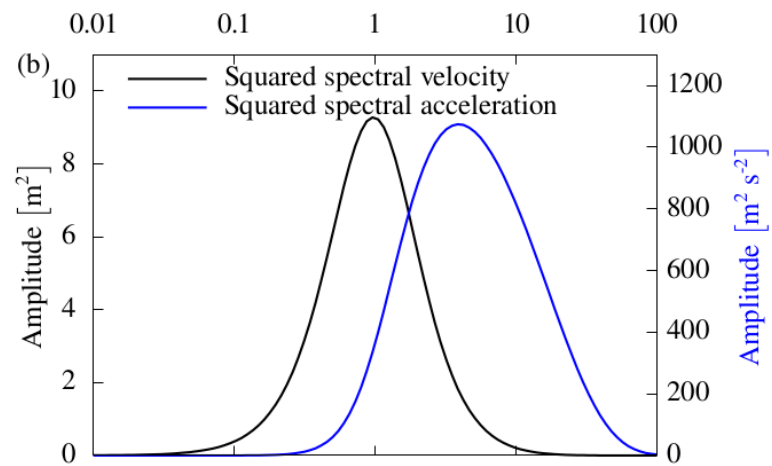
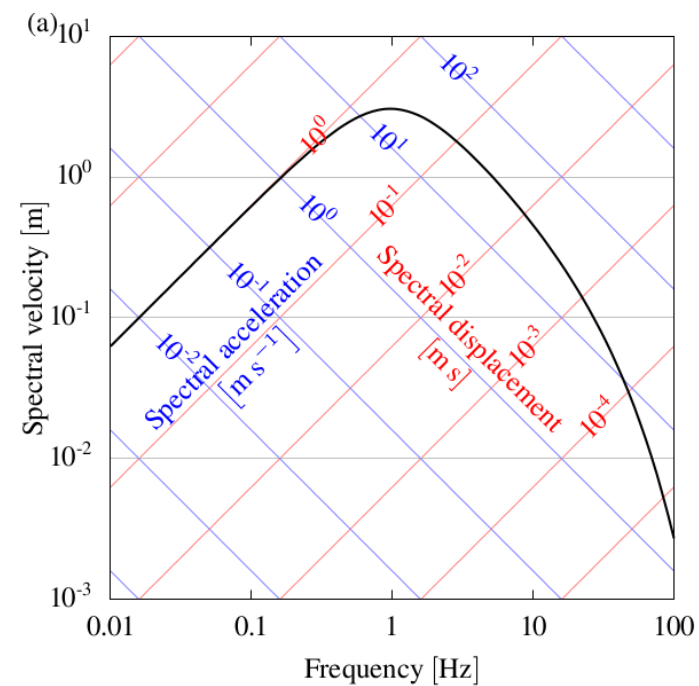


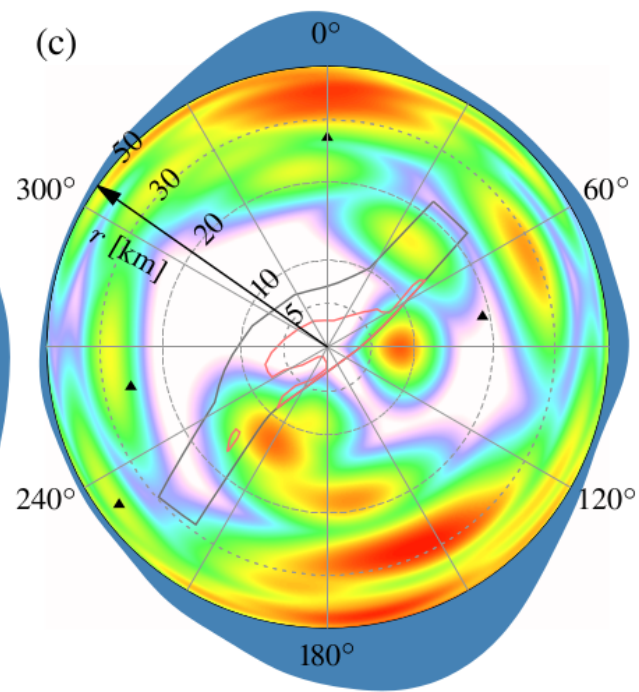
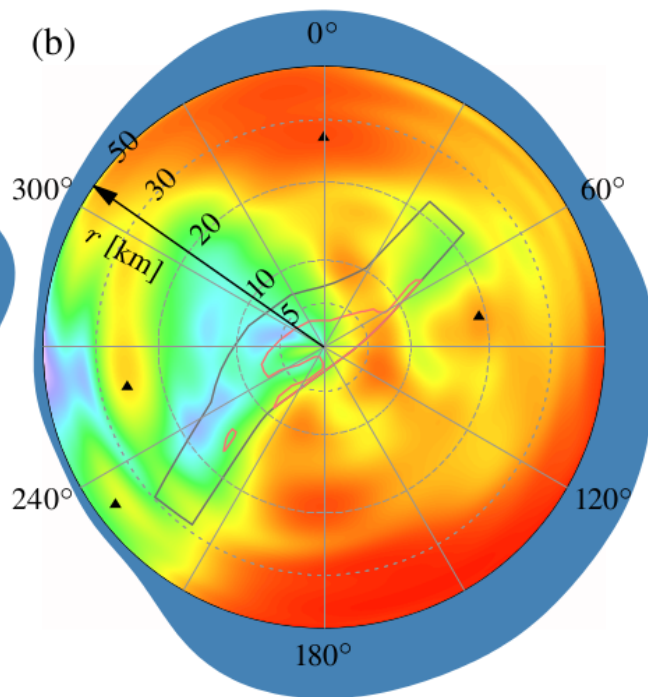
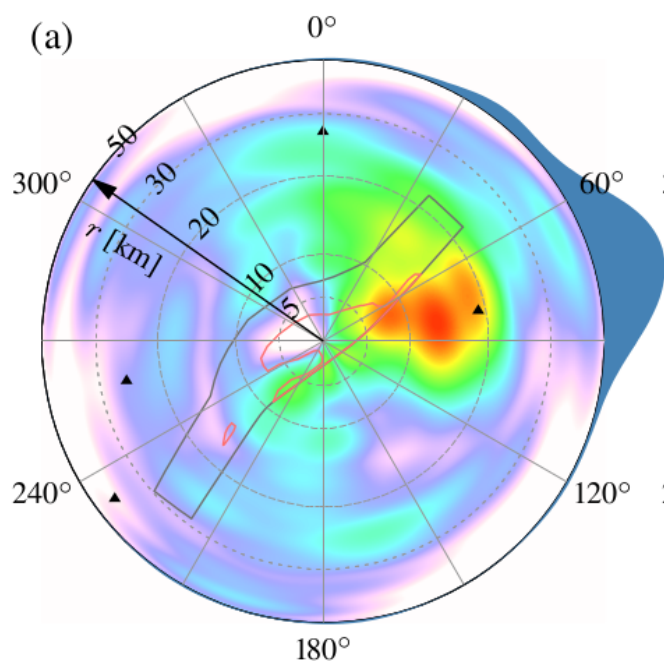
Thrust but not interface:
splay faults in
accretionary prism dip
steeper than subduction
interface

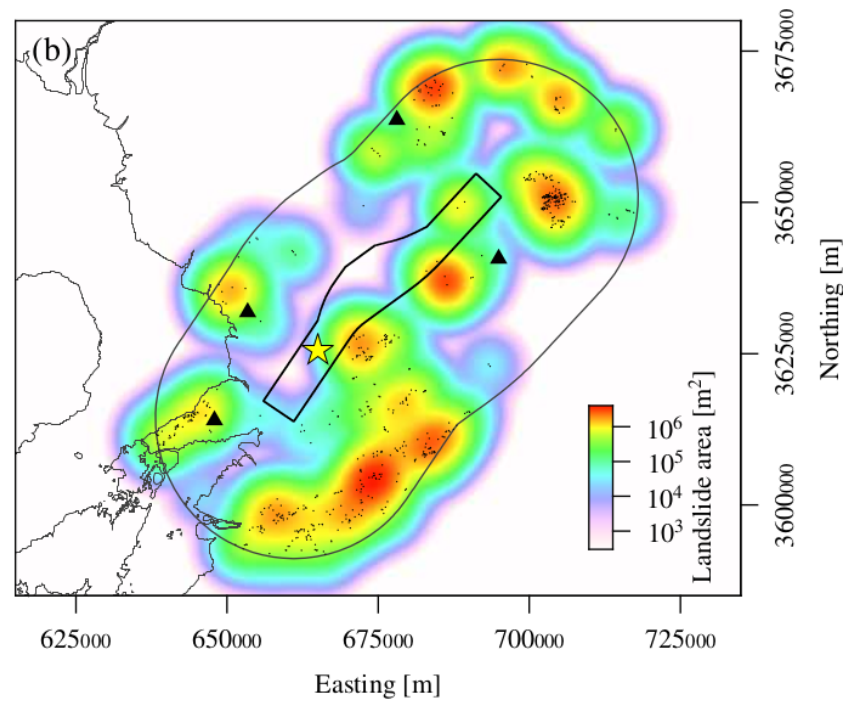
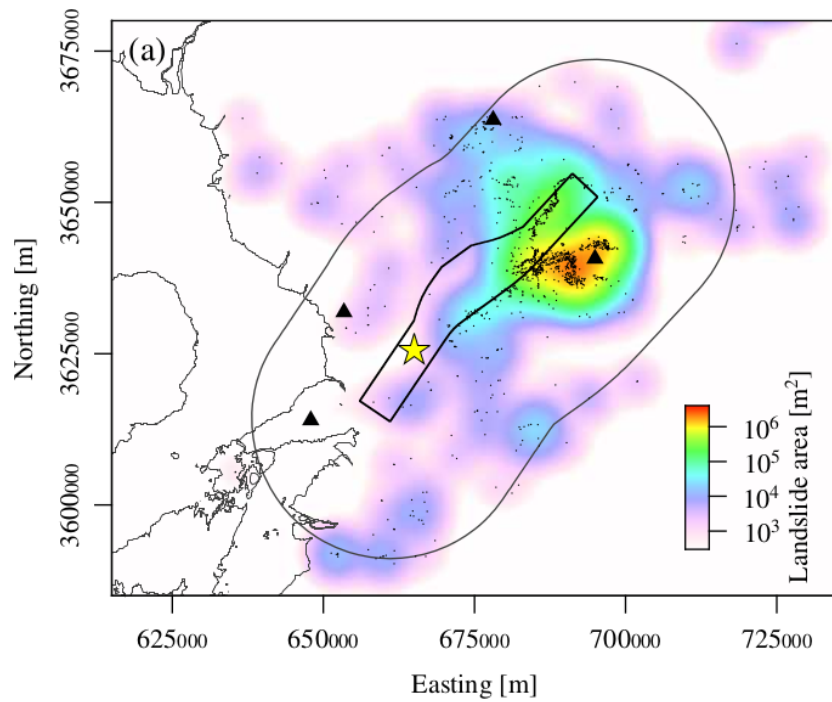
→ less seismicity

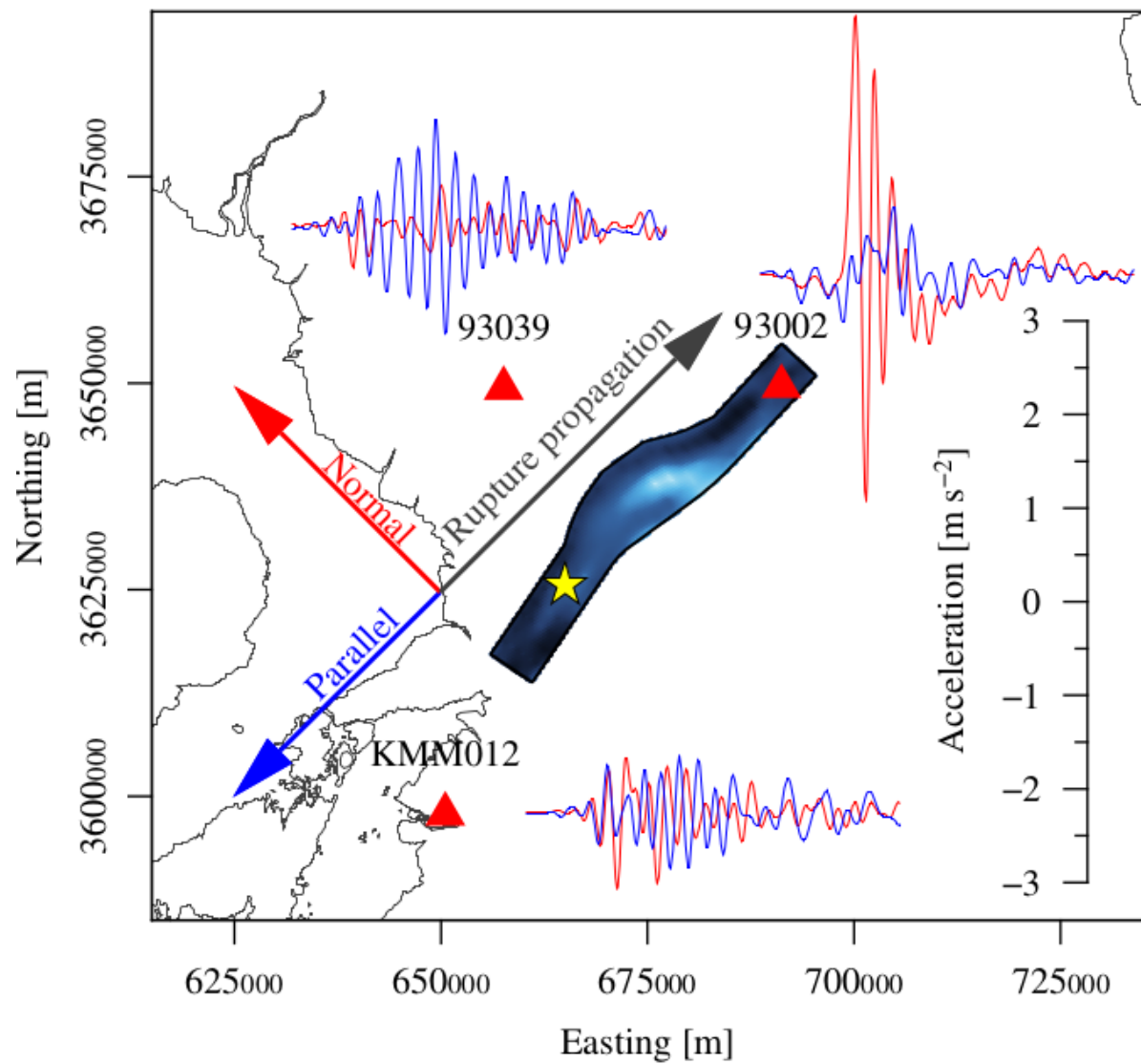


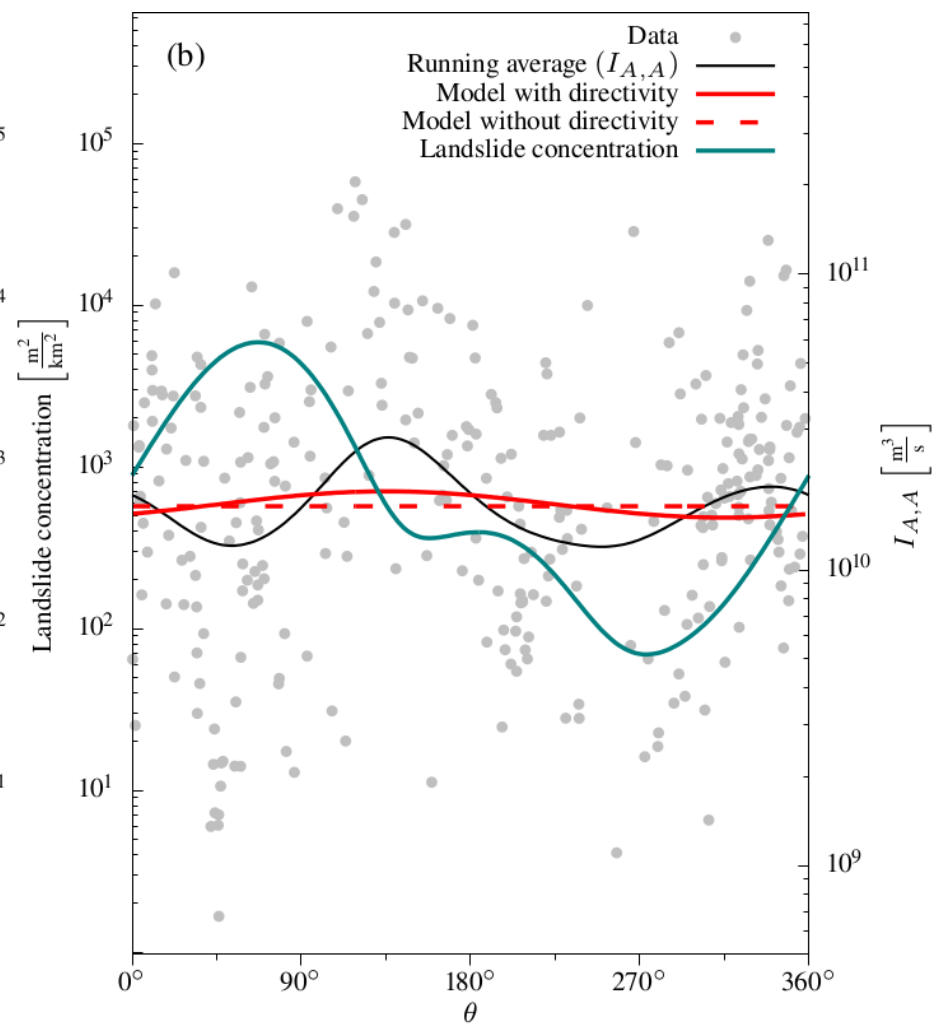
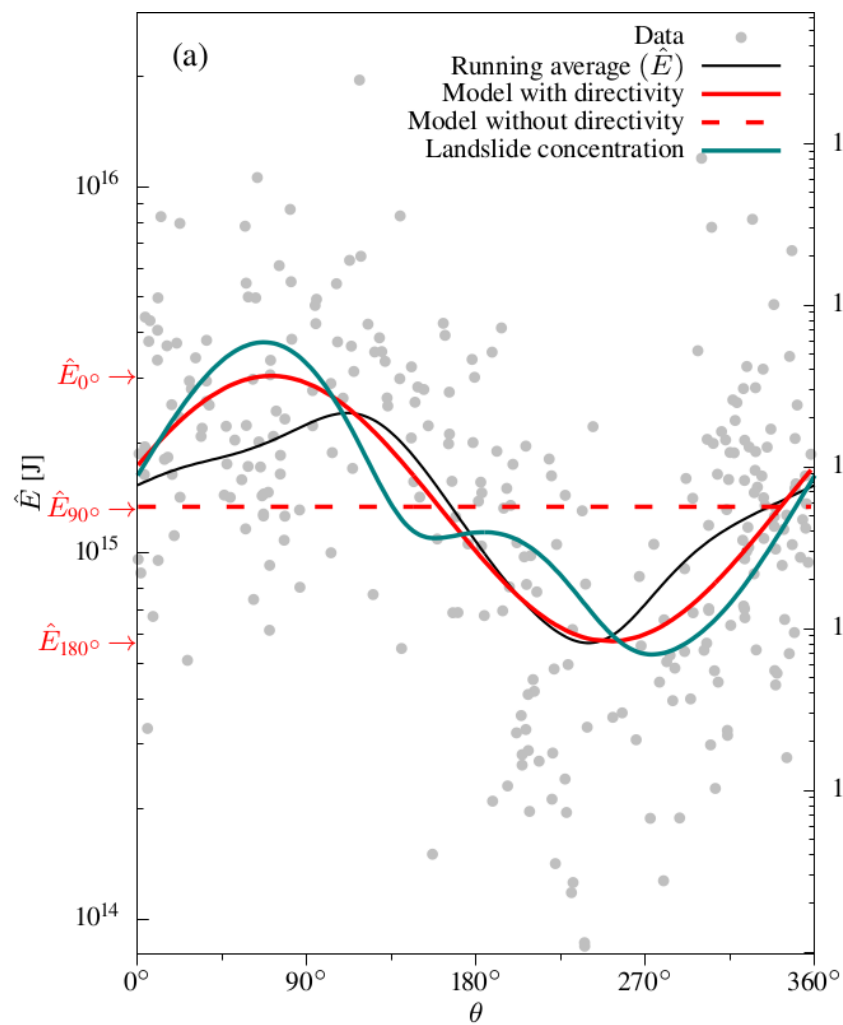


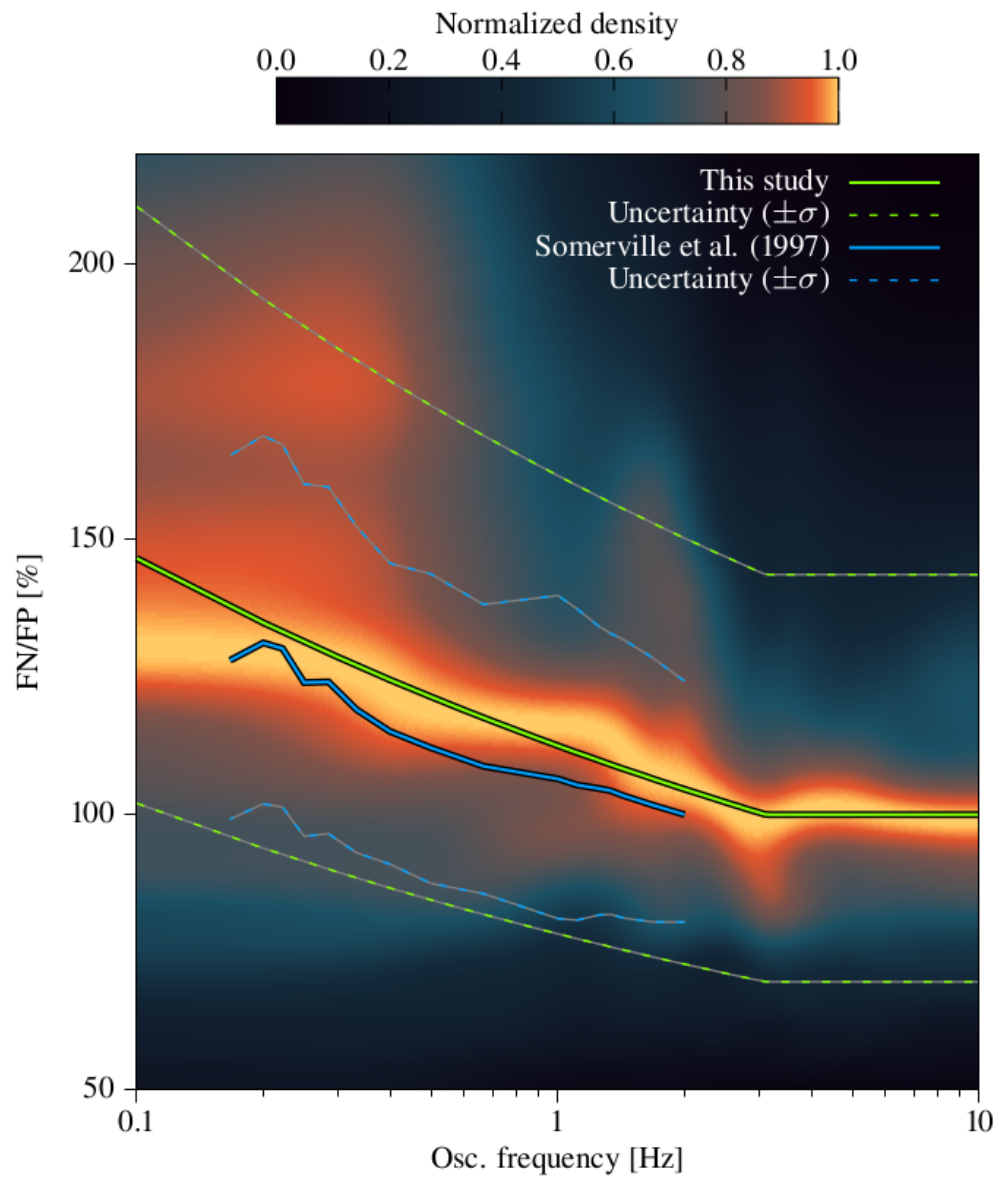


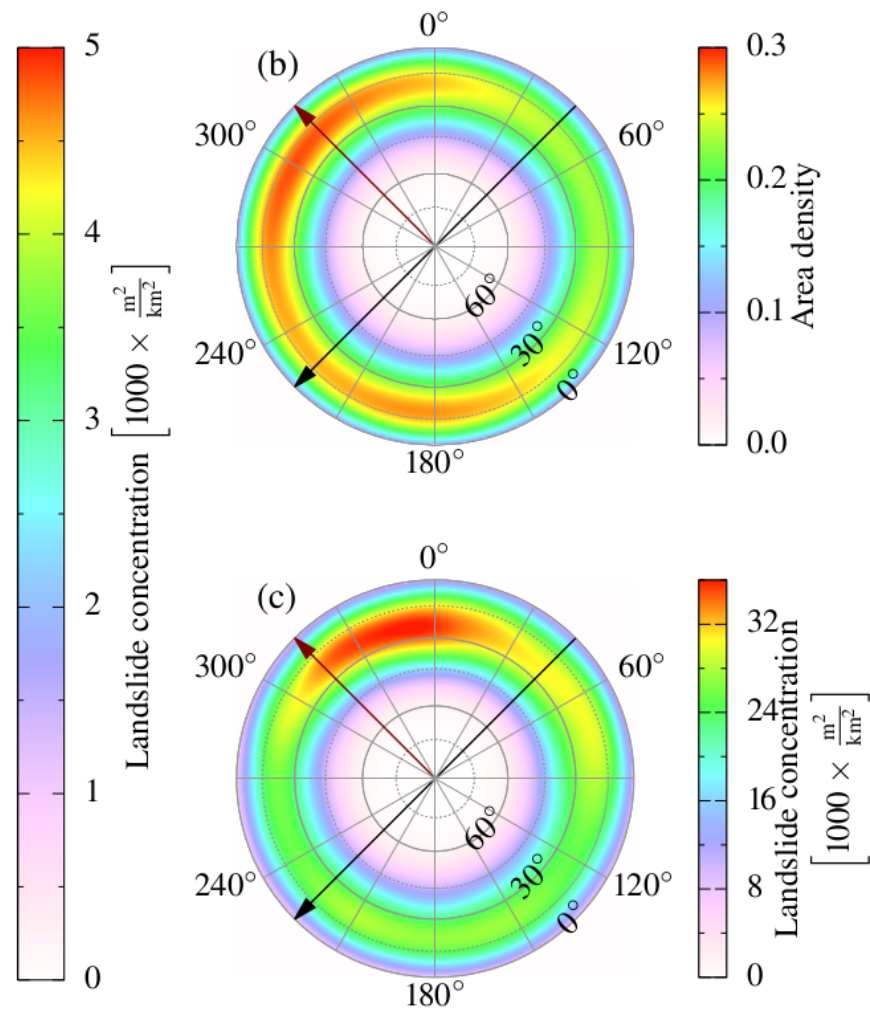
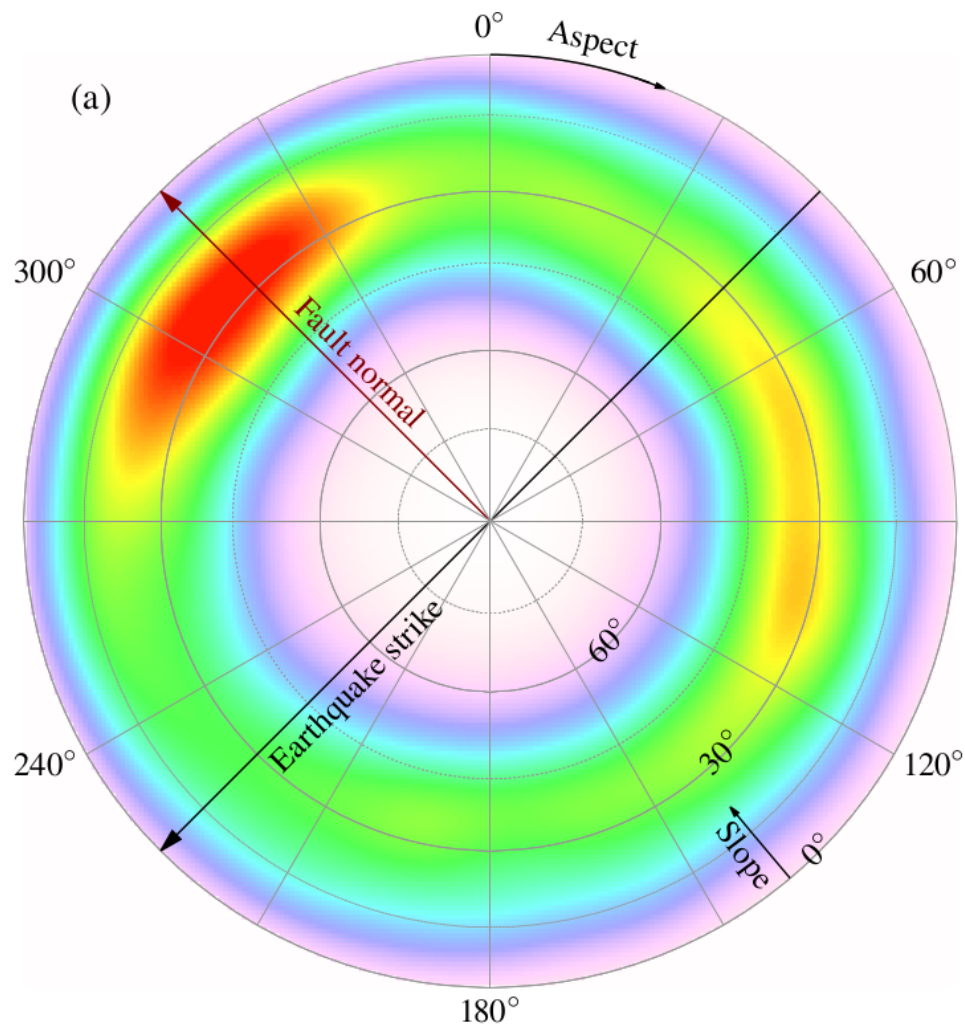


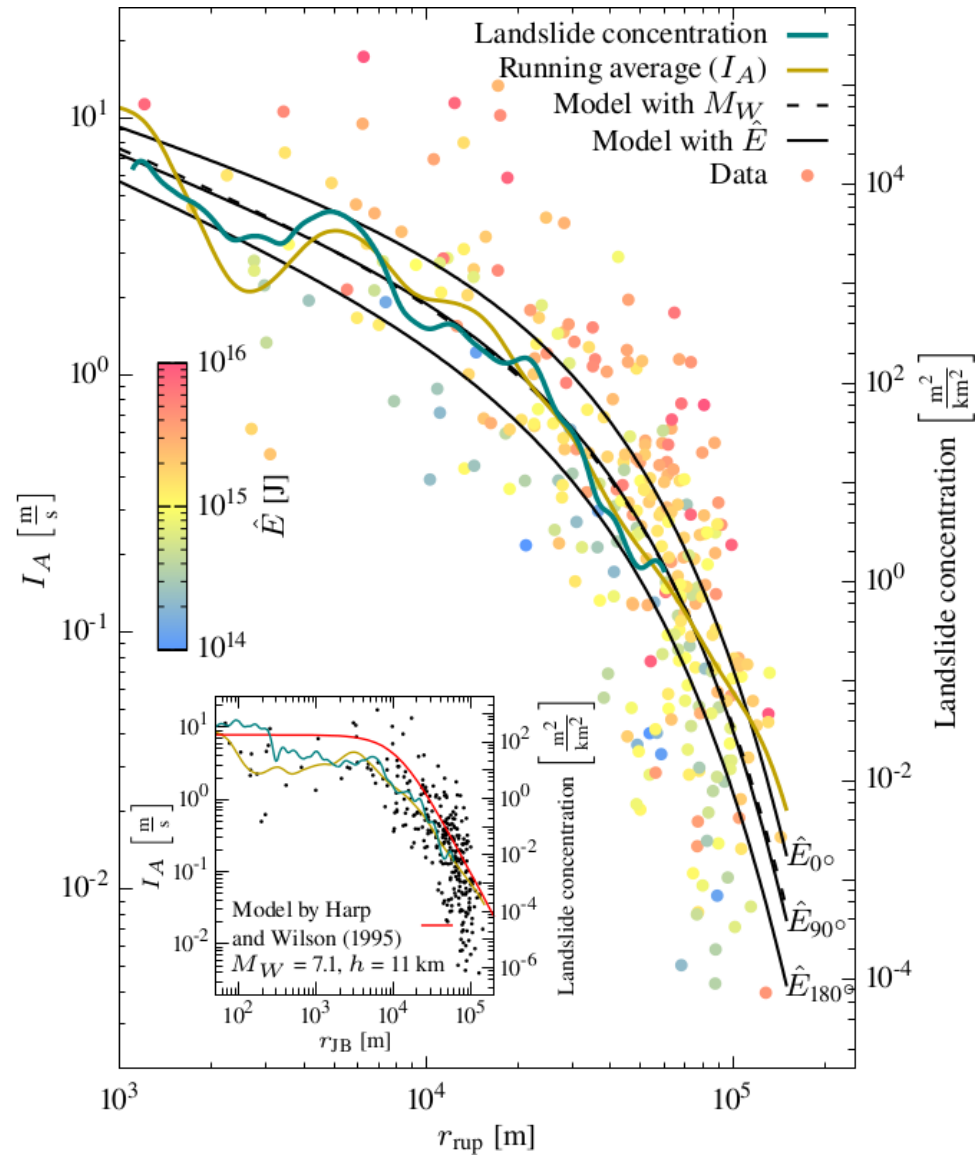


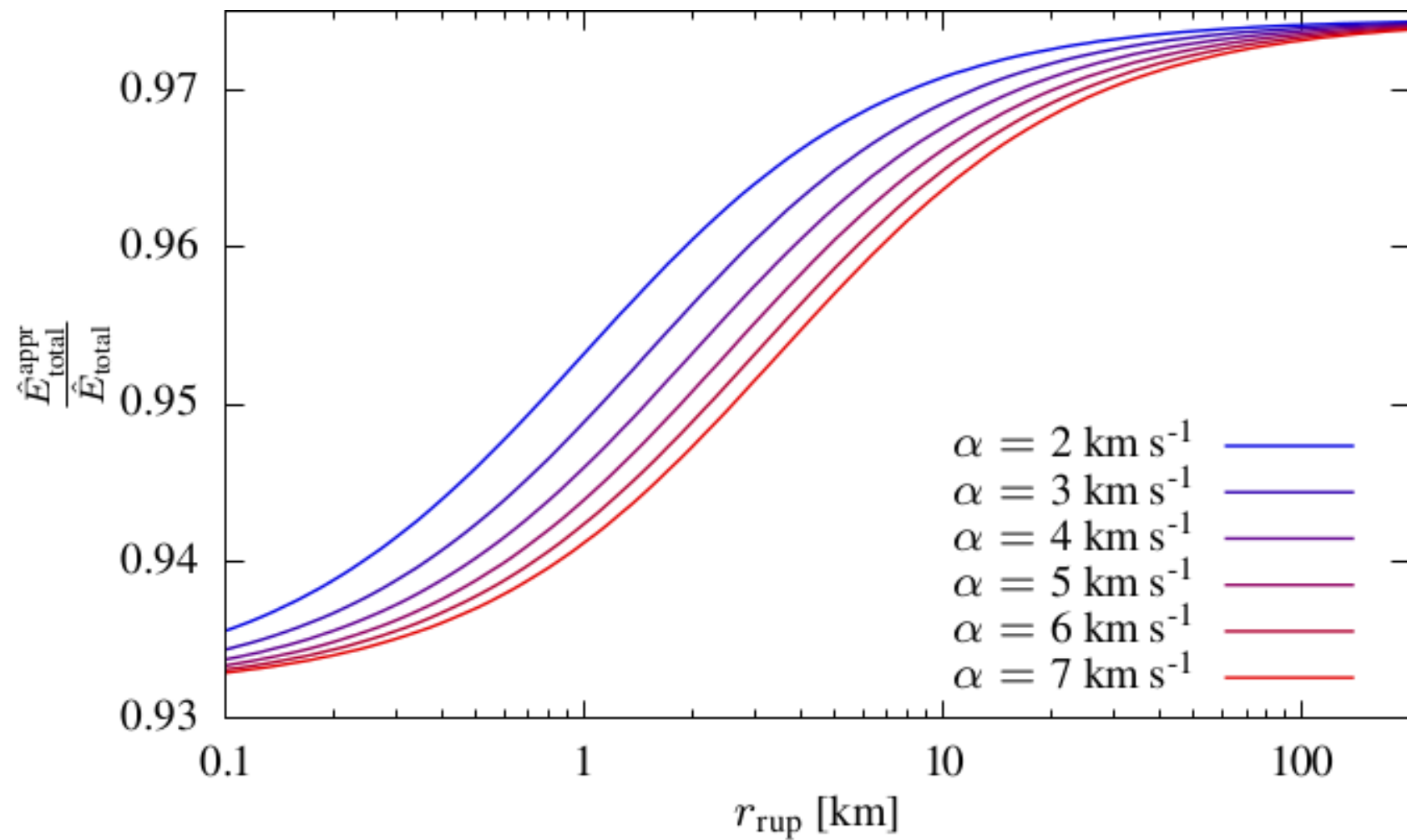


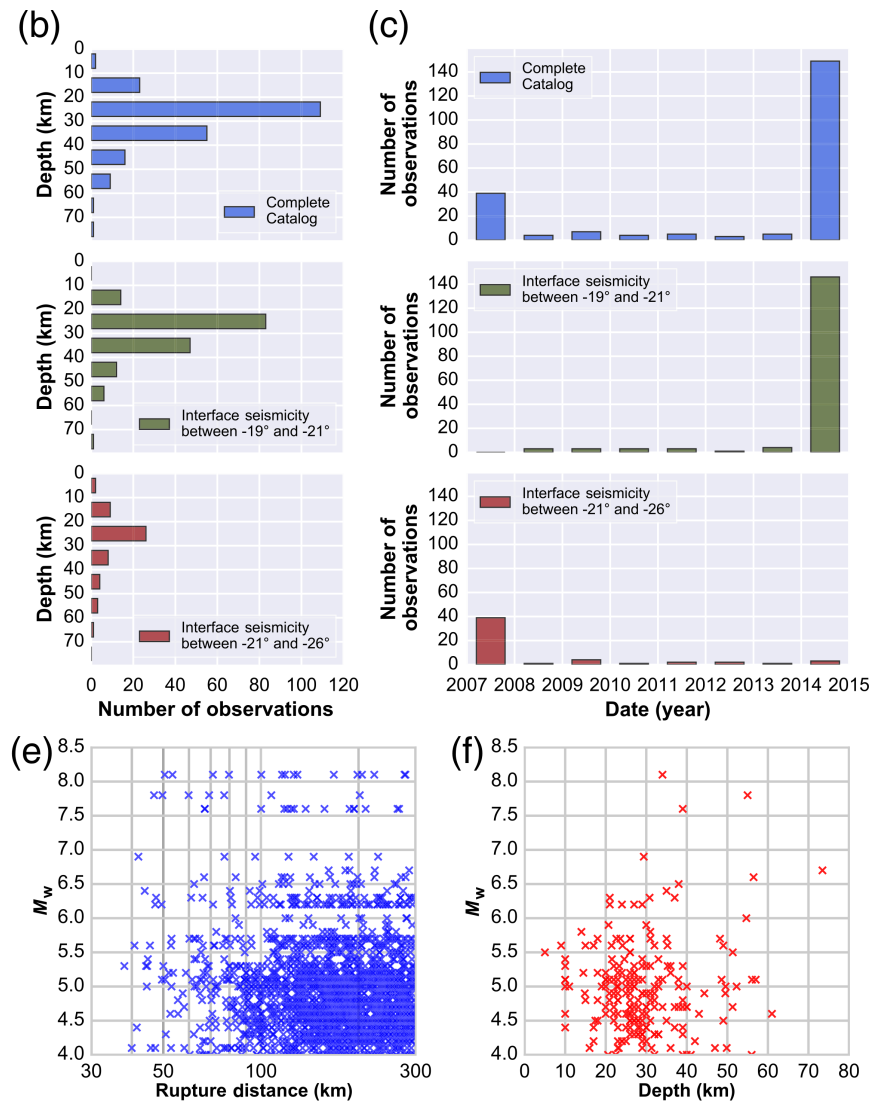
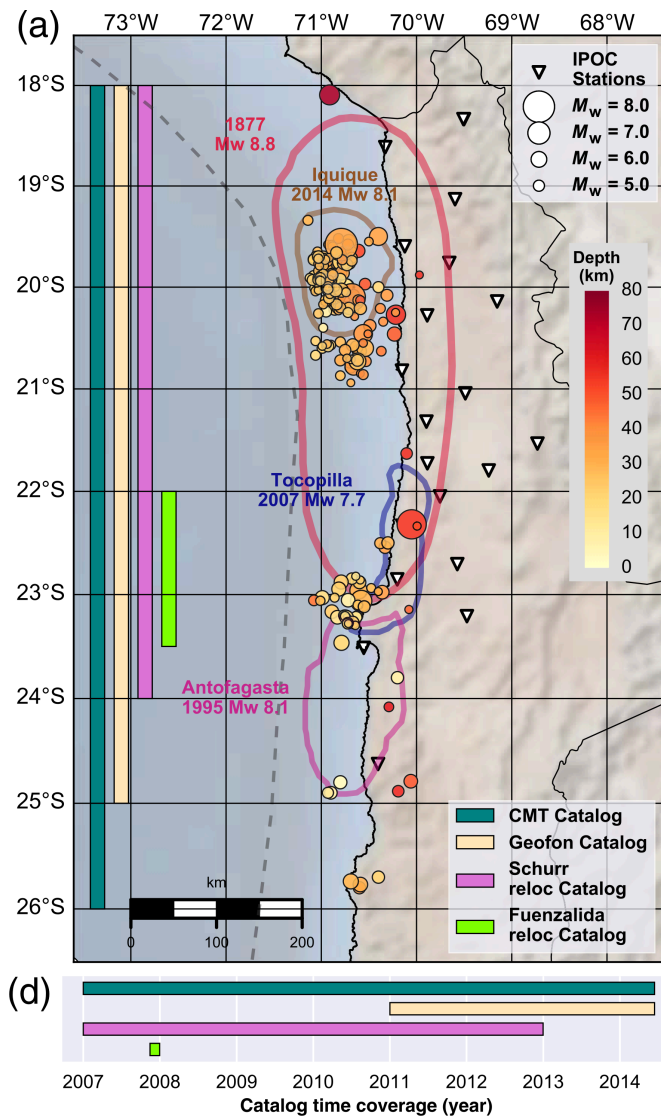


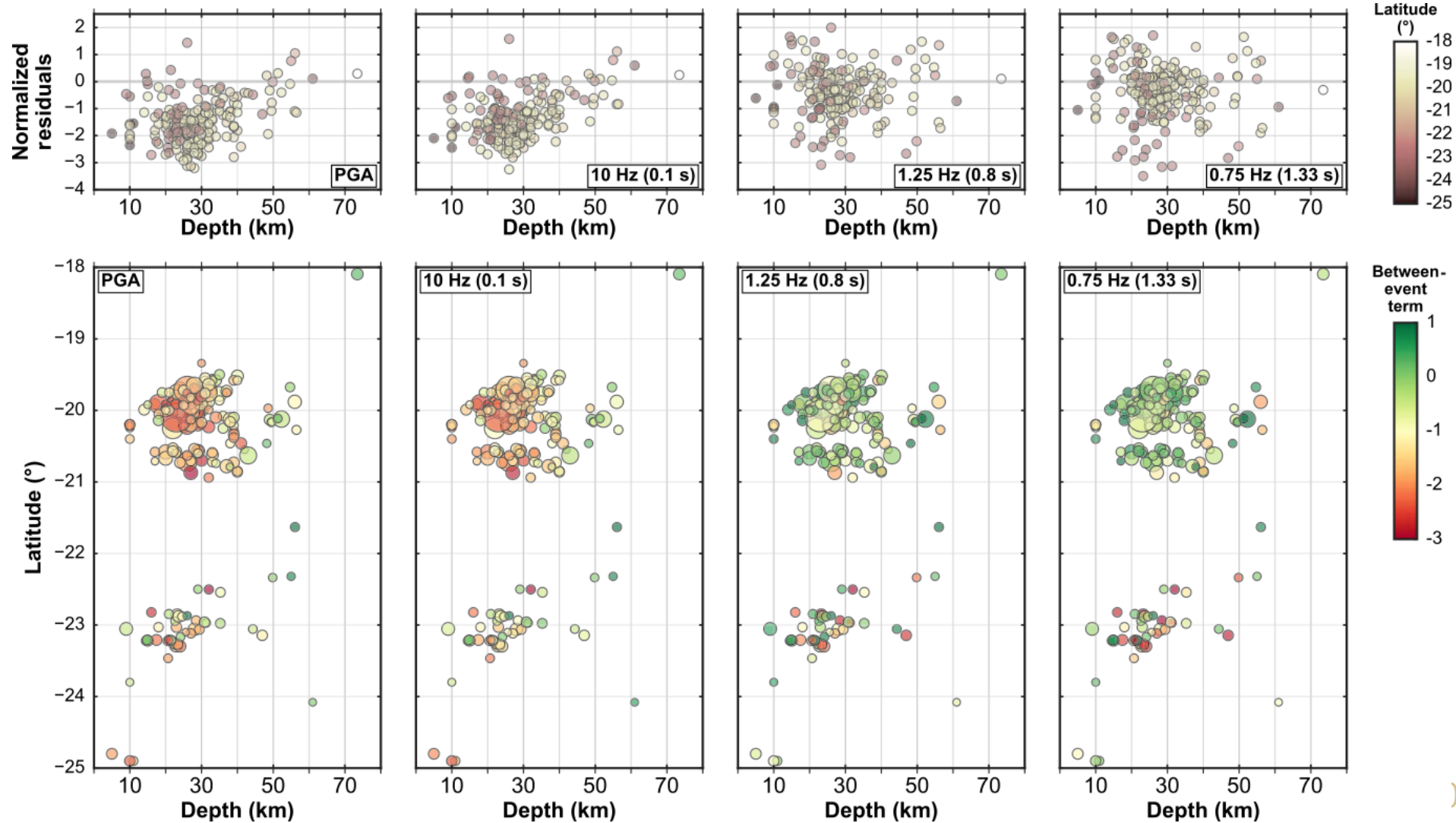


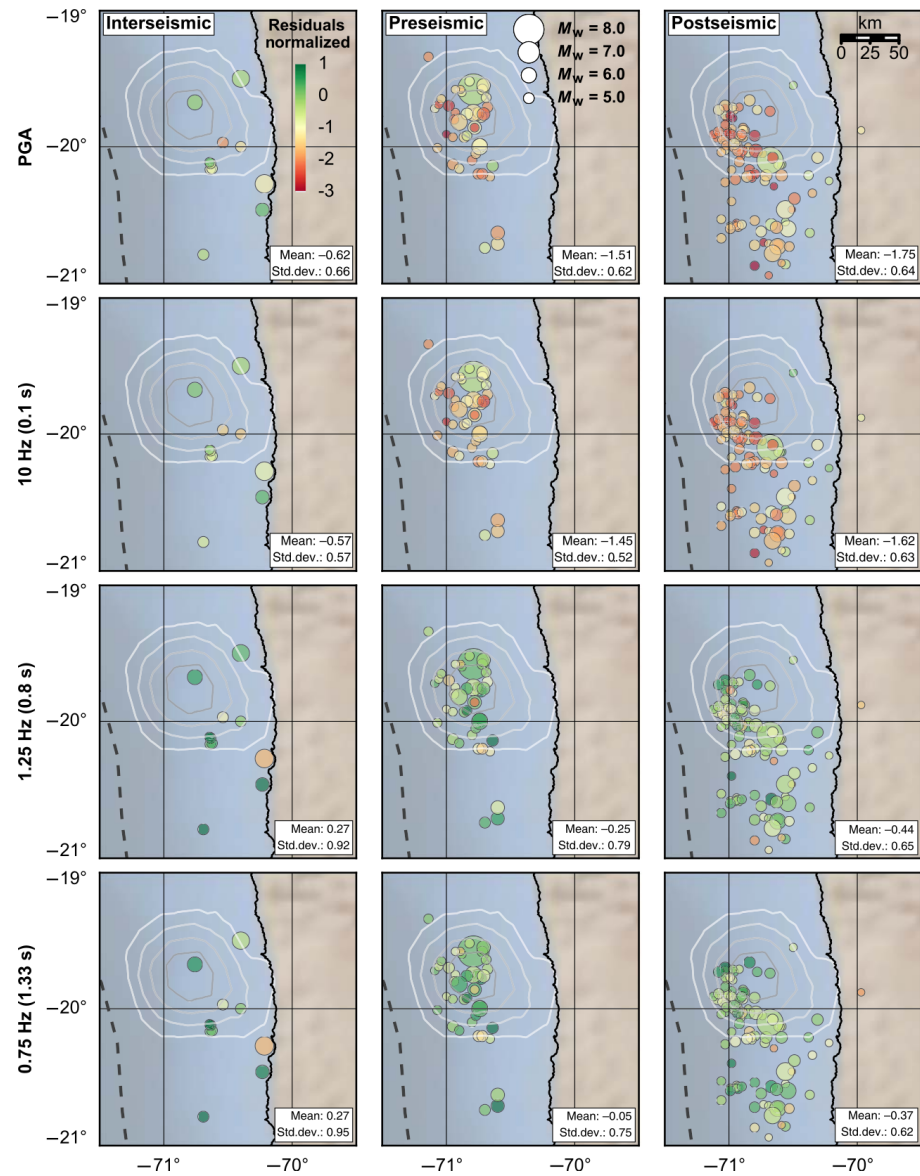


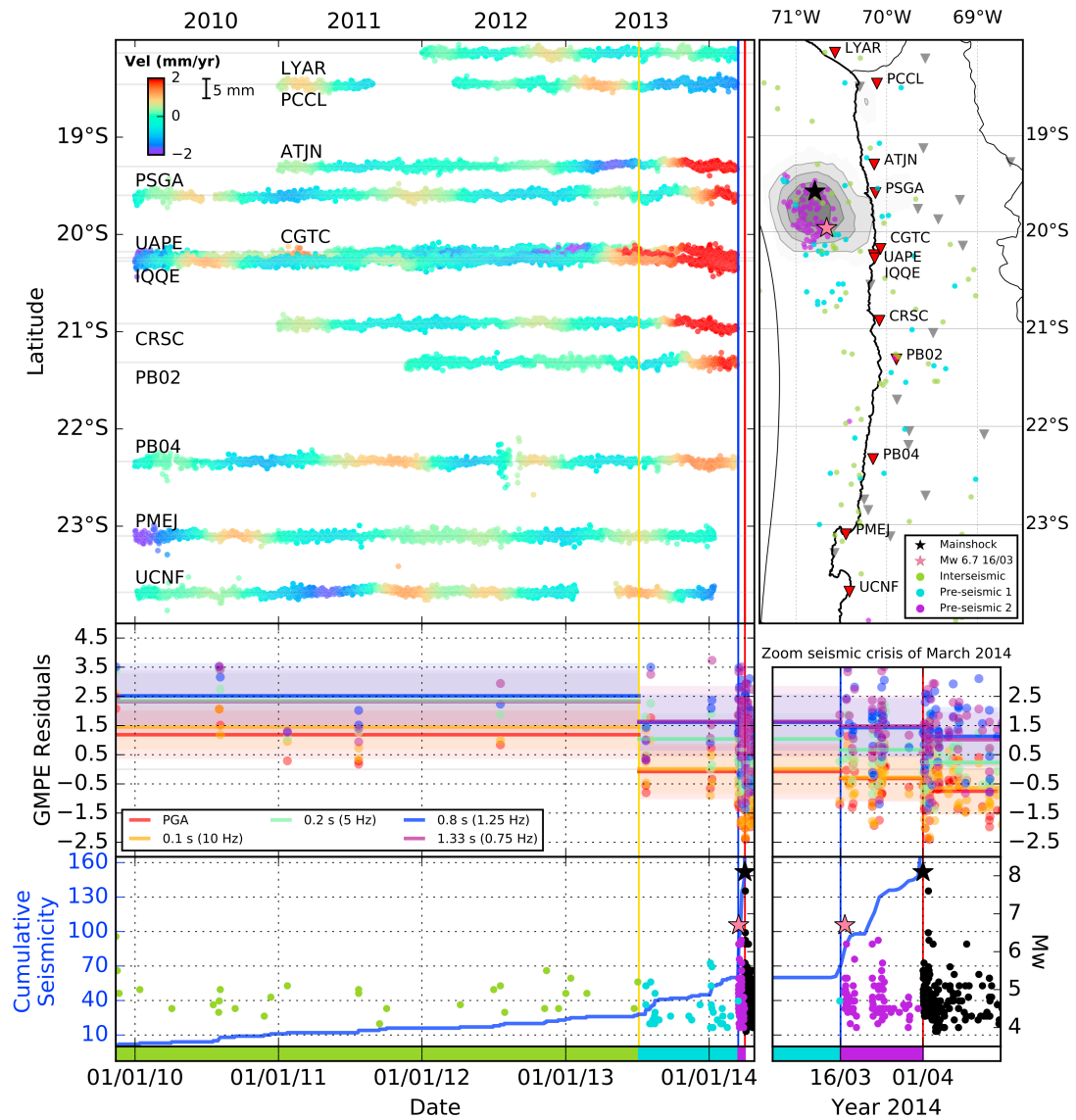


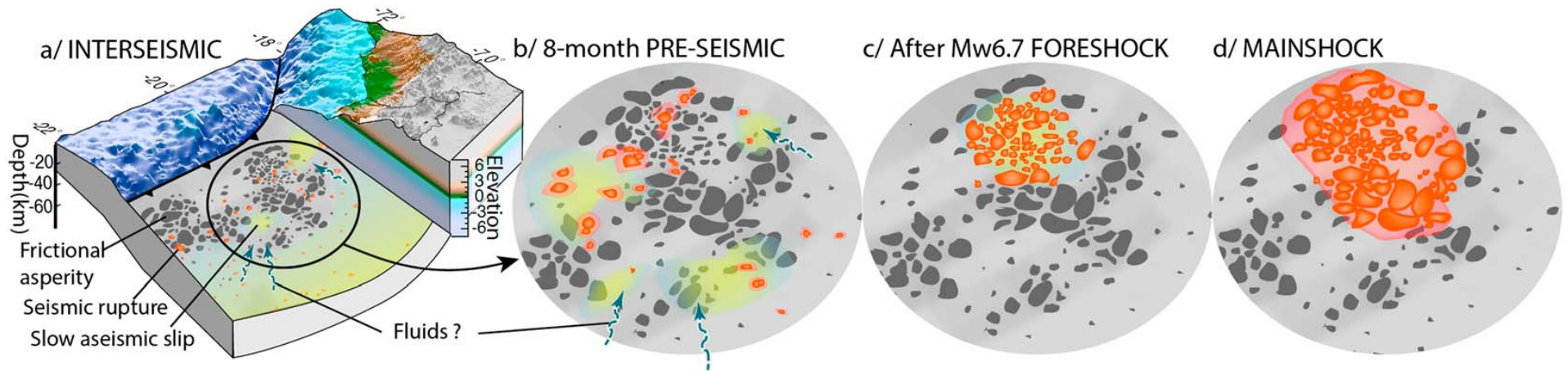


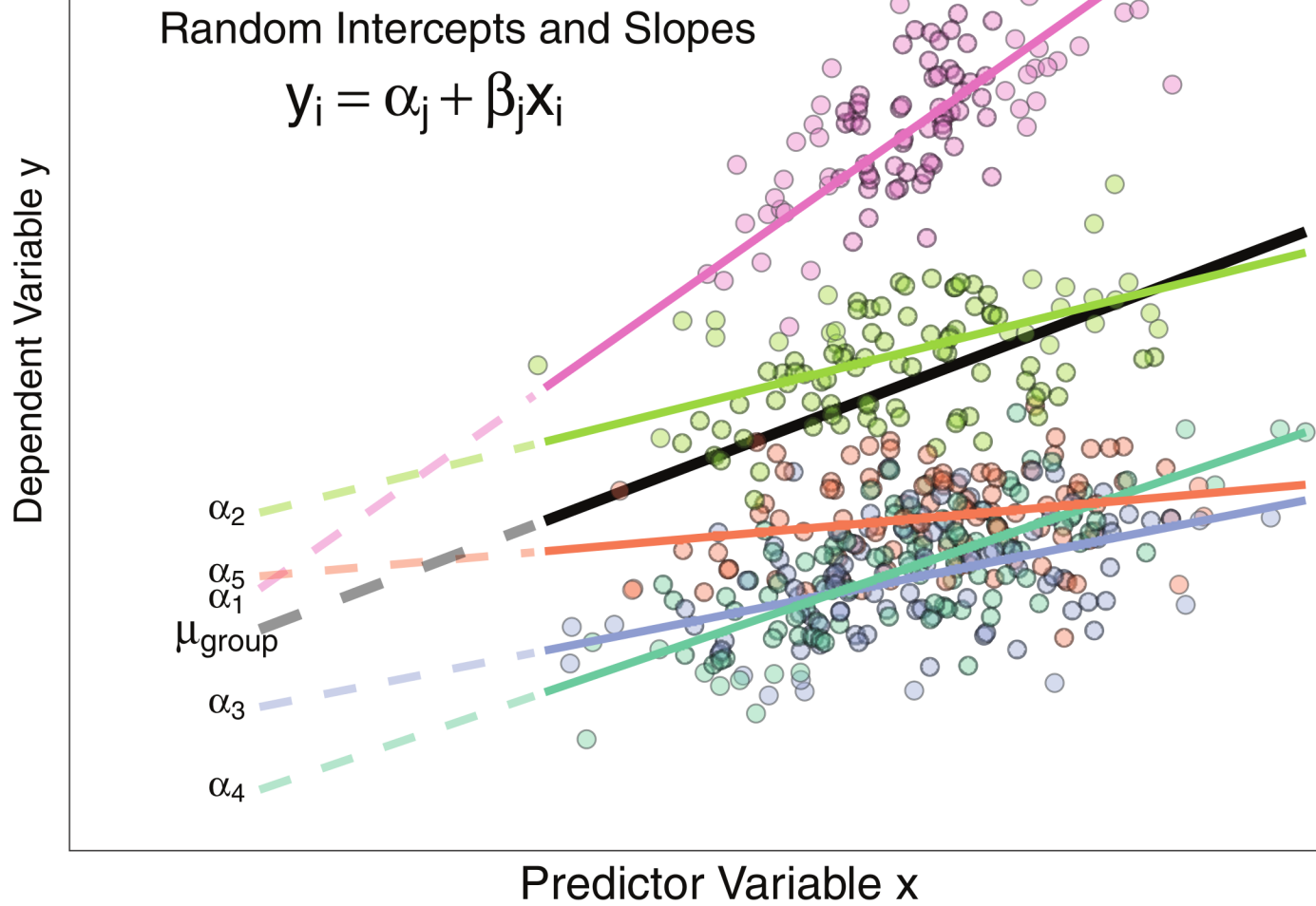


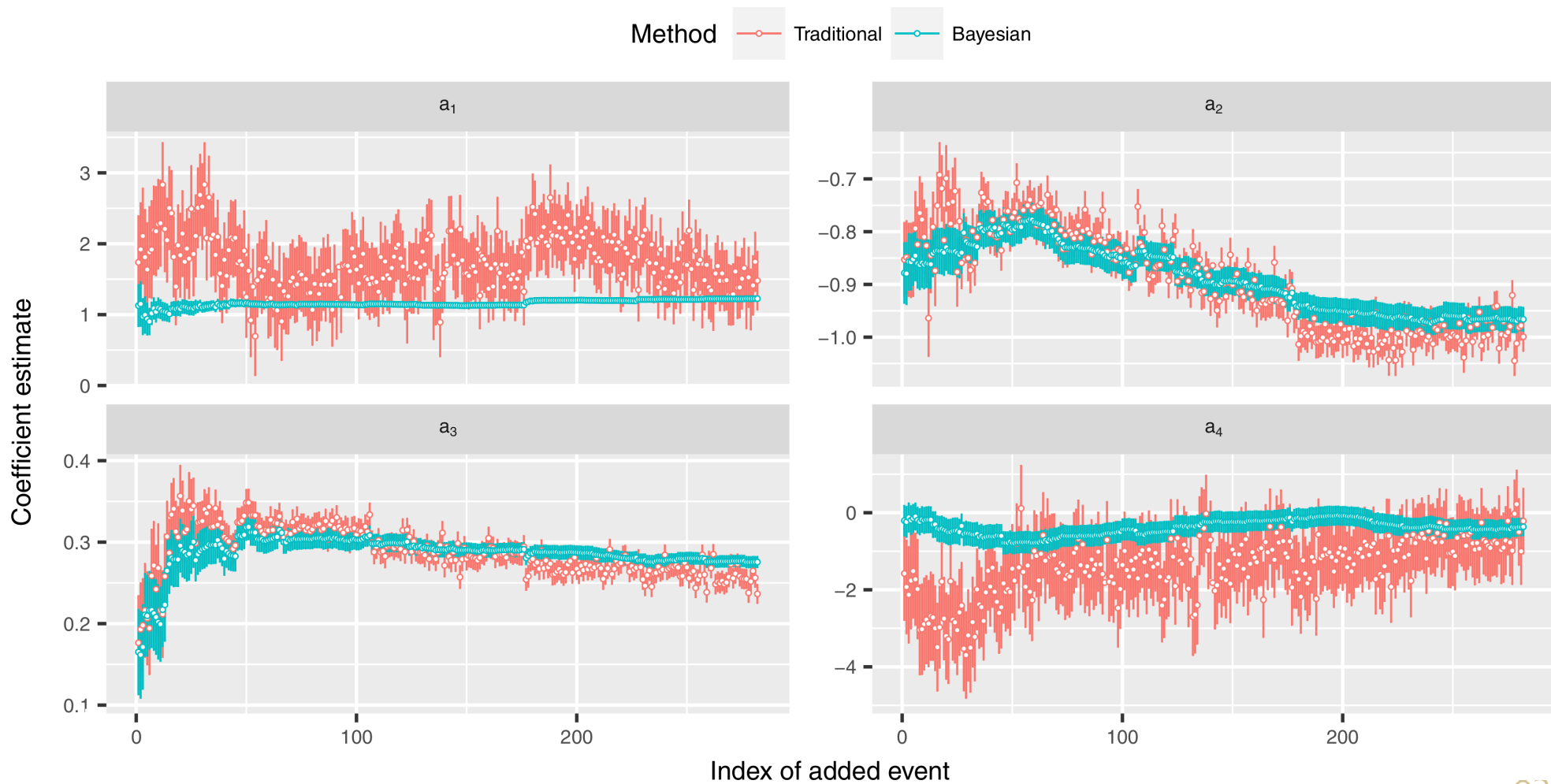


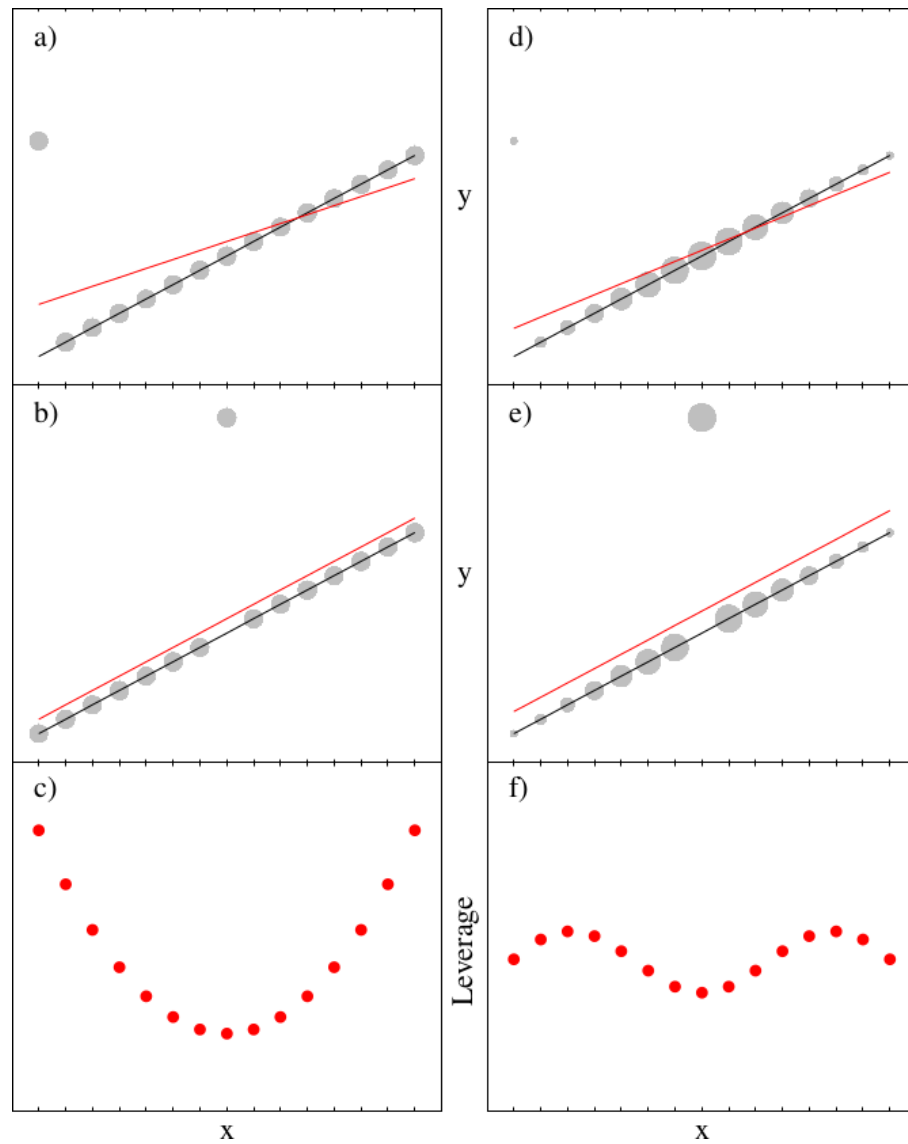


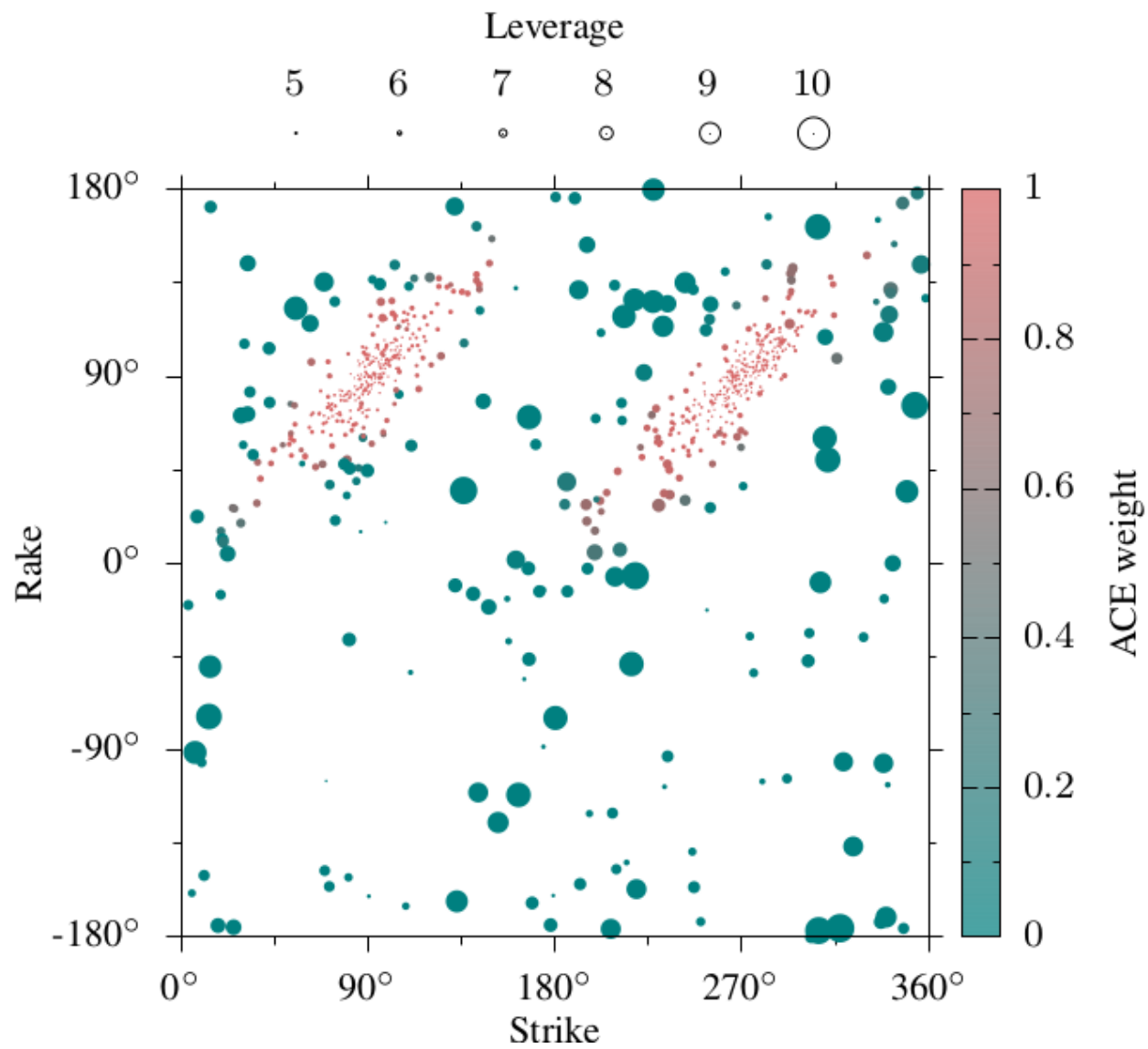


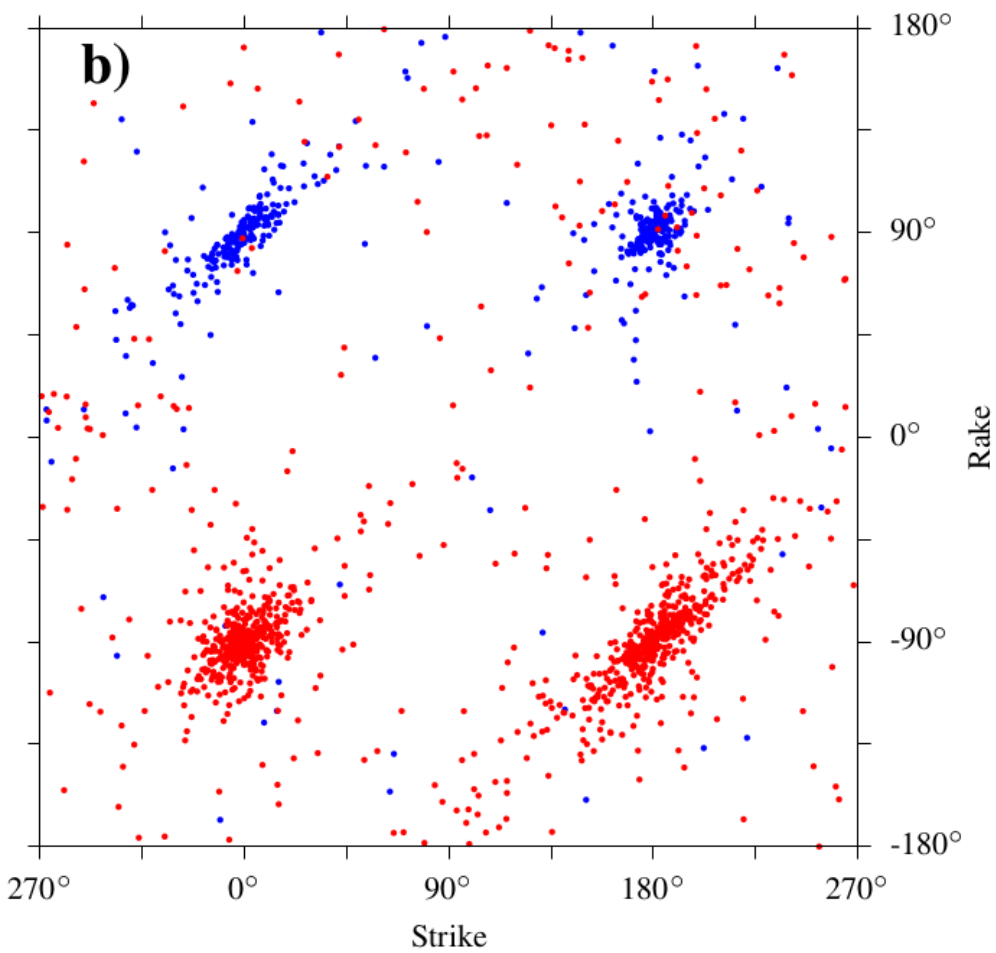
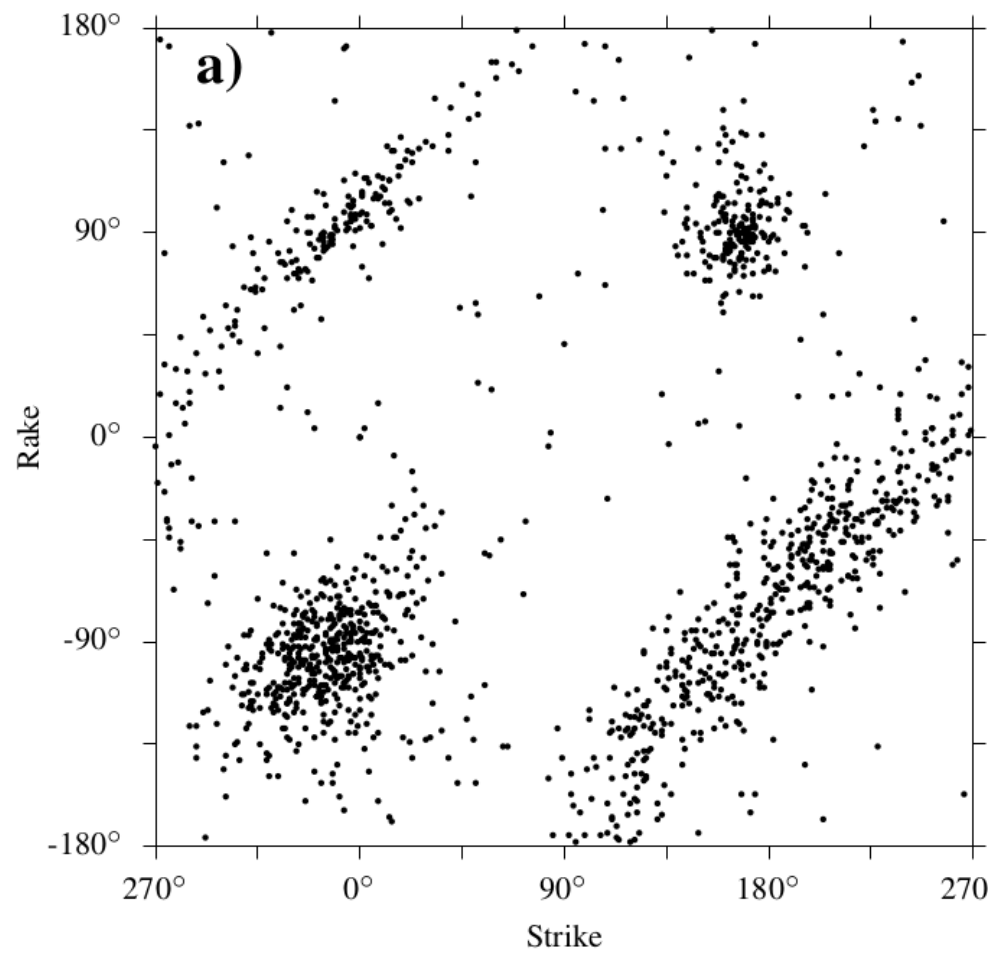


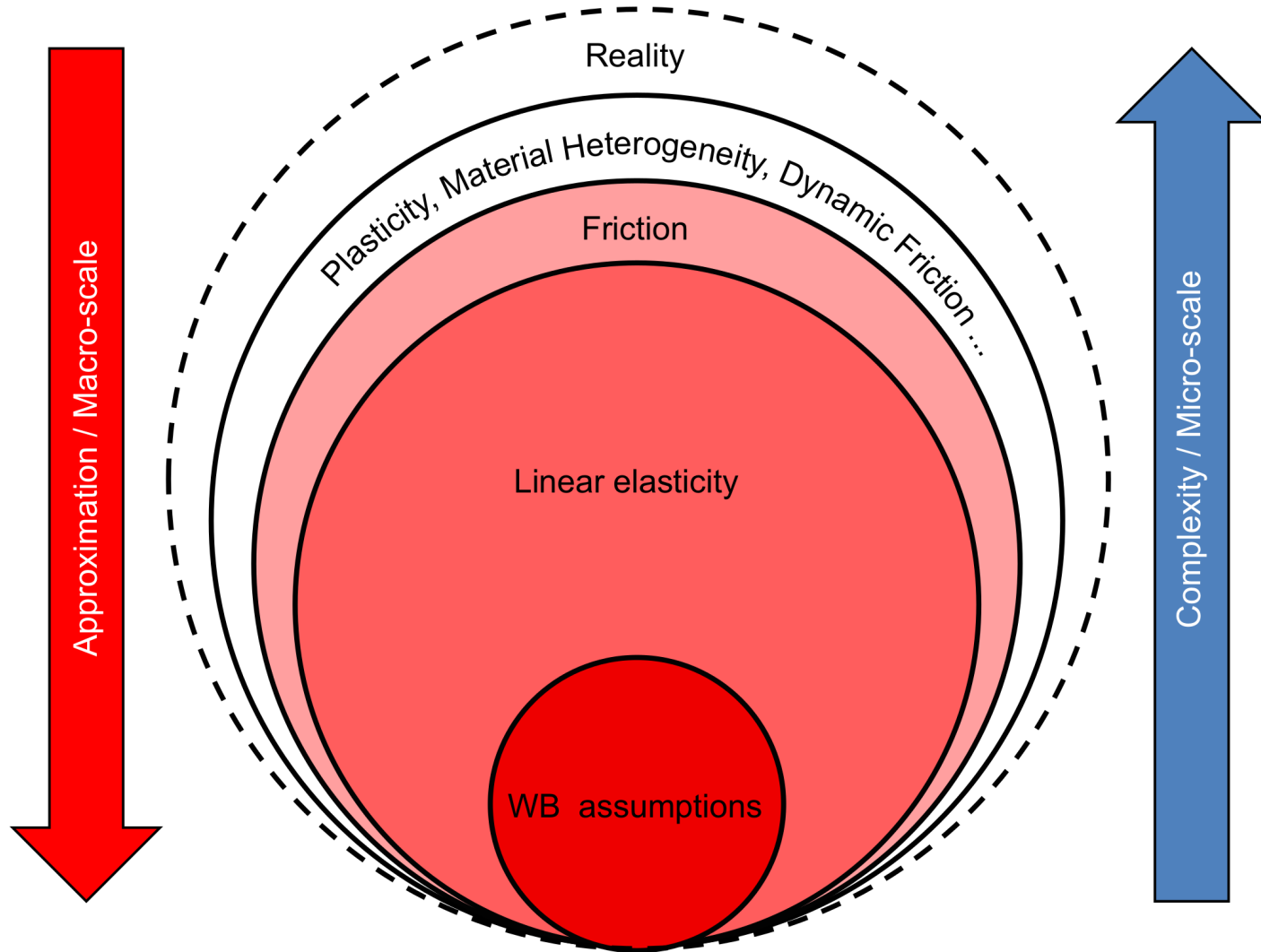


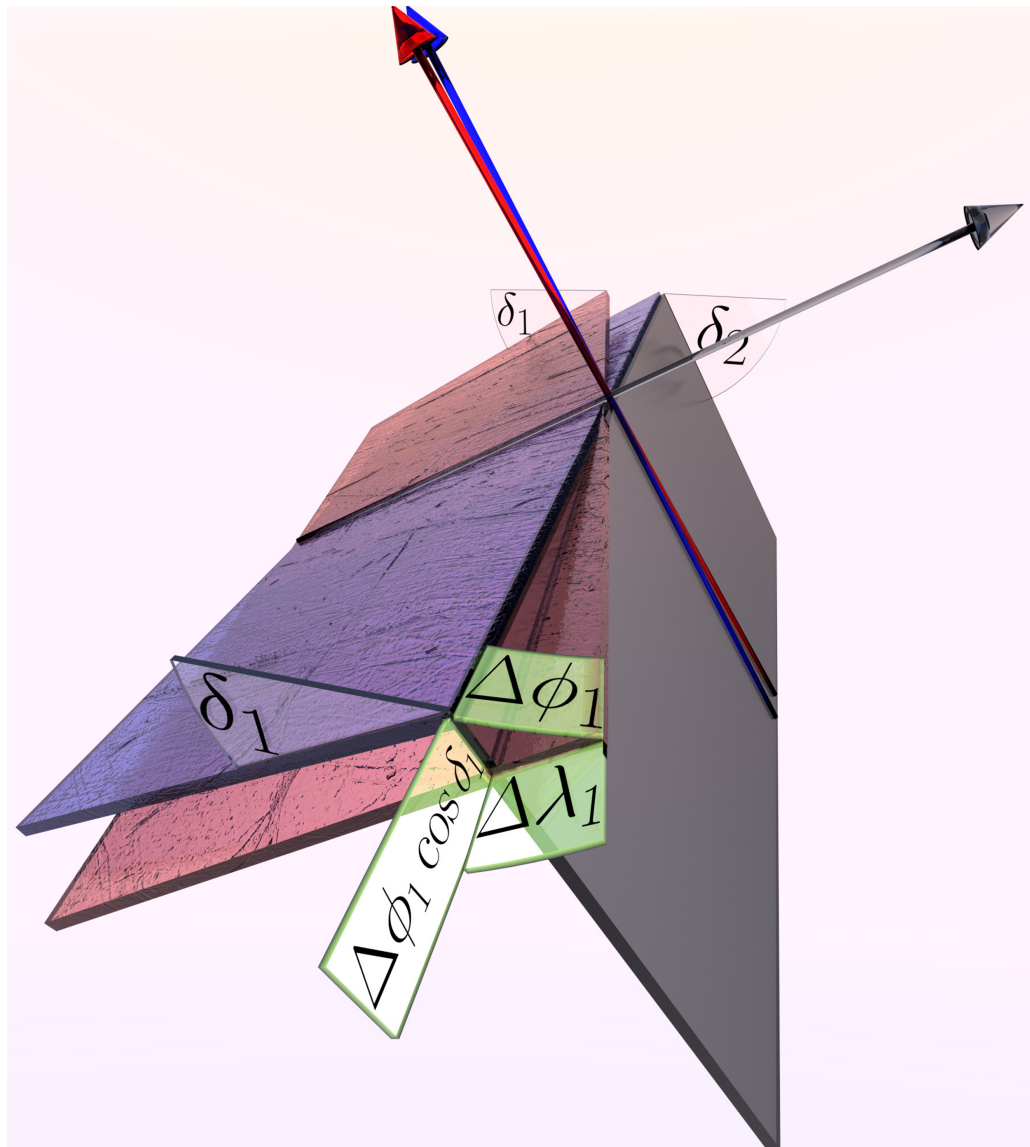




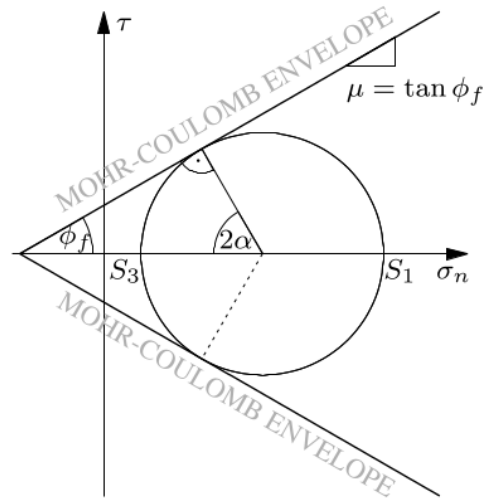




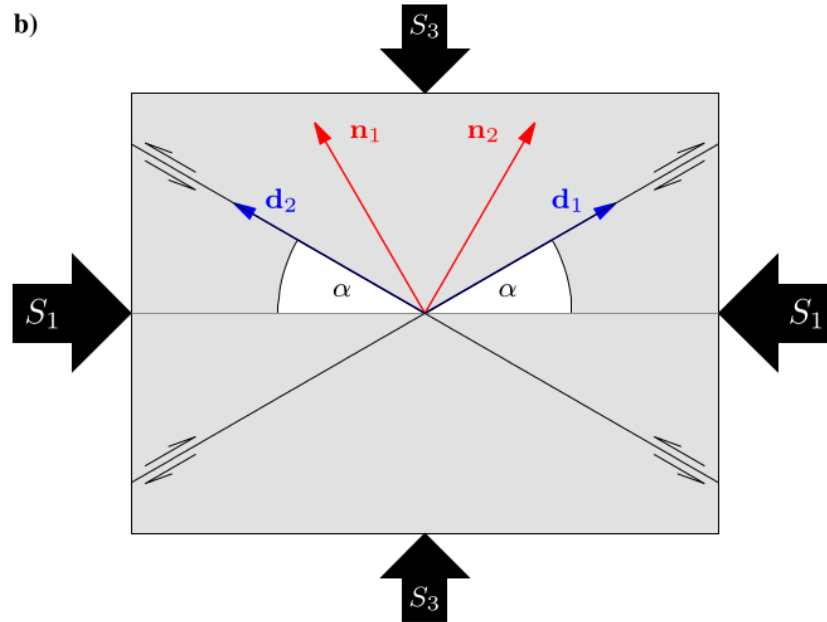


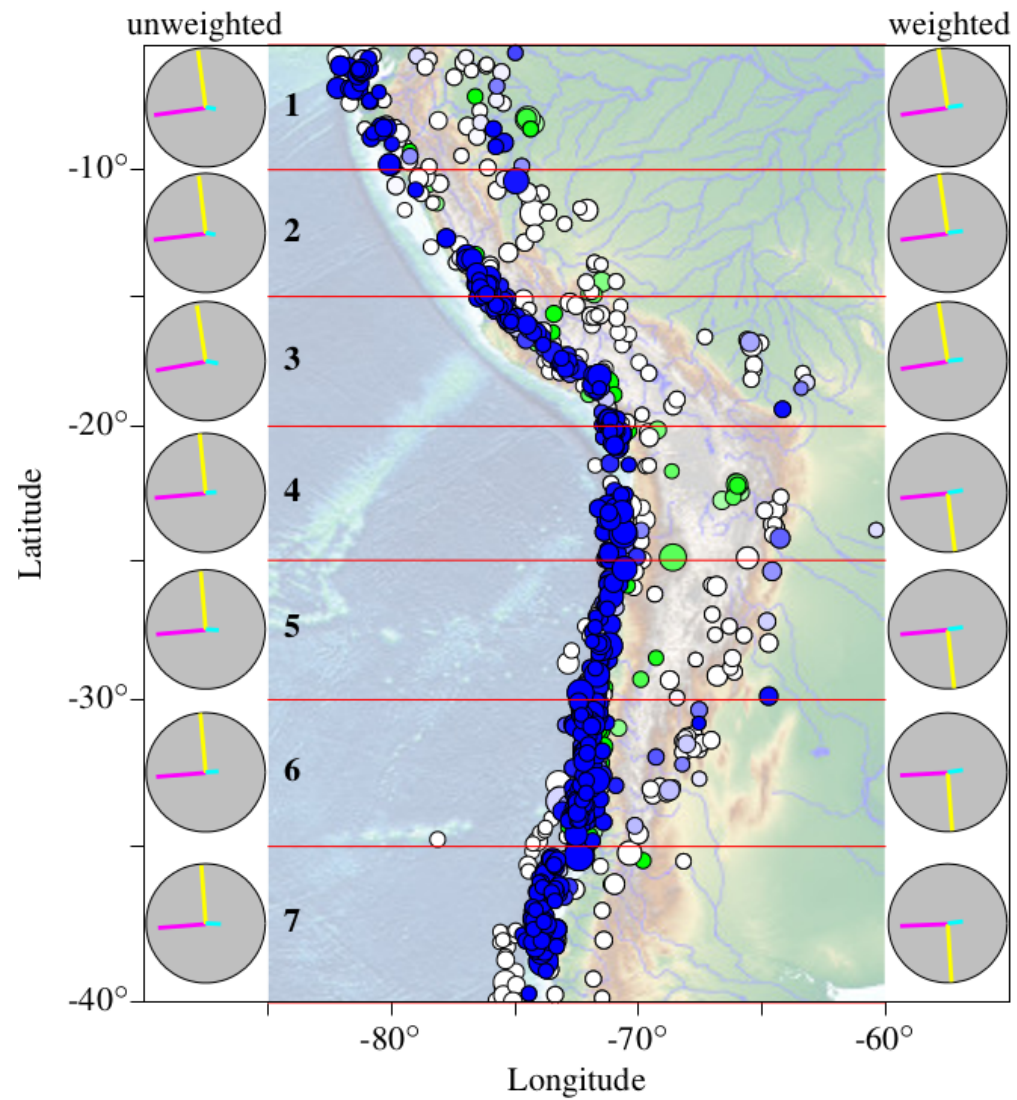


a)

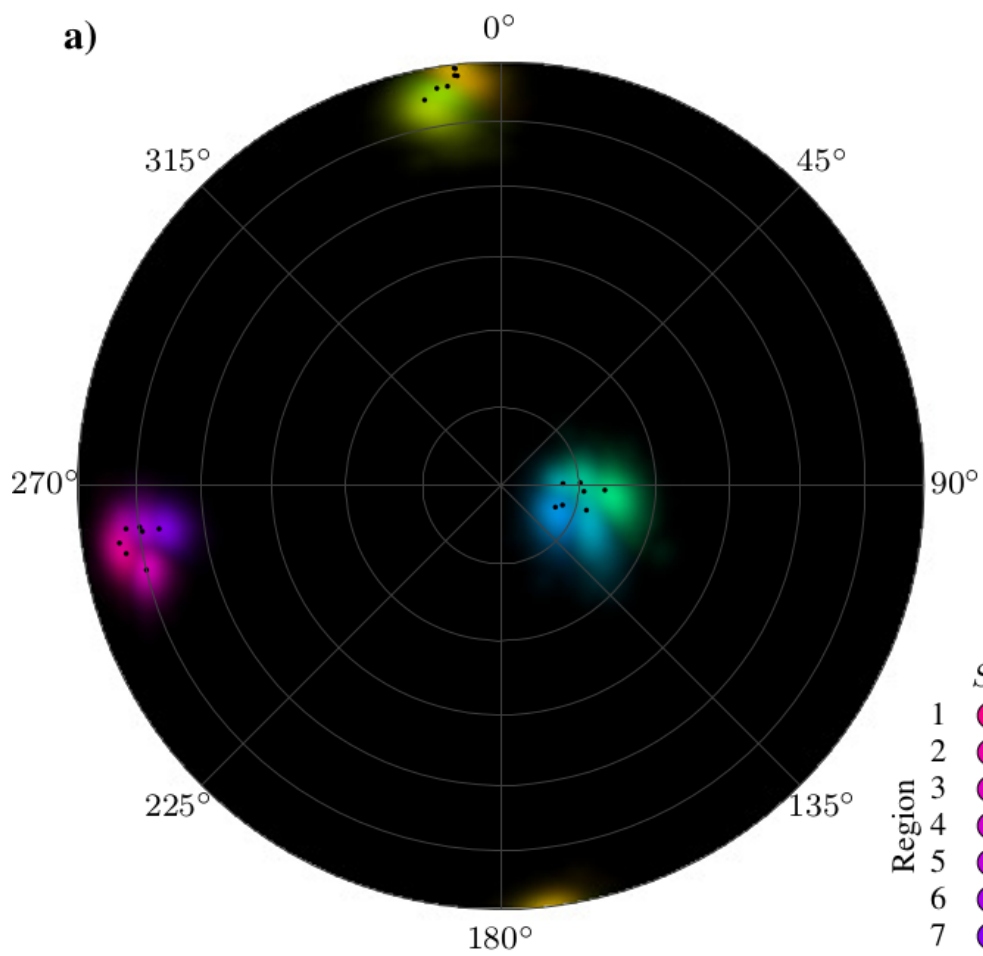


b)

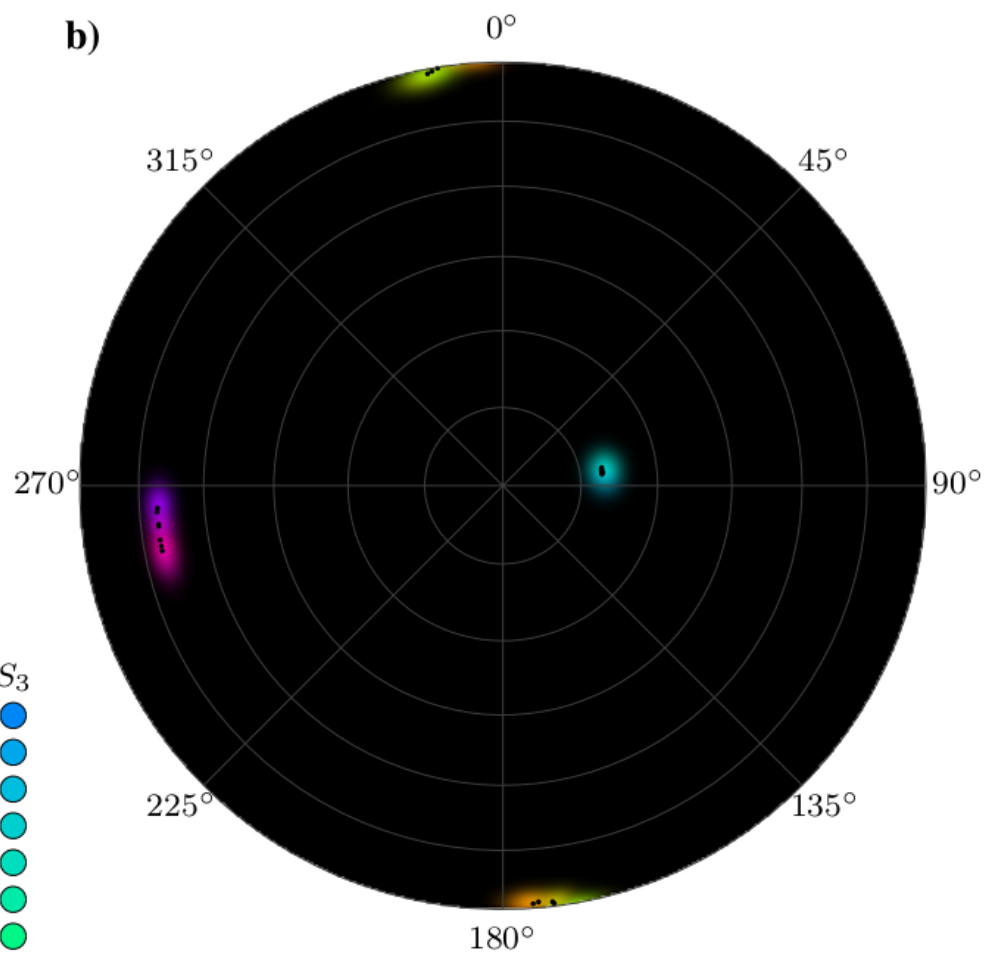


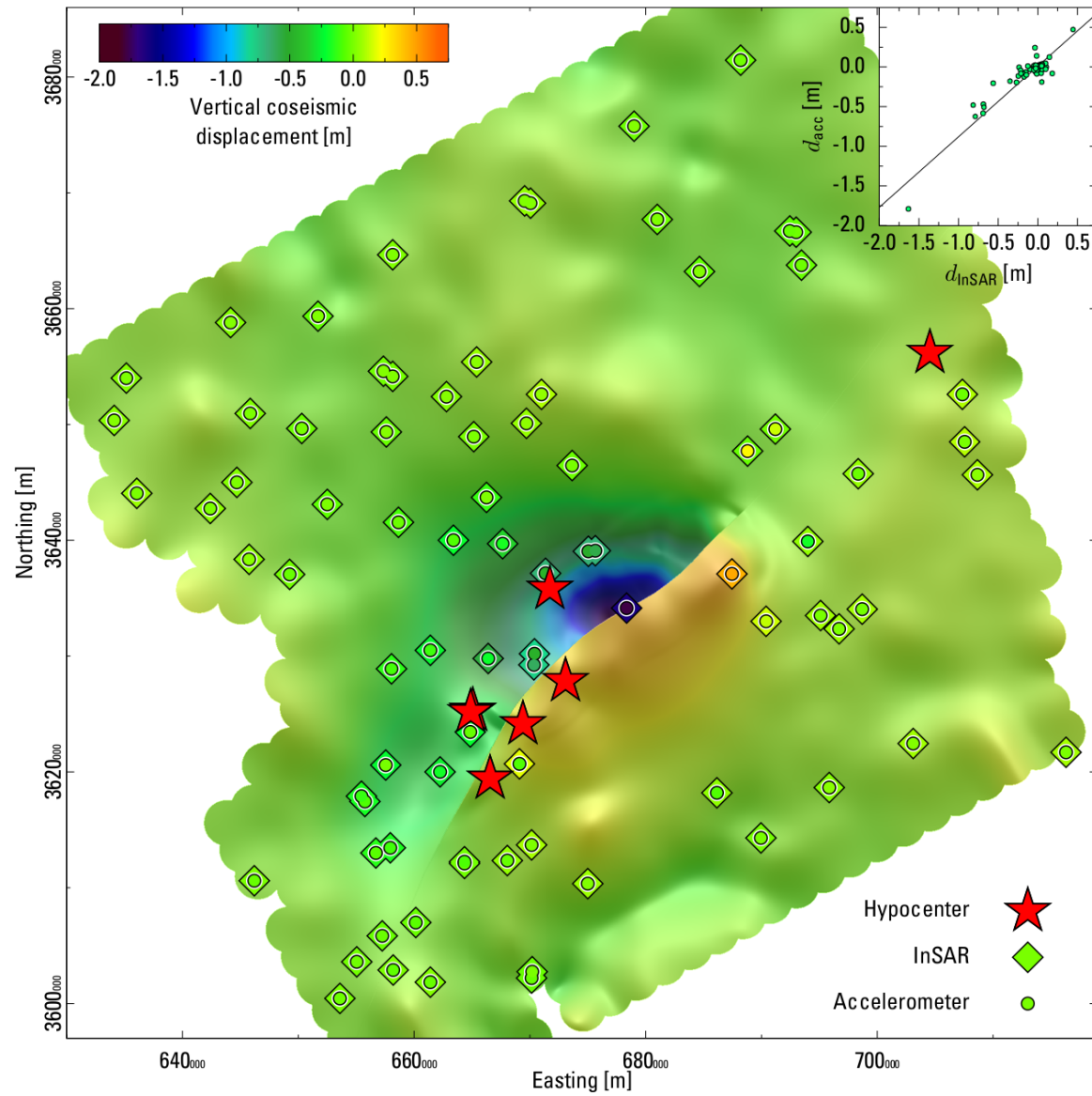


a)

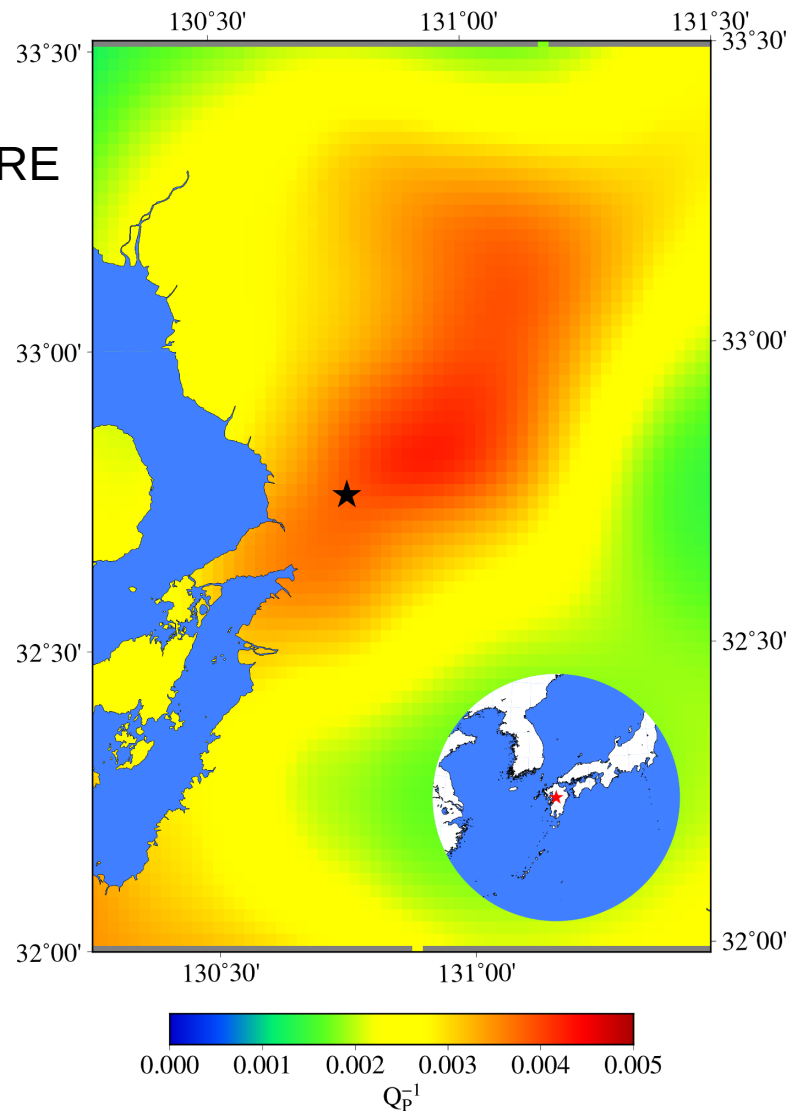


b)





BEFORE



AFTER

